

UNDERSTANDING AND PREDICTING CORAL DISEASE ACROSS SCALES: WHITE  
POX DISEASE AND THE CRITICALLY-ENDANGERED ELKHORN CORAL, *ACROPORA*

*PALMATA*

by

ASHTON PHILLIPS GRIFFIN

(Under the Direction of Andrew W. Park and James W. Porter)

ABSTRACT

Outbreaks of infectious disease events in the marine environment often occur with little warning and can have severe consequences for their host populations. Infectious disease events in corals have increased over the last decade, coinciding with the continuing decline of the reef environment on a global scale. Understanding and predicting future disease events will be a critical step towards developing a response strategy. This dissertation provides a multi-scale approach towards understanding white pox disease, a disease that affects the important reef-building, yet critically endangered, coral, *Acropora palmata*. I first explore the diversity found within the surface mucus layer of *A. palmata*. I accomplish this through by measuring the alpha diversity from mucus samples collected from healthy, bleached, and diseased colonies. Species richness was greatest in samples from diseased corals. Seasonality was an important driver in distinguishing microbial communities. I also developed a statistical framework to identify factors influencing local disease transmission. Using this framework, I fit models to data collected during an outbreak of white pox disease and determined spatial diffusion provided the best fit.

Using simulations, I then evaluated how censored surveillance data influenced model performance. Last, using biological and environmental data obtained over a 20-yr time period, I constructed a machine learning model that predicted disease occurrence in individual *A. palmata* colonies. This approach used a large set of environmental variables to predict disease presence or absence. Collectively, these results suggest that microbial communities are different between healthy and diseased, proximity to nearby infected is important for disease transmission, and disease event can be predicted by colony size, dissolved saturated oxygen, wind speed, and organic carbon.

INDEX WORDS: White pox disease; *Acropora palmata*; microbiome; pathogen transmission; disease prediction

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## DEDICATION

For Haylee.

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## CHAPTER 1

### INTRODUCTION AND LITERATURE REVIEW

Outbreaks of infectious diseases in the marine environment are typically sudden and can have severe consequences for affected populations. In 1983 a massive die off of the sea urchin *Diadema antillarum* was observed throughout the Caribbean <sup>1</sup>. A high rate of spread that traveled predominantly along prevailing currents provided evidence that the underlying driver of the mortality event was an emerging waterborne pathogen <sup>2</sup>. From 2013 to 2015, approximately 20 different species of sea stars (asteroids) washed ashore along the west coast of North America due to an outbreak of sea star wasting disease <sup>3,4</sup>. While outbreaks of sea star wasting disease have been observed in previous decades, the 2013 – 2015 outbreak was exceptional with respect to spatial scale and number of populations affected <sup>4</sup>. In 1994, a previously unidentified coral disease affected an important reef building coral, *Acropora palmata* <sup>5</sup>. Subsequently, *A. palmata* (a scleractinian coral also known as elkhorn coral) was designated as a threatened species under the US Endangered Species Act in 2006, in part due to pathogen pressure but also because of storm damage and ocean acidification <sup>6-8</sup>. Despite the increased attention given to marine diseases in recent years, our understanding of mechanisms for transmission, environmental drivers, and microbial interactions is still quite limited <sup>9</sup>.

Over time, quantitative methods have been developed to aid our understanding of disease ecology<sup>10</sup>. Classical models of infectious disease dynamics have allowed researchers to evaluate the rate at which susceptible individuals become infected, the average number of new infections one infected individual will generate, and the number of immune individuals needed to eradicate

pathogens<sup>11</sup>. Much of the quantitative methodology in this field was initially developed for human diseases<sup>11</sup>. As appropriate data became available, these quantitative methods have been adapted to wildlife diseases<sup>12</sup>. In an example relevant to coral disease, gravity models (Chapter 3) were initially developed to help understand the spread of measles at the landscape level and then later adapted and applied to understand the spread of a fungal pathogen in North American bat populations<sup>13,14</sup>. In plant diseases, models have been well developed to account for spatiotemporal dynamics, host resistance, pathogen inoculum intensity, and environmental factors<sup>15–18</sup>. By comparison, theoretical modeling of marine diseases remain underdeveloped<sup>9,19</sup>.

In recent decades, researchers have documented the ongoing coral reef crisis across the globe as the ecosystem has continued to decline. Percent live cover of corals in the Caribbean declined by 80% from 1977 to 2003<sup>7</sup>. On the Great Barrier Reef a 50% reduction in live cover occurred from 1985 to 2012<sup>20</sup>. Coral cover in the Indo-Pacific declined by 50% from the 1980s to 2003<sup>21</sup>. Climate change has played an important role as a driver of events associated with the global decline in coral cover<sup>22–25</sup>. Declines in coral cover have been associated with the occurrence of tropical cyclones<sup>7,20,21</sup>. Coral bleaching, a condition where the coral animal loses its symbiotic algae, is typically caused by thermal stress<sup>26–28</sup>. Massive coral bleaching events, in which entire reef tracts are bleached simultaneously are occurring more frequently due to climate change<sup>29</sup>. Coral diseases have also contributed to the loss of coral cover in recent decades<sup>30–33</sup>. Researchers have begun to establish associations between disease occurrence and environmental conditions<sup>34,35</sup>.

The first reported case of any coral disease was a description of growth anomalies that were observed on a Hawaiian reef<sup>36</sup>. Currently, there are 18 well defined diseases affecting

scleractinian coral of which 12 are found in Caribbean coral <sup>32,37,38</sup>. From the 18 described diseases, 9 have established pathogens <sup>39</sup>. Recently, researchers proposed the ‘moving target’ hypothesis, which proposes that multiple pathogens (operating either jointly or independently) can cause the symptoms of a disease <sup>39</sup>. Evidence for the moving target hypothesis has been documented for white pox disease <sup>39</sup>. First observed in 1994, white pox disease (also known as white-patch, or patchy necrosis) affects the Caribbean reef building coral *Acropora palmata* <sup>5,32,40,41</sup>, with studies during subsequent outbreaks of white pox over nearly a decade reporting an average reduction of 85% in live coral cover <sup>32</sup>. Symptoms of white pox are described as irregularly shaped white patches that appear on the surface of the colony <sup>41,42</sup>. Edges between live tissue and diseased tissue are distinct, with a loss rate of between 2.1 and 7.5 cm<sup>2</sup> per day on average respectively <sup>32,41</sup>. The first causative agent to be identified for white pox was the fecal enterobacterium *Serratia marcescens* <sup>32</sup>. In a recent study, researchers failed to detect the presence of *S. marcescens* in any white pox samples <sup>43</sup>. In a follow-up study, researchers were able to detect the presence of *S. marcescens* in some samples of white pox but not all <sup>39</sup>. However, evidence from Sutherland et al. (2016)<sup>39</sup> suggests that historical cases of white pox (which were positive for *S. marcescens*) had higher measures of disease severity and mortality compared to contemporary cases <sup>39</sup>. The inability to recover *S. marcescens* from recent samples of white pox is consistent with the moving target hypothesis, suggesting that changes in host genotype and environmental conditions can give rise to shifts in the etiology of disease <sup>39</sup>. Understanding the mechanisms behind the moving target hypothesis requires a deeper understanding of coral disease biology, prefaced by increasing our understanding of the effect of coral microbial communities, factors influencing pathogen transmission, and identification of biotic and abiotic drivers of disease occurrence.

Of the microhabitats found in coral, the surface mucus layer is believed to play an important role in the resistance to, and recovery from, coral disease<sup>44–48</sup>. Beneficial microbes found within the mucus surface layer can provide resistance by secreting antibiotic compounds into surrounding mucus as observed in microbial samples taken from *A. palmata*<sup>49</sup>, which inhibit the growth of *S. marcescens*<sup>50</sup>. Quorum sensing and microbial predation are two other possible mechanisms that microbial communities can utilize to provide resistance to disease<sup>51,52</sup>. However, like many aspects of the coral microbial community, research is still in the early stages and more work is needed to establish the ubiquity of these mechanisms<sup>48</sup>. Our understanding of coral microbial communities with respect to disease has been advancing rapidly, and new lines of research identify a need to study how microbial communities vary across multiple scales<sup>47</sup>. A critical step towards this goal would be to better understand how diseases, and potentially beneficial microbes, develop within and spread between coral colonies.

Despite research on coral disease dating back to the 1970s<sup>37</sup>, we have a limited understanding of the factors that contribute to the transmission of pathogens that cause coral diseases. Perhaps part of the reason for limited knowledge of transmission lies with our poor understanding of causative agents<sup>39</sup>. However, lack of robust surveillance data due to costs and incomplete understanding of environmental drivers for each disease contribute to this knowledge gap<sup>53</sup>. Initial efforts have either examined spatial patterns of diseased and non-diseased individuals<sup>54–56</sup> or adapted a metapopulation model for transmission<sup>57</sup>. These approaches have indicated that local spatial structure is important for the spread of disease within reefs, but few have tested competing hypotheses of spread<sup>56</sup>. Competing multiple hypotheses of disease spread requires a framework that can be flexible to account for different potential spread mechanisms and for which typical levels of surveillance data are sufficient to evaluate their differential



performance, allowing the framework to identify one or more supported hypotheses. In turn, mechanistic and statistical models describing transmission and outbreak risk in terms of abiotic and biotic factors may inform the collection of appropriate surveillance data, for example, by identifying at-risk colonies or reefs before an outbreak event.

In recent years, coral biologists have begun leveraging available surveillance and environmental data to investigate the relationships between environmental factors (separate from host, pathogen and microbiome organisms) and disease occurrence, as well as their potential interaction with biological factors such as colony live tissue cover. Environmental factors may promote disease occurrence either directly, by inducing dysbiosis, a strong imbalance in microbial communities<sup>58,59</sup>, or indirectly by affecting host or pathogen health<sup>57</sup>. For many systems, parameters derived from remote measurement of sea surface temperature have demonstrated an association between outbreaks of disease and elevated temperature<sup>34,35,55,60,61</sup>. Additional environmental factors such as nutrient concentration have been observed to increase the severity of disease events<sup>62,63</sup>. Biological factors such as host size and density have also been shown to contribute to outbreaks of disease across a range of coral species<sup>34,54,64,65</sup>. Understanding the relationships between climate, water quality, and biotic disease predictors and how they contribute to disease risk will inform researchers and managers when and where disease events are likely to occur. Predictions of such disease events would help target logistically-constrained disease surveillance, implement management techniques, and inform policy development to reduce the risk of future outbreaks<sup>4</sup>. However, before predictive models can be constructed a sufficient level of banked surveillance work is needed to help train and test statistical models.

The objective of this dissertation is to examine the spatio-temporal dynamics of white pox disease in *A. palmata* across multiple scales, to better understand the importance of inter-individual colony variation in host microbial community, to establish factors influencing pathogen transmission between colonies within a site, and to determine drivers of disease occurrence at broader spatial scales encompassing multiple reefs. In Chapter 2, I examine the microbial diversity taken from surface mucus samples of healthy and unhealthy *A. palmata* colonies at three-time points within a year. In Chapter 3, I present and implement a statistical framework that allows for competing hypotheses regarding transmission between colonies using surveillance data collected from an outbreak of white pox disease at one site. These competing hypotheses include effects of infected and uninfected colony size, inter-colony distance and mechanistic interactions between them. Lastly, Chapter 4 leverages two decades of white pox disease surveillance data in the Florida Keys to assess how the combination of remotely sensed environmental data, locally collected water quality data, and colony-level data can be used to develop a predictive model that accurately determines locations and time points at which coral are at risk of disease outbreaks. The outcome of this dissertation is a contribution to our understanding of disease in a critically endangered reef building coral. More broadly, these findings and methodologies will help elucidate the nature of diseases in the marine environment and develop statistical approaches to may assist with the investigation of future outbreaks.

CHAPTER 2

CHARACTERIZING MICROBIAL COMMUNITIES WITHIN SURFACE MUCUS FROM  
HEALTHY, BLEACHED, AND DISEASED *ELKHORN CORAL*<sup>1</sup>

<sup>1</sup> Griffin AP, Kaul RB, Kemp DW, Wares JP, Porter JW, Park AW. To be submitted to *Frontiers in Marine Science*.

## **Abstract**

The coral surface mucus layer is a main component in the coral holobiont and studying the associated microbial communities is anticipated to provide a better understanding of the occurrence, progression of, and response to disease events. We studied the microbial diversity of surface mucus samples that were collected from healthy, bleached, and white pox diseased *Acropora palmata* colonies across three seasons within a year. Microbial species richness was greater in samples that were collected from lesions of white pox relative to samples from healthy corals. We also found that microbial communities varied seasonally, and that this variation was greater than that observed among corals that were diseased, bleached, or healthy. These findings suggest that white pox disease can disrupt the microbial community found in the surface mucus of healthy *A. palmata* colonies. Furthermore, results also suggest that seasonality is an important component of the dynamics of microbial diversity of coral.

## **Introduction**

The study of biological diversity seeks to understand the assemblage of species within a given community. Biodiversity is typically assessed with two general concepts: species richness, which addresses the number of unique species within a community; and species evenness, which accounts for the relative abundance of each species in the community. Although specific metrics of biodiversity are still debated <sup>66</sup>, a growing body of literature seeks to understand the many ways in which biodiversity affects pathogen transmission. For example, the dilution effect hypothesis posits that disease risk is reduced in communities that have relatively high abundance of host species that do not contribute greatly to parasite fitness <sup>67</sup>. In recent years, due to advancement of genomic sequencing techniques, microbial ecologists have begun exploring connections between microbial communities, that exist in or on host individuals, and disease.

Dysbiosis, an imbalance in a microbial community, has been associated with inflammatory bowel disease, Crohn's disease, and caries (mouth cavities) in humans <sup>68-70</sup>. In amphibians, skin pathogens can lead to disruptions in the skin mucosome microbial communities of affected hosts <sup>71</sup>. Furthermore, experimental shifts in temperature lead to functional changes in mucosal microbial communities resulting in increased prevalence of disease <sup>72</sup>. While less researched, microbial communities in corals are thought to play a significant role in maintaining colony health <sup>47,73</sup>.

The coral holobiont is defined as the cooperative interactions between the colony, zooxanthellae, and microbial communities that contribute to colony fitness <sup>74</sup>. Associated microbial communities can contribute to coral health in a variety of ways. A large proportion of a coral's carbon requirement is provided through a symbiotic relationship with the coral's zooxanthellae <sup>75</sup>. However, other essential nutrients are typically provided by the microbial community. For example, cyanobacteria and diazotrophs are thought to provide a significant amount of nitrogen to the coral via nitrogen fixation <sup>76,77</sup>. An experimental study provided evidence suggesting microbial communities play an important role in promoting colony resilience to environmental stressors <sup>78</sup>. Certain surface mucus layer microbes are believed to promote disease resistance by producing antibiotic compounds that inhibit the growth of known coral pathogens <sup>49,79</sup>. In recent decades, researchers have sought to characterize the microbial diversity found within coral, and to determine how environmental stress and disturbance events, such as disease, can alter the associated microbial communities <sup>45,47,74</sup>. The microbial community found within the surface mucus layer of coral colonies is believed to play a vital role in the relationship between colony and disease <sup>80</sup>.

The coral's surface mucus layer is a polysaccharide protein lipid complex that is secreted by the coral's epidermal mucus cells <sup>81,82</sup>. Physical and chemical properties of surface mucus vary between coral species <sup>83</sup> and chemical properties are subject to change during periods of environmental stress <sup>84</sup>. The observed microbial communities within the surface mucus are likely a result of mucus properties, and microbial communities have been observed to differ under stressful conditions. However, it is not well understood how disturbances on the colony's surface can influence surface mucus microbial communities or how changes in the surface mucus microbial communities can lead to localized disturbance, including disease lesions, on the colony's surface tissue.

Studying the microbial communities found in surface mucus may provide insights into the nature of coral disease occurrence and colony resilience <sup>39</sup>. In some cases previous research has failed to detect consistent causes of disease, and for many coral diseases the causative agent for disease is still debated <sup>76,85</sup>. The difficulty in detecting single causative agents has led researchers to hypothesize that the cause for many coral diseases is polymicrobial, meaning that an assemblage of microbes is required before disease lesions emerge <sup>76</sup>. Black band disease, which affects many coral species, is considered to be caused by a consortium of microbes that belong to four functional groups, photoautotrophs, sulfate reducers, sulfide oxidizers, and organoheterotrophs <sup>86</sup>. It should be noted that not all coral diseases lack evidence for a causative agent. For example, Aspergilosis is known to be caused by a common soil fungus, *Aspergillus sydowii* <sup>87,88</sup>. While in other coral diseases, establishing a causative agent may not always be definitive. In the case of white pox disease, which affects the Caribbean coral *Acropora palmata*, the bacterial species *Serratia marcescens* was previously determined to be the causative agent via studies showing it to satisfy Koch's postulates of disease diagnosis <sup>32</sup>. However, recent

evidence suggests that *S. marcescens* may not be the only bacterium that can cause white pox<sup>39</sup>. This implies that causative agent(s) can sometimes be described as a moving target whereby there exists multiple combinations of groups of bacteria that result in disease<sup>39</sup>. Polymicrobial diseases and the moving target hypothesis highlight the critical need for continued work in understanding coral-associated microbial communities and the forces that shape them.

Researchers are beginning to understand what forces drive changes in microbial communities of the surface mucus layer. At the microbial level, antagonistic interactions between microbial groups can influence the observed microbial community. For example, evidence generated from *in situ* experiments has demonstrated that certain groups of bacteria can suppress the growth of bacterial isolates closely related to coral pathogens by secreting antibiotics into the surrounding environment<sup>49,89,90</sup>. In natural settings, field experimentation has shown that microbial predation can help stabilize a microbiome inoculated with a disease-inducing bacterium<sup>52</sup>. The coral colony also plays a role in regulating the microbial community by altering physical and chemical properties of the surface mucus layer<sup>91–93</sup>. Chemical products such as antibiotic compounds and antifouling chemicals can help prevent invasion of transient microbes<sup>94,95</sup>. Corals can alter the volume of surface mucus, which may vary diurnally<sup>96</sup> and in response to stress events<sup>97</sup>. Altered mucus volume may protect against disease by increasing the physical barrier against invading pathogens or diluting available nutrients to inhibit bacterial population growth<sup>92,98</sup>. Surface mucus layer communities are also subject to changes in environmental conditions. Elevated temperatures resulting in thermal stress have been shown to induce shifts in the surface mucus layer communities<sup>59</sup>. While no study has directly investigated the impact of nitrification on microbial diversity, studies have demonstrated that increased nutrients typically result in elevated prevalence and severity of several coral diseases<sup>62,63</sup>. Lastly, interactions between multiple

levels (microbe, colony, environment) can result in differences in surface mucus layer microbial communities, as, for example, has been observed as a result of coral bleaching events<sup>99,100</sup>. The equivocal results on causative agents of white pox disease, combined with recent precipitous population declines in *A. palmata* coral highlight the need for deeper study of this coral species.

The scleractinian coral, *Acropora palmata*, is an important reef building coral species in the Caribbean region<sup>101,102</sup>. However, over several decades, abundance of *A. palmata* has declined across the Caribbean, and the species is critically endangered under the IUCN red list of threatened species<sup>103</sup>. An infectious disease known as white pox in has played a significant role in the decline of *A. palmata*<sup>32</sup>. Initially detected in the Florida keys in the mid-1990s, white pox disease has been observed across the entire Caribbean<sup>39,104,105</sup>. Earlier work identified the fecal enterobacterium *Serratia marsescens* as the causative agent of white pox<sup>32</sup>. However, contemporary work detected the presence of *S. marsescens* infrequently when sampling active white pox lesions<sup>104,106,107</sup>, suggesting the process of infection and disease may be more complex than originally hypothesized. Furthermore, researchers have observed a reduction in the severity of disease across decades by noting a decrease in whole colony mortality for infected individuals in a series of surveillance events<sup>39</sup>. Based on other coral disease systems<sup>47,76</sup>, it is plausible that the microbial community may play a role in mediating outcomes of infection. As a consequence, in recent years, researchers have made a concerted effort to understand the microbial community associated with *A. palmata* and how the microbial community contributes to overall coral health and the occurrence of white pox disease. In a previous study, researchers found that microbial communities sampled from three different locations on healthy *A. palmata* were spatially homogenous across locations and between colonies<sup>108</sup>. In sites with white pox disease, researchers observed elevated abundance of potentially pathogenic *Vibrio* isolates in white pox



infected *A. palmata* colonies compared with colonies that were observed to be healthy<sup>109</sup>. While this recent work points to the potential for homeostasis in populations of healthy colonies, it additionally suggests that diseased colonies may have different microbial communities compared to infected colonies, which may be a precursor to, or consequence of, infection. As such, it highlights the need to further investigate the relationship between *A. palmata*, their associated microbes, and disease occurrence.

Here, we present a study of the microbial diversity associated with the surface mucus layer of *A. palmata*. We visited the same site at three different time and observed *A. palmata* colonies to be in one of three states: healthy, showing symptoms of white pox disease, or bleached. During each sampling period, we sampled the surface mucus of multiple colonies, as well as proximal sea water, and then investigated how microbial communities differ across health states and time. We found that seasonality was the most important factor in distinguishing microbial communities. We also present evidence that mucus overlaying white pox disease lesions typically has higher microbial species richness compared to mucus collected from healthy tissue.

## Methods

### *Sample Collection*

All coral mucus samples were collected under permit DRTO-2012-SCI-0014 issued by the National Parks Service. 55 samples were collected in total (10 water samples, 45 mucus samples) were sampled from Palmata Patch located in the Dry Tortugas National Park (24° 37.243' N, 82° 52.042' W). Samples were collected from healthy, bleached, and white pox diseased colonies, as well as from the water column. A sample of the surface mucus layer of *A. palmata* was obtained by gently placing the tip of a sterile 10 ml syringe on the coral surface and slowly

withdrawing a standard volume mucus into the syringe, and the colony id was noted. Great care was taken not to extract either whole coral tissue or any skeletal material. Syringes were placed on ice and transported back to the laboratory and immediately processed (<2 hours). Syringe contents were transferred into sterile 15 ml conical tubes, vortexed for 5 – 10 seconds, and 2 ml of material was subsampled and transferred into sterile 2 ml tubes.

### *Overview of Sequence Processing*

#### *High-throughput sequencing*

We employed standard procedures for high-throughput sequencing: library preparation, cluster generation, and sequencing. In the library preparation stage, DNA samples were decomposed into fragments. After fragmentation, DNA fragments were repaired, and specific adapters were attached to the end of the fragments so that the fragments could bind to a flow cell during cluster generation. Cluster generation followed library preparation. In this step the prepared DNA fragments were placed into a flow cell with matching adapters (oligomers), attached to the fragments during library preparation. The prepared fragments were moved into the flow cell and are then replicated through bridge amplification. After amplification was complete the reverse strands ( 3' to 5' ) were washed away. Sequencing was achieved by coding the missing strand. Strands were coded with fluoresced nucleotides. After the addition of each nucleotide the strands were excited, a characteristic light signal was emitted by the bound nucleotide (sequencing by synthesis) from which software determined which base pair was added by the wavelength of the fluorescent tag and recorded it for every spot on the chip. This process was repeated until the full DNA molecule was sequenced. The generated read data were then aligned to a reference genome, which reconstructs the unfragmented original sequence.

Assembled sequences were then assigned to their respective samples using DNA barcodes that were administered during library preparation.

### *16s rRNA*

The sequence strategy used in this study involves sequencing a single gene that is common to almost all bacterial types (the 16s gene from ribosomal RNA). This approach is commonly known as marker gene sequencing. Within the 16s gene, there are regions of the gene that are highly conserved across bacterial species. Regions selected for sequencing typically strive to balance variability and conservation between microbial species.

### *Sequence Processing*

The sample libraries of the hypervariable V1/V3 region of 16s rRNA were prepared using primers 27f and 519 according to Kumar et al. (2011)<sup>110</sup>. High-throughput sequencing was performed using Mr. DNA (Shallowater, TX) using an Illumina MiSeq. Returned sequences were processed using *Qiime* software version 1.9.1<sup>111</sup>. Sequences that failed to reach a minimal sequence length set at 200 were removed. Chimeras were removed from the data set using *Usearch61* 6.2.544, 32-bit, with green genes database version 13\_8<sup>112</sup>. An operational taxonomic units (OTU) table was constructed at 97% similarity in *Qiime* using open reference picking with green genes. Mitochondrial and Chloroplast information were then filtered from the assembled OTU and exported for diversity analysis.

### *Analysis*

The assembled OTU table was exported from *Qiime* and analyzed in the *R* statistical environment 3.4.2.<sup>113</sup> Microbial community information from the OTU table was imported and

analyzed using *Phyloseq* package version 2.22.3<sup>114</sup>. Taxonomic analysis was performed on the full OTU table. To compare taxonomy between samples, the OTU data was agglomerated to the family level. Agglomeration is a hierarchical clustering approach that starts at the lowest possible level (here genus) and merges all grouped individuals<sup>115</sup>, then proceeds to the next taxonomic level. This proceeds until agglomeration reaches a stopping criterion. Here our stopping criterion was after merging individuals matching at the family taxonomic level. Taxonomic agglomeration was accomplished via the *phyloseq* package in R. Once agglomerated, sample counts were then transformed to provide relative abundances by sample with *phyloseq*<sup>115</sup>. Taxonomic plots were then constructed with the relative abundance data.

To investigate diversity, we normalized the OTU table by rarefying it based on the minimal sequencing depth observed across all samples (1270). Rarefying microbial data randomly subsamples sequences without replacement from samples that have sequencing depth above the provided threshold. The diversity analysis was then conducted on the rarefied data set. To measure alpha diversity, we used Chao1 to estimate species richness, Shannon-Wiener diversity to estimate species richness and evenness, and Pielou's J to estimate species evenness<sup>116–118</sup>. Chao1 and Shannon-Wiener were selected for analysis because these metrics are used frequently in the coral microbiome literature<sup>47,66,99,108,119,120</sup>. To analyze the relationship between season and condition on diversity metrics, we performed a linear mixed effects model for Chao1, Shannon-Wiener, and Pielou's J with colony id as a random effect. Each sea water sample was provided a unique colony id number. For each diversity indices we fit two models, the first model examined the influence of season and sample type (eg: bleached) without an interaction. From the first model output we also performed a Tukey's honest significance difference test to determine which pairwise groups were significant from one another. In the second model, we

investigate the potential interaction between season and condition. We then used an ANOVA analysis on the output from both models to evaluate the significance of an interaction between sample type and season.

To examine the similarity of the taxonomic composition of samples, we generated a Principal Coordinates Analysis (PCoA) using weighted unifrac as our distance metric. Unifrac is a distance dissimilarity measure that incorporates information on the phylogenetic relatedness of OTUs, using the phylogenetic tree generated from *Qiime*. Weighted unifrac considers the relative abundances of OTUs shared between samples. The PCoA analysis generates a plot of the differences between samples, represented as distances that were determined using weighted unifrac. To analyze which factors best explain similarity and difference between samples we used a permutated ANOVA (permanova). The permanova was conducted using the *adonis* function from the R package *vegan* (version 2.5.1)<sup>121</sup>. To determine if repeated sampling from the same colony was influencing results, we included both nested and stratified permanova analyses by colony ID (and water sample ID, for water samples). We also tested for interactions between our main effects (sample type and season).

### *Chao1*

Chao1 is a non-parametric statistic that measures the species richness of a sample<sup>122</sup>. Species richness refers to the number of unique species within a given sample. The fundamental principle for Chao1 is that species richness can be estimated by using the frequencies (or abundances) of the rarest species within a sample to estimate the number of undetected species and thus estimate species richness based on a sample. Chao1 is determined as:

$$S_1 = S_{obs} + \frac{N_1^2}{2N_2} \quad (1)$$

where  $S_{obs}$  is the number of species observed within a sample,  $N_1$  represents the number of species that occur only once (singletons), and  $N_2$  represents the number of species that occur exactly twice within a sample (doubletons).

#### *Shannon-Wiener Index*

The Shannon – Wiener index, another non-parametric method, measures both the species richness and species evenness within a sample. The Shannon-Wiener index accounts for the proportion of a given species relative to the proportion of other species while also considering the total number of species in a given sample. The Shannon-Wiener Index is:

$$H' = - \sum_{i=1}^R p_i \ln p_i \quad (2)$$

where  $p_i$  is the proportion of individuals belonging to the  $i$ th species within a sample and  $R$  is the total number of species found within the sample.

*Pielou's J* Pielou's J is an estimate for species evenness for a provided sample. Estimating species evenness provides a measure for how equal species abundances are across samples. Pielou's J considers the measured Shannon-Wiener index and a theoretical maximum of the same if every species was equally likely. Pielou's J is provided in equation 3.

$$J' = \frac{H'}{H'_{max}} \quad (3)$$

where  $H'$  is the measured Shannon-Wiener index and  $H'_{max}$  is its theoretical maximum assuming every species was equally likely: i.e.,  $H'_{max} = - \sum_{i=1}^R \left(\frac{1}{R}\right) \ln \left(\frac{1}{R}\right) = \ln(R)$ .  $J'$  ranges from 0 to 1. As  $J'$  approaches unity, then the community samples are more even.

## Results

### *Taxonomy*

A total of 30 phyla, 179 families, and 8559 unique taxa were observed from all samples prior to rarifying the data set. For sample types, samples taken from coral mucus were typically dominated by Cyanobacteria, family *Synechococcaceae*, with a mean relative abundance of 0.41 (se = 0.02). The second most abundant taxa within coral samples were Alphaproteobacteria, family *Pelagibacteraceae*, with a mean relative abundance of 0.18 (se = 0.01). For water samples, Cyanobacteria, family *Synechococcaceae*, was again the dominant taxa with mean relative abundance of 0.27 (se = 0.03). Alphaproteobacteria, family *Pelagibacteraceae*, was the second most abundant with a mean relative abundance 0.23 (se = 0.03). Seasonally, we observed *Synechococcaceae* to be the dominant family in the majority of samples, including water, with a mean abundance of 0.38 (se = 0.02). In summer and spring samples, we observed *Rhodobacteria*, *Flavobacteria*, and unclassified units more frequently than compared to winter samples (Figure 2.9). After rarifying to even depth, we observed 28 *phyla*, 158 families, and 4062 unique taxa.

### *Sampling Summary*

We collected a total of 55 samples across three surveys from June 2011 (spring), September 2011 (summer), and December 2011 (winter) (Table 2.1). The range of sample sequencing depth across all samples was 1270 – 34214, with mean and median values of 9219.2 and 7840, respectively. We observed the highest number of sequences from bleached colonies and the lowest number of sequences from white pox samples (Table 2.1). Seasonally, we found winter samples contained the highest number of sequences and spring contained the lowest (Table 2.1).

All samples were rarefied samples to an even depth of 1270, the minimal sequencing depth observed within the data set (Figure 2.1). It is with the rarefied data set that diversity and taxonomic analyses were performed (with Chao1, Shannon-Wiener, Pielou's J).

### *Coral Samples*

Of the 55 samples, 10 were collected from the water and 45 were collected from corals. Of the 45 coral samples, 26 came from healthy colonies, 11 from bleached colonies, and 8 from white pox diseased colonies (Table 2.1). Diseased samples were observed to have increased species richness compared against all other sample types, with the strongest signal detected between healthy and diseased samples (Figure 2.2). Shannon – Wiener values were found to be significantly different between sea water and healthy samples (Figure 2.4), with samples from healthy coral exhibiting lower scores compared to ambient water samples. Species evenness was found to differ between seawater – healthy and white pox – bleached samples (Figure 2.6). Healthy coral samples had lower evenness than water samples, and bleached samples had lower evenness than disease samples. For taxonomic composition, we found that sample type had a significant interaction with season ( $R^2 = 0.2$ , p-value = 0.001) (Table 2.5), and we observed that sample type was most associated with changes in dispersion of microbial communities in the PCoA space (Figure 2.8). This can be interpreted as low dispersion and high dispersion sample types having microbial communities in common, but high dispersion samples types (especially diseased samples) additionally exhibit some unique microbial communities. The analysis of variance using distance matrices revealed a significant interaction between season and sample type. Testing for such interactions is commonly advised as a first step, and if detected, then further tests on main effects is not recommended <sup>121</sup>.



### *Seasonal Samples*

Of the 55 samples, 17 were collected in the spring, 25 were collected in the summer, and 13 were collected in the winter (Table 2.1). Species richness was significantly different between Spring – Winter and Summer -Winer (Figure 2.3). Shannon – Weiner values were strongly significantly different between Spring – Winer and Summer – Winter (Figure 2.5). Species evenness was also statistically significant between Spring – Winter and Summer – Winter (Figure 2.7). For taxonomic composition, we found that season interacting with sample type to be significant and provided predictive influence for taxonomic composition ( $R^2 = 0.2$ , p-value = 0.001, Table 2.5). Season is predominantly associated with changes in centroids of microbial clusters, but not dispersion (Figure 2.8). This can be interpreted as each season being associated with relatively distinct microbial communities, but no season has more variation in microbial community structure than any other season. As noted above, the analysis of variance using distance matrices revealed a significant interaction between season and sample type and consequently separate tests on main effects were not performed, as per recommendations of developers of this technique <sup>121</sup>.

## **Discussion**

### *Increased diversity in disease samples*

Samples taken from white pox lesions had a significantly higher microbial species richness compared to other samples, and in particular samples taken from healthy tissue (Figure 2.2). This is the first study that demonstrates this relationship for white pox disease. A previous study involving white pox disease have failed to detect community differences between healthy and diseased samples <sup>107</sup>. However, studies of other coral diseases have also reported increased

microbial diversity in diseased samples. For white plague type II, multiple studies have observed increased surface mucus layer diversity in diseased samples compared to healthy samples <sup>123–125</sup>. Increased diversity in surface mucus layer communities have also been observed across other coral disease systems such as white plague (different from white plague type II), yellow band, and black band <sup>124–127</sup>. The equivocal nature of results to date across various coral systems invites further studies, as we have performed, to begin to develop a broader understanding of how and why microbial communities may differ as a function of disease status. There are various hypotheses regarding the increased microbiome diversity with disease presence. Plausibly, the surface mucus layer might be altered, either directly by invasion from the disease agent or indirectly by environmental stress <sup>123</sup>. Alternatively, the disease itself may be somewhat incidental and rather the physiological function of the coral colony may change due to an environmental stress event that results in change in surface mucus layer via mucus production <sup>123</sup>. These hypotheses describe potential biological mechanisms for the observed trend, with the caveat that variation in experimental protocols may also explain some differences between studies <sup>47,128</sup>.

#### *Importance of season in difference for coral microbiome.*

Seasonality and sample type were found to interact in terms of explaining microbial community clustering based on community distance metrics. We found that seasonality tends to generate distinct microbial communities where the variation associated with seasons is roughly the same, whereas sample type was often associated with a subset of shared microbial communities and some sample types having few unique communities (e.g., bleached samples) and some sample types having many unique communities (e.g., disease samples). Temporal changes in surface mucus layer communities have been observed in previous studies <sup>129–131</sup>.

However, most studies have focused on relationships between community structure and stressors that appear over time, such as coral bleaching and disease, rather than the direct influence of seasonality. For the critically endangered elkhorn coral, there is a pressing need to classify stable and transient members of the surface mucus layer communities <sup>47</sup>, which have been shown to affect both coral health and survivorship, and to establish how this distinction between these factors correlate with colony health. Understanding how seasonal factors drive changes in microbial community structure is an important component to answering this question, as white pox disease in elkhorn coral has a seasonal signature, with disease common in summer and occasionally spring, but not winter. Our results provide evidence that seasonality plays an important role in governing the microbial communities of elkhorn coral populations and that diseased colonies often exhibit distinct microbial communities, including having higher species richness, having higher evenness than bleached coral samples (i.e., disease samples tend to not be dominated by certain taxa) and exhibiting many unique communities compared to any other sample type determined by community distance metrics.

### *Coral Bleaching*

Bleached coral samples had, on average, the lowest diversity. However, the difference between bleached and healthy corals was not statistically significant. A recent study examining surface mucus layer communities in bleached and healthy *Porites lobata* found the communities to be strikingly similar across sample types <sup>132</sup>. However, in another study, researchers demonstrated a change in microbial communities associated within coral tissue during bleaching conditions <sup>99</sup>. It is likely that the differences between these two studies can be partly explained by what portion of the coral the sample was collected from. The surface mucus layer operates as a protective barrier that interfaces between the coral and seawater. Corals are typically constantly

producing surface mucus which would lead to a high rate of turnover in microbial communities<sup>81</sup>. Indeed, researchers have observed lower abundance and diversity in surface mucus layer samples when compared against microbial samples collected from tissue<sup>133</sup>. We found that bleached samples did vary considerably from diseased samples, in terms of richness, evenness and dispersion in the space measuring community structure distance.

The similarity between bleached samples and healthy samples, and the difference between bleached samples and diseased samples sets up a scenario where tissue-associated microbial communities may change disproportionately in terms of diversity and abundance under stressful conditions compared to surface mucus layer communities, whereas surface mucus layer communities are likely to more closely resemble surrounding seawater due to constant turnover, but may change considerably when diseased.

#### *Similarity between coral mucus and sea water*

Seminal work related to the diversity of coral-associated microbial communities found that coral microbial communities were more diverse when compared to surrounding seawater<sup>74,134</sup>. However, these initial studies focused on sampling coral tissue and did not isolate surface mucus. Studies investigating diversity from mucus samples have found mucus – seawater samples to be less distinct than tissue – seawater samples<sup>130,135</sup>. Multiple studies have investigated the diversity of surface mucus layer for *A. palmata* and have provided conflicting evidence regarding diversity differences between mucus and seawater. One study, examining exclusively healthy coral, found a distinct separation between surface mucus layer samples and sea water<sup>108</sup>. While another study investigating microbial communities for *A. palmata* affected by white pox disease failed to detect significant differences between sea water and mucus samples, but researchers did detect a difference between mucus and tissue samples<sup>107</sup>. One explanation for the reported differences

could be due to sampling during healthy, Kemp et al. (2015)<sup>108</sup>, and stressed, Lesser et al. (2014)<sup>43</sup>, conditions as evidenced by the observation of healthy and diseased colonies. Additionally, contrasting finding may be partly attributable to the methodological differences between the two studies.

### *Taxonomy*

Members of the *Synechococcaceae* family were the most abundant taxa we observed across the majority of our samples (Figures 2.9 and 2.10). *Synechococcaceae* has been found in the mucus samples for multiple species of coral sampled in the Florida Keys<sup>135</sup>. Other studies have shown that their abundance is typically higher during the summer when waters are warm<sup>136</sup>. *Synechococcus* species have been recovered from coral mucus samples elsewhere, and researchers have speculated that *Synechococcus* could serve as a source of nitrogen for the coral<sup>137,138</sup>. We observed *Synechococcus* to be most abundant in our bleached samples. This observation, paired with previous speculation, suggests that the coral may be more reliant on its microbiota for nutrients during periods of stress, because this family is plausibly of elevated importance in such events. The second most abundant taxa were *Pelagibacteraceae* (Figures 2.9 and 2.10). The family *Pelagibacteraceae* has been speculated to be a member of the core microbiome for multiple Caribbean coral species<sup>139</sup>. In our diseased samples we observed an increase in abundance of *Rhodobacteraceae* (Figure 2.9). An increase in *Rhodobacteraceae* has generally been associated with diseased mucus samples<sup>140</sup>.

### *Conclusion*

Our detailed study of the microbial community diversity associated within the surface mucus layer provides a better understanding of the differences between healthy, bleached, and diseased colonies of *A. palmata*. Furthermore, by looking at associated communities through

time we have characterized how associated microbial communities vary seasonally<sup>47</sup>. Here we present evidence that suggest the previously observed homogenous microbial community of health populations can be disrupted by disease<sup>108</sup>. We anticipate that future studies may develop the spatial and temporal scale of our study to better understand the dynamics of change in microbial communities within and between reefs, and across a temporal backdrop of climate change to evaluate the extent to which disease causes disruption to the mucus microbial community. As our seasonality study was based on one year, longer term studies will be able to clarify if there are yearly cycles in the microbial community or if the communities are constantly moving through new states.

**Table 2.1:** Summary of samples, numbers, sequence depth and diversity. Diversity measures are determined after rarifying the data to the minimal observed OTU within the whole data set

(minimal observed OTU = 1270). Numbers within brackets indicate standard errors.

<b>Sample Type</b>	<b>Sample Number</b>	<b>Sequence Depth</b>	<b>Chao1</b>	<b>Shannon - Wiener</b>	<b>Pielou's Evenness</b>
Sea Water	10	9195.7 (1874.27)	482.61 (32.89)	4.37 (0.12)	0.80 (0.01)
Healthy Coral	26	9155.04 (1313.95)	482.52 (18.1)	4.28 (0.06)	0.76 (0.01)
Bleached Coral	11	9534.91 (983.23)	454.63 (35.48)	4.2 (0.09)	0.74 (0.01)
Diseased Coral	8	9022.875 (1177.91)	632.39 (45.63)	4.33 (0.18)	0.77 (0.02)
<b>Season</b>					
Spring	17	7866.471 (876.21)	515.18 (34.05)	4.23 (0.09)	0.75 (0.01)
Summer	25	9422.36 (715.68)	473.04 (24.3)	4.08 (0.05)	0.76 (0.01)
Winter	13	10597.385 (2595.53)	505.75 (24.0)	4.53 (0.06)	0.80 (0.01)

**Table 2.2:** Linear mixed model performance for species richness Chao1 values as a function of sample type and season ( with and without an interaction term) and with colony ID as random effect. Models are compared with ANOVA. P-value < 0.05 indicates that the interaction between sample type and season was not significant. Suggesting that there is no interaction between sample type and season with respect to Chao1.

Model	Df	AIC	BIC	Log Likelihood	Deviance	Chisq	Pvalue
No interaction	8	665.89	681.95	-324.94	649.89		
With interaction	11	667.75	689.83	-322.87	645.75	4.13	0.25



**Table 2.3:** Linear mixed models for the microbial community Shannon-Wiener diversity index as a function of sample type and season (with and without an interaction term) and with colony ID as random effect. Models are compared with ANOVA. P-value < 0.05 indicates that the interaction between sample type and was not significant. Suggesting that there is no interaction between sample type and season with respect to Shannon-Wiener.

<b>Model</b>	<b>Df</b>	<b>AIC</b>	<b>BIC</b>	<b>Log Likelihood</b>	<b>Deviance</b>	<b>Chisq</b>	<b>Pvalue</b>
No interaction	8	27.17	43.23	-5.58	11.17		
With interaction	11	31.79	53.87	-4.89	9.79	1.38	0.71

**Table 2.4:** Linear mixed models for Pielou's J explained by sample type and season (with and without an interaction term) and with colony ID as random effect. Models are compared with ANOVA. Pvalue < 0.05 indicates that the interaction between sample type and was not significant. Suggesting that there is no interaction between sample type and season with respect to Pielou's J.

Model	Df	AIC	BIC	Log Likelihood	Deviance	Chisq	Pvalue
No interaction	8	187.59	171.53	-101.80	203.59		
With interaction	11	182.86	160.77	-102.42	205.85	1.26	0.74

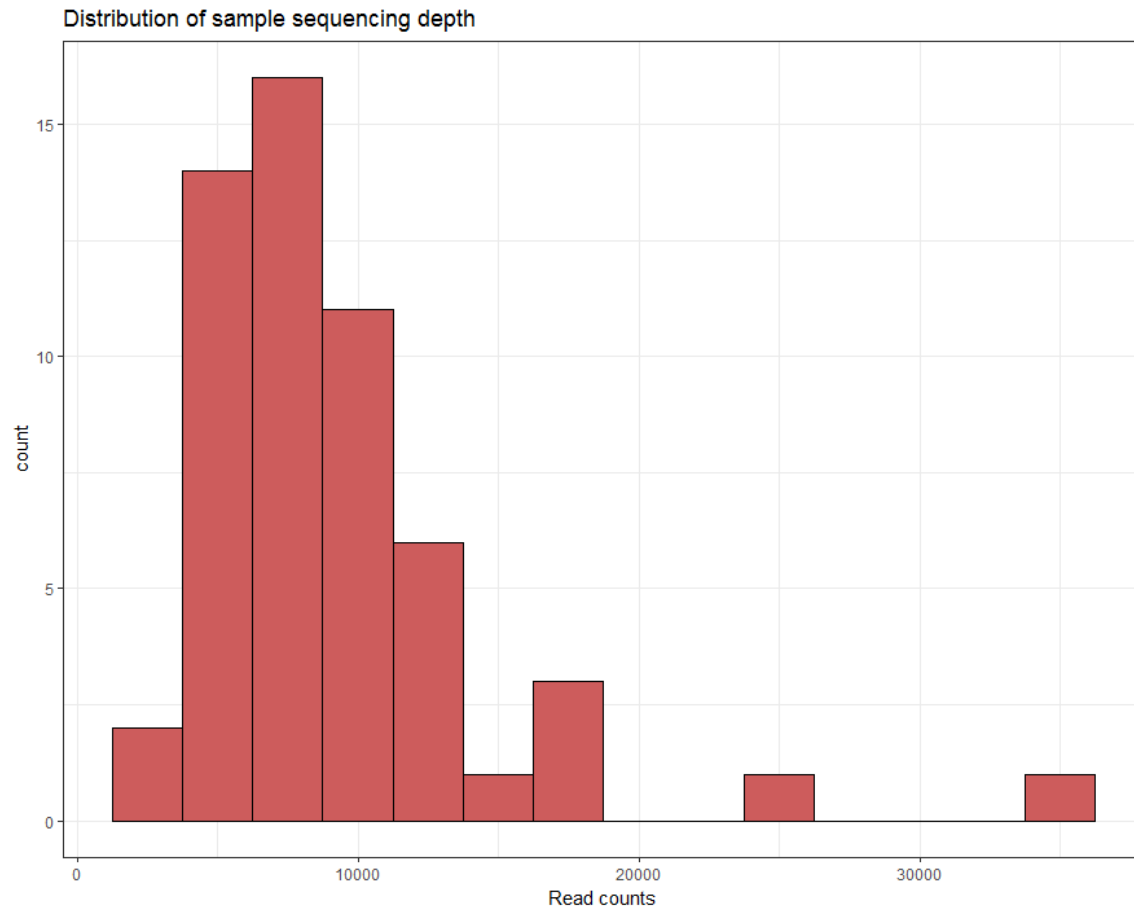
Model	Df	Sum of Squares	Mean Squares	F.Model	R <sup>2</sup>	Pvalue
Colony	1	0.23	0.23	1.18	0.02	0.001
Sample type * Season	8	2.24	0.28	1.45	0.20	0.001
Residuals	45	8.72	0.19		0.78	
Total	54	11.19				

**Table 2.5:** Permanova analysis on PCoA, using weighted unifrac. Both colony ID and the

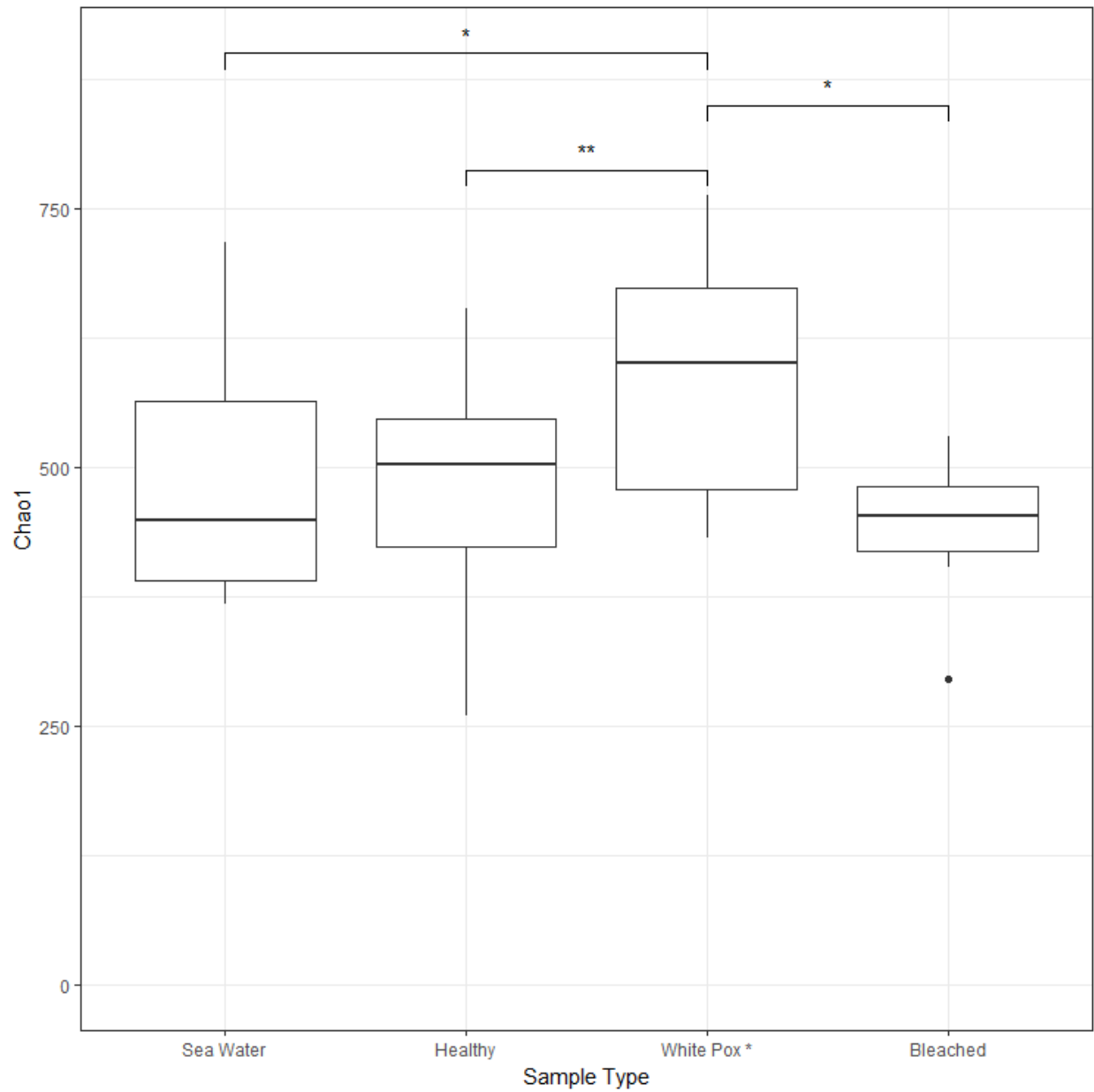
interaction between sample type and season were significant in predicting community

composition. However, interaction between sample type & season explained more variation than

colony ID (interaction  $R^2 = 0.2$  vs ID  $R^2 = 0.02$ ).

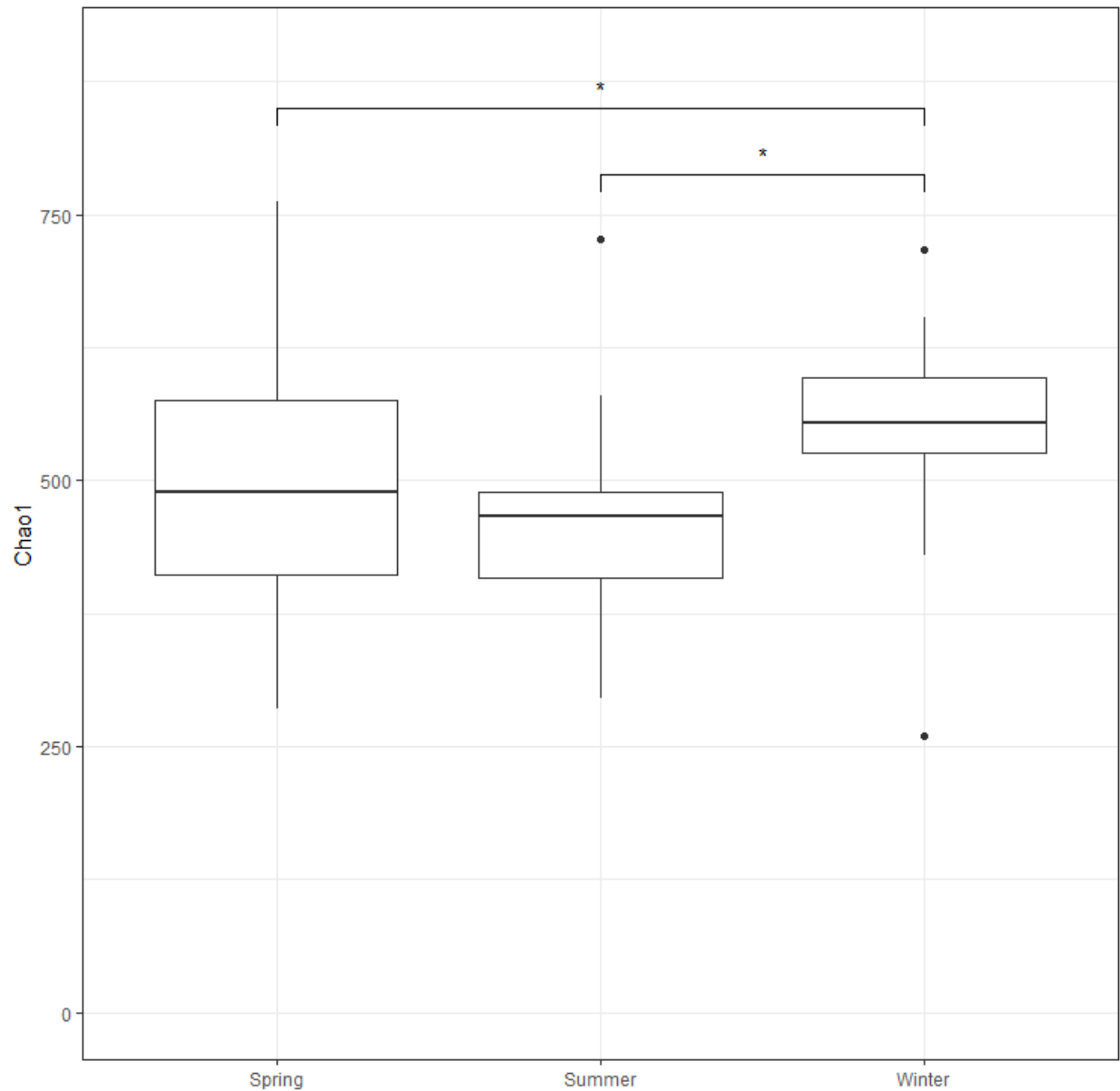


**Figure 2.1:** Distribution of sequencing depth for each sample prior to rarefaction. Here, sequencing depth for the majority of samples is distributed around 8,000 read counts per sample. Outlier read counts are of values 34,214 and 23,999. The minimum read count was 1270.

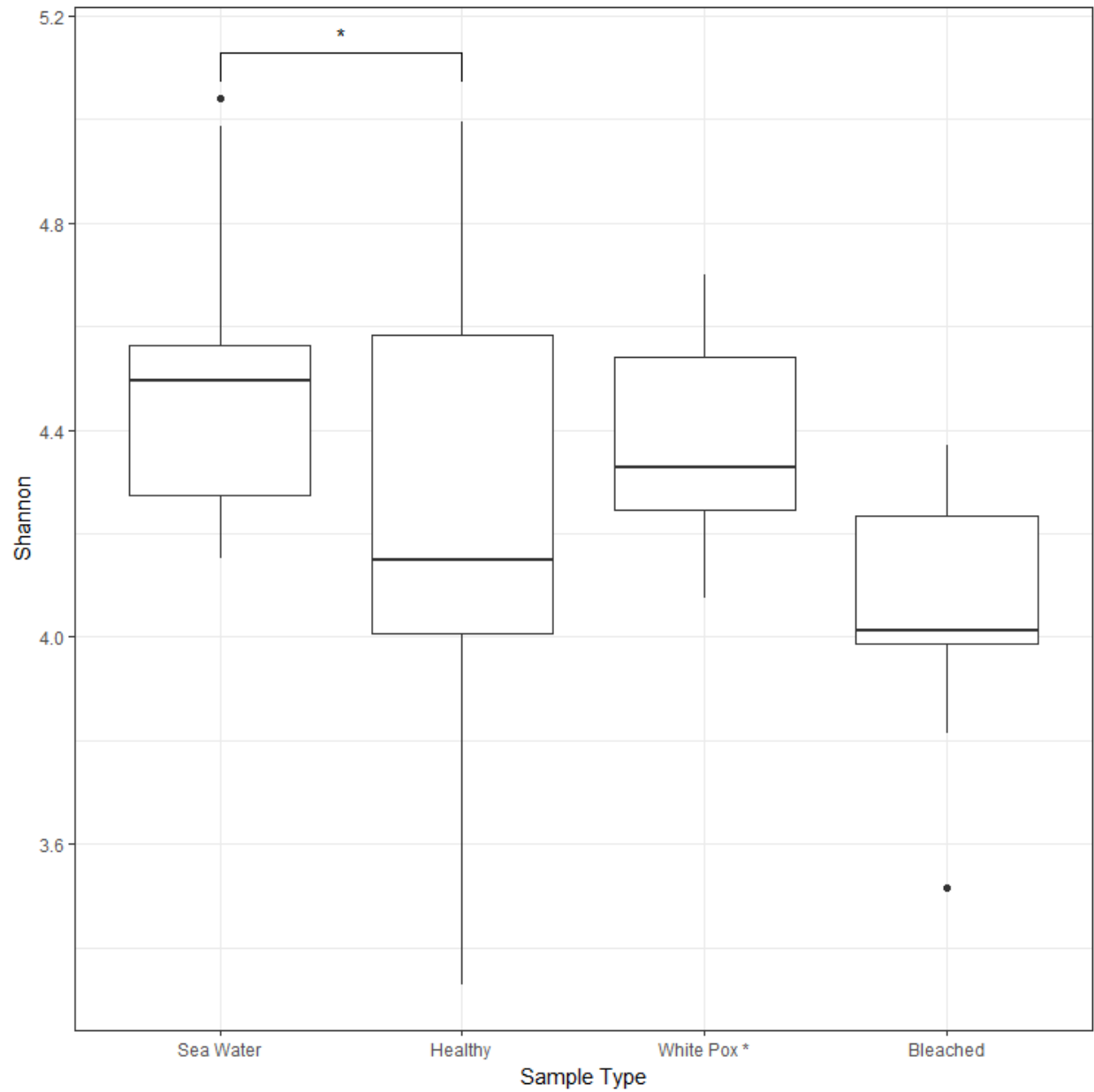


**Figure 2.2:** Estimated species richness (Chao1 values) by sample type using rarefied data.

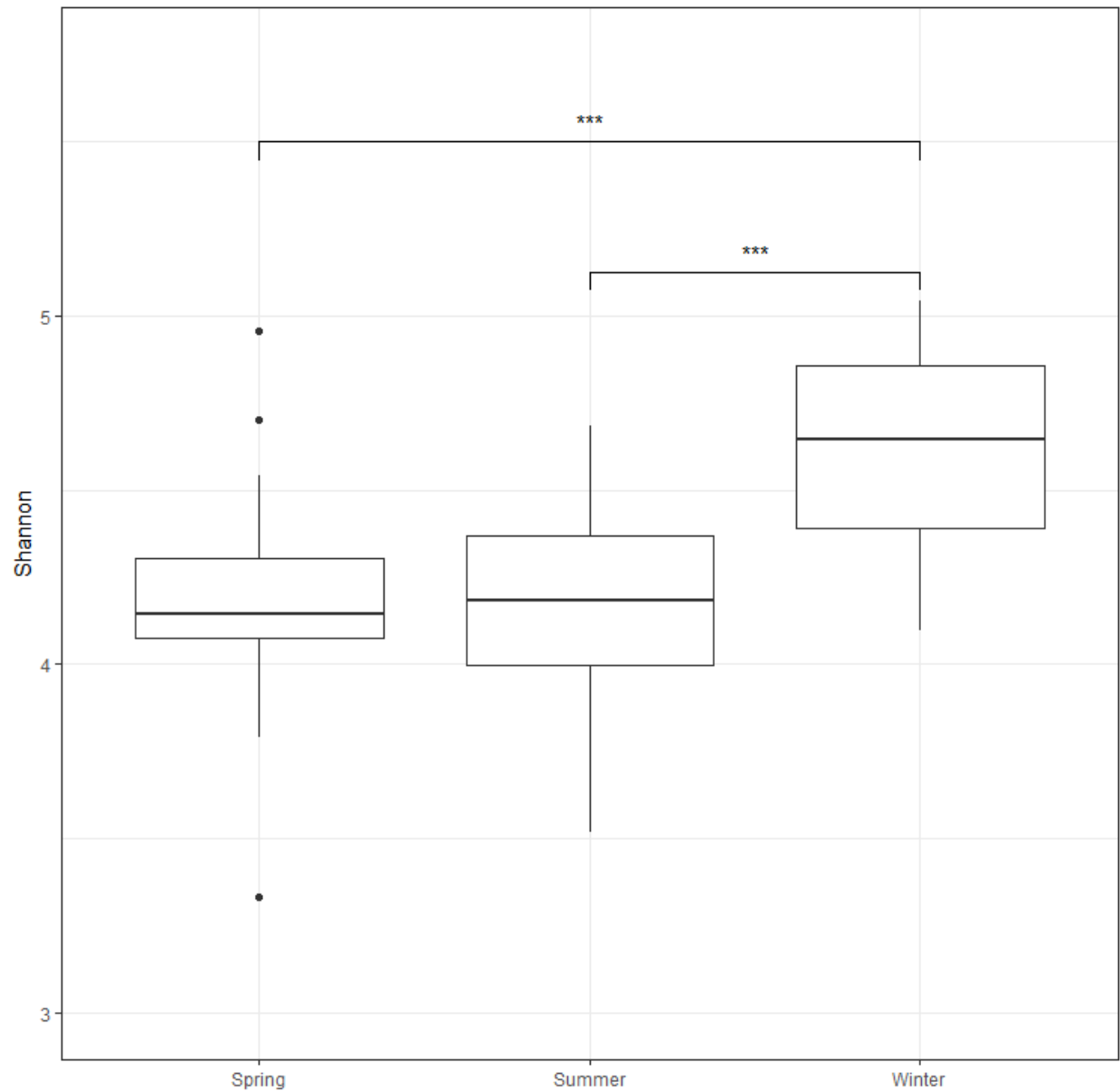
Samples collected from white pox lesions typically exhibited higher species richness compared to other sample types when compared with Tukey's honest significance test.



**Figure 2.3:** Estimated species richness (Chao1) by season using rarefied data. Species richness was observed to be typically higher during the winter. Richness values observed were statistically different between Spring – Winter and Summer -Winter but not for Spring – Summer, using Tukey’s honest significance test.

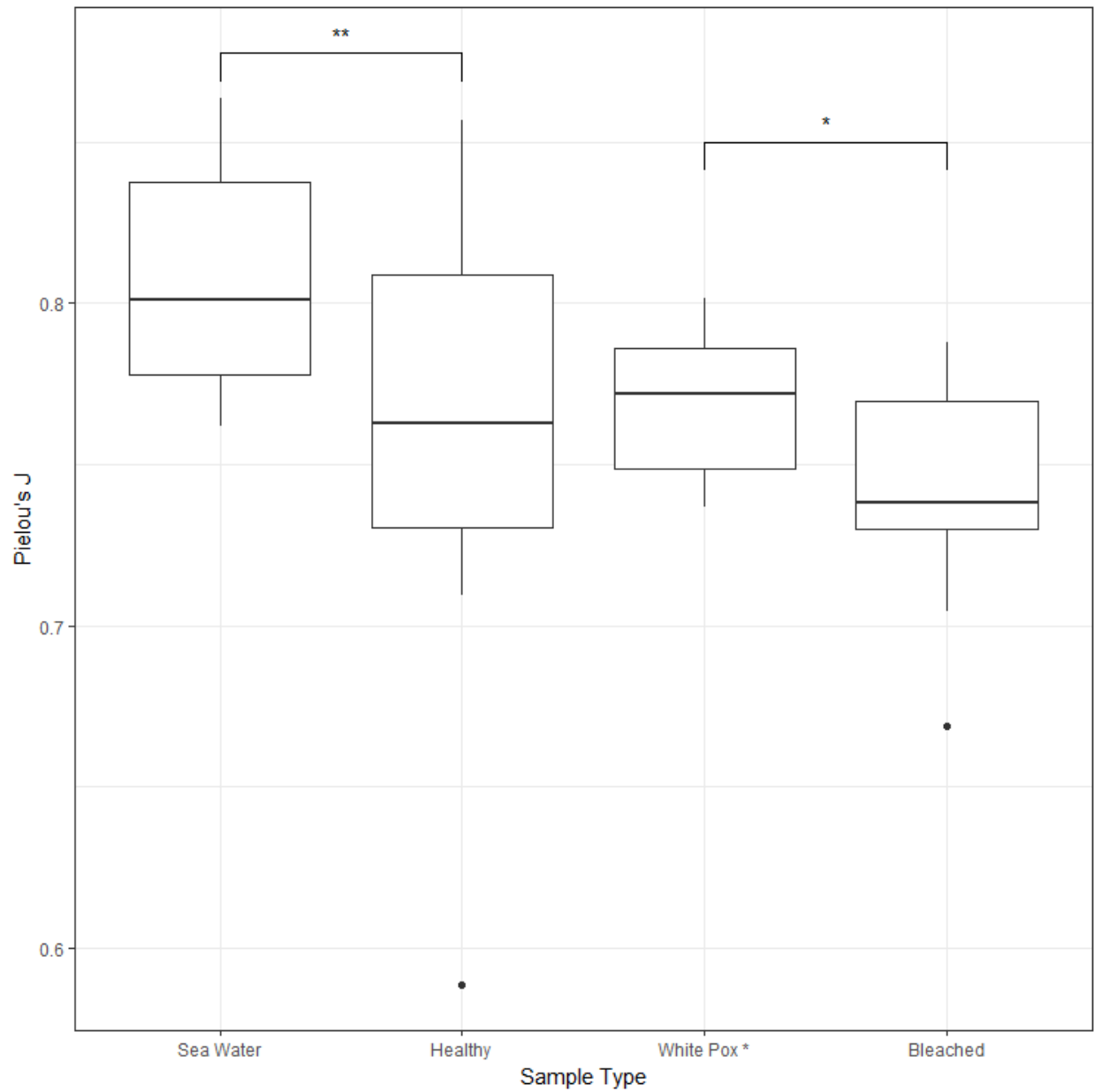


**Figure 2.4:** Shannon-Wiener index values by sample type using rarefied data. Shannon-Wiener index values were statistically significantly different between sea water and healthy samples, using Tukey's honest significance test.

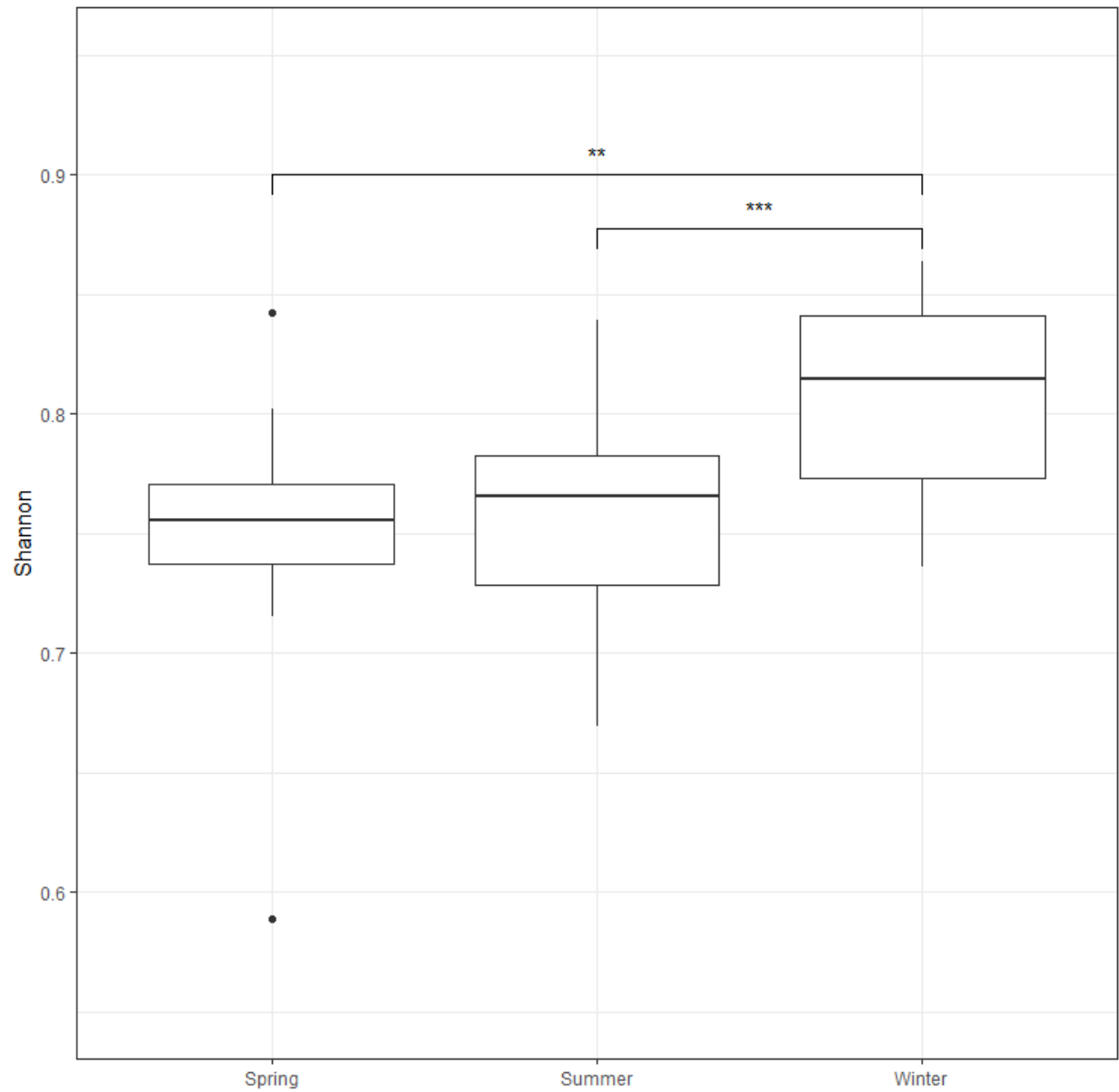


**Figure 2.5:** Shannon-Wiener index values by season using rarefied data. Shannon-Wiener index values were statistically significantly different between Spring – Winter and Summer -Winter but not Spring – Summer, using Tukey’s honest significance test.

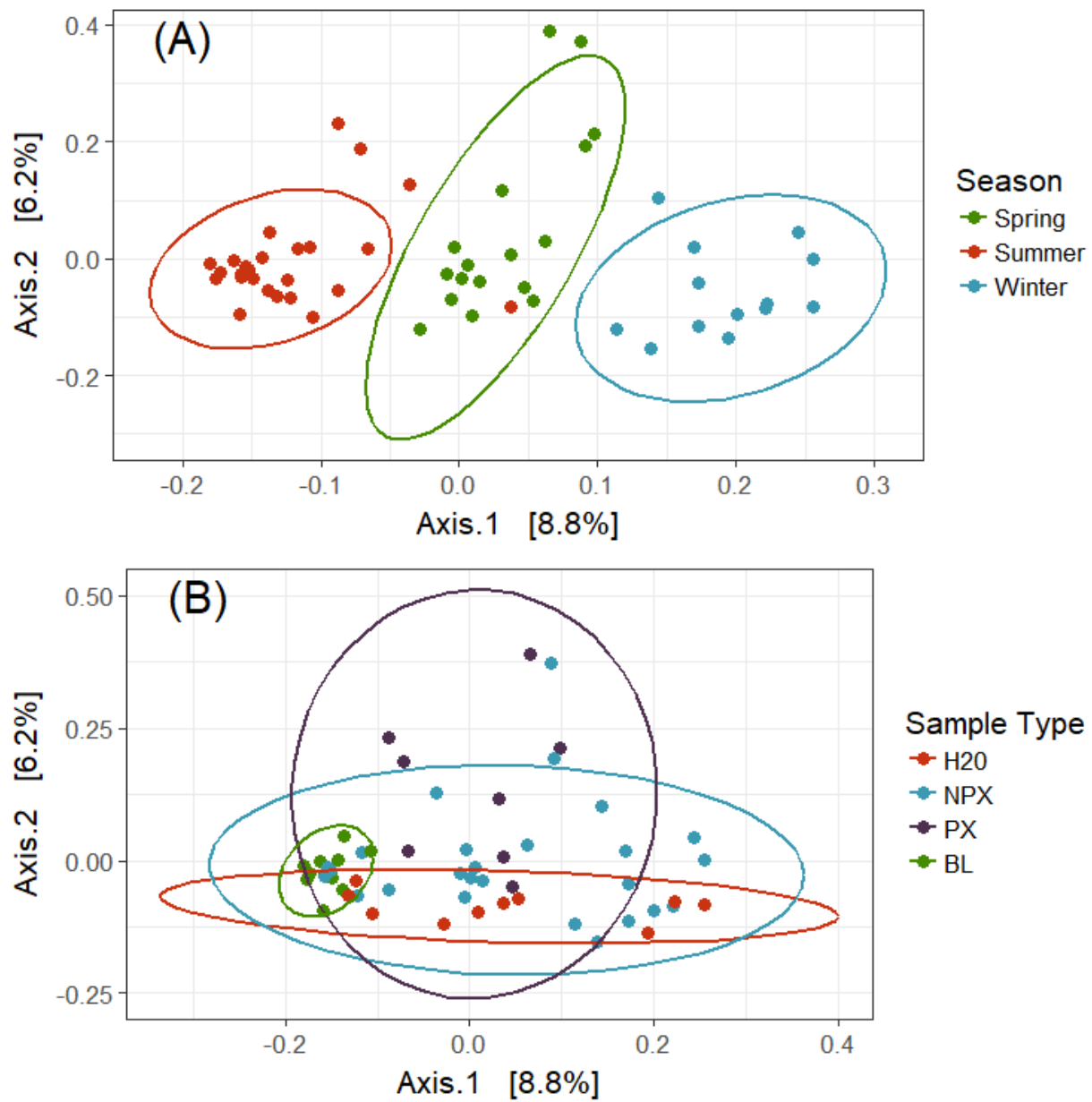




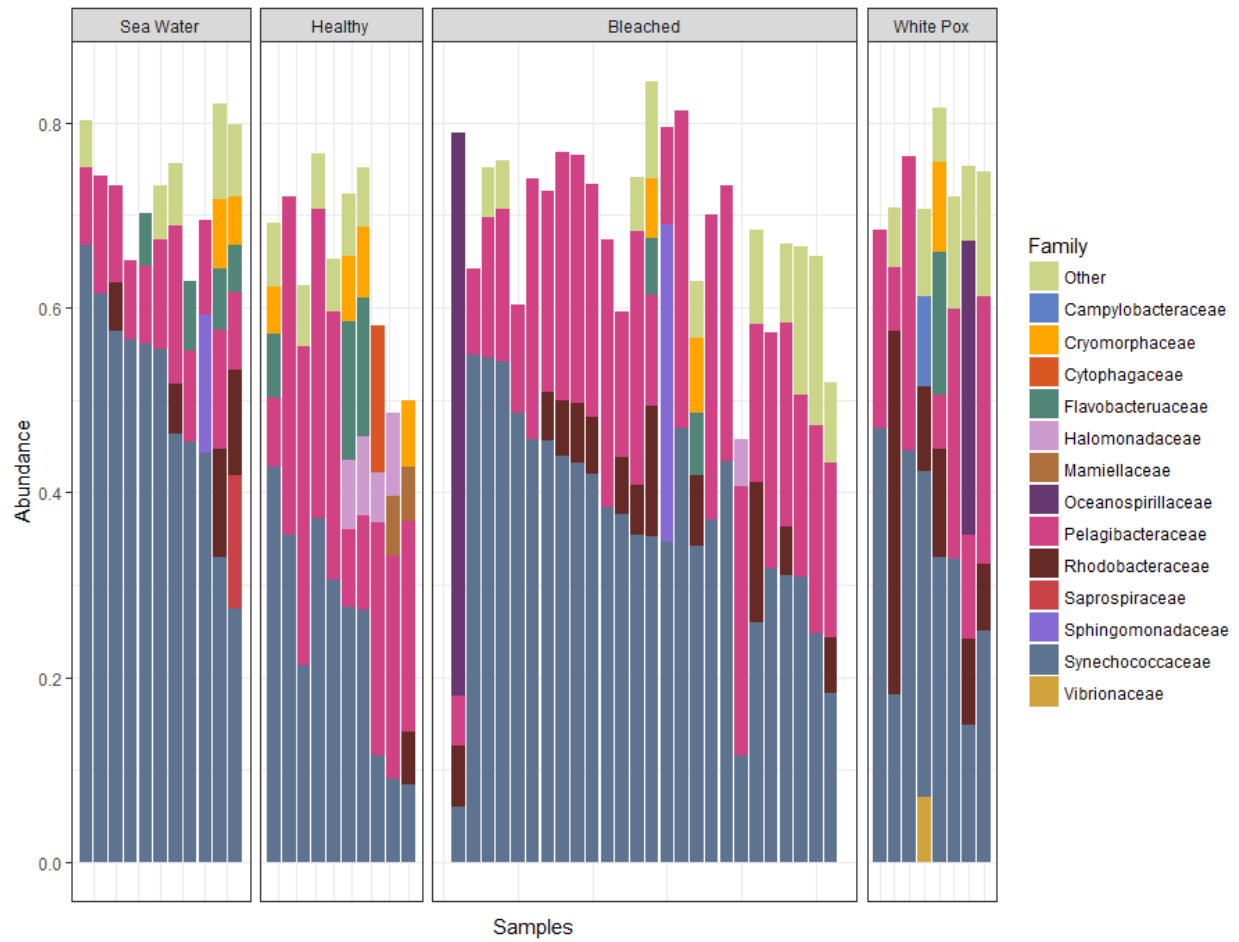
**Figure 2.6:** Pielou's J values by sample type using rarefied data. Pielou's J values were statistically significantly different between sea water – healthy and white pox – bleached, using Tukey's honest significance test.



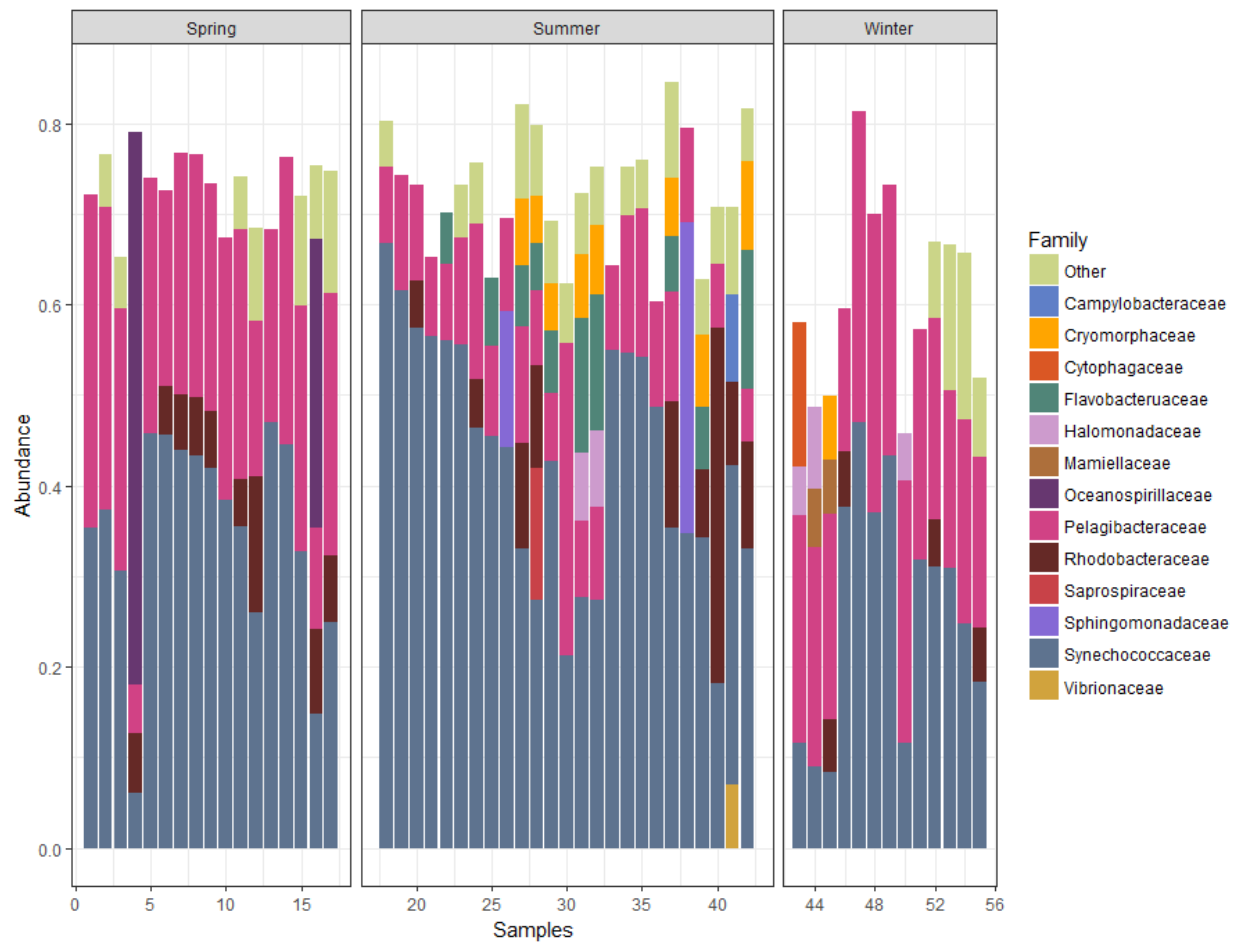
**Figure 2.7:** Pielou's J value by season using rarefied data. Pielou's J values were statistically significantly different between Spring – Winter and Summer -Winter but not Spring – Summer, using Tukey's honest significance test.



**Figure 2.8:** PCoA of all samples identified by (A) season and (B) sample type, with position determined from rarified data set (rarified to minimum OTU observed in a sample,  $n=1270$ ). Distance matrix was determined using UniFrac method.



**Figure 2.9:** Abundance of taxonomic groups of microbes (at the family level) grouped by sample type, using the non-rarefied data set.



**Figure 2.10:** Abundance of taxonomic groups of microbes (at the family level) grouped by season, using non-rarefied data.

CHAPTER 3

INVESTIGATING THE IMPORTANCE OF LOCAL SPATIAL STRUCTURE AND  
COLONY SIZE IN THE TRANSMISSION OF WHITE POX<sup>2</sup>

<sup>2</sup> Griffin AP, Park AW. To be submitted to *The American Naturalist*.

## Abstract

For decades, disease outbreaks across Caribbean coral reefs have significantly reduced populations of important reef building coral. However, despite their frequent occurrence and demonstrable impact, we still have limited knowledge of coral diseases, especially their local transmission within a reef. Using surveillance data collected during an outbreak of white pox disease at Looe Key Reef, FL, we evaluated several plausible hypotheses for local transmission of disease. Our analyses provide evidence that local spatial structure is important in the transmission of white pox disease, but incomplete data preclude us from singling out a transmission mechanism. Accordingly, we developed an additional simulation study to investigate how various sources of data incompleteness influence our ability to recover the true transmission model. The statistical framework developed here can be adapted to other systems to enhance our understanding of coral disease transmission more generally.

## Introduction

Over the last several decades, researchers have observed precipitous declines in the abundance, distribution, and function of coral reef ecosystems<sup>7,20,21,23</sup>. Globally, degradation of coral reefs has been attributed to a variety of factors, including eutrophication (which promotes overgrowth of coral by algae<sup>141,142</sup>) and increased temperature (which leads to coral bleaching<sup>22,24</sup>). Some corals also have experienced high mortality due to pathogens. Indeed, the incidence of disease has been both more frequent and more severe in recent years<sup>143</sup>. For example, the reef-building coral *Acropora palmata* was almost extirpated from the Florida Keys National Marine Sanctuary<sup>32</sup>. The loss of this important branching coral has contributed to the regional decline of complex three-dimensional structure, which can significantly hinder a local reef's ability to recover from

disturbance events <sup>7,144,145</sup>. In the Caribbean, symptoms of disease have been reported in the scientific literature since the late 1970s <sup>37</sup>. However, despite widespread occurrence, severity, and high level of incidence, the processes governing how pathogens that cause coral diseases spread within reefs is poorly understood.

Local spatial structure is known to drive transmission in other sessile organisms <sup>146,147</sup>. For example, by analyzing the spatial point pattern of infected and severely infected sea fan coral researchers observed that infected sea fans formed clusters at characteristic spatial scales, supporting the notion of local contagious spread <sup>54</sup>. Another study found evidence that a water-borne transmission model for black band disease, whereby the pathogen is shed into the environment by infected colonies, performed better at explaining patterns of local disease transmission than a model that considers direct contact, where new infected corals are located in close proximity, approximately 2mm, to previously infected corals <sup>56</sup>. In a study for white-plague disease, newly infected colonies were more likely to occur near colonies that were infected in the previous survey <sup>148</sup>.

In addition to local spatial structure, susceptible host size can also contribute to the probability of a colony becoming infected. Given that marine pathogens frequently must persist in the environment outside of hosts, we suspect that individual colony size could influence local pathogen transmission due to larger colonies serving as bigger targets for the pathogen to come in contact with. Evidence for colony size has been observed in several coral disease systems. In sea-fans, Jolles et al. (2003) observed disease prevalence was higher in larger sea fans and that disease was more clustered in larger sea fans than smaller ones. In Puerto Rico, field surveillance of yellow band disease was shown to disproportionately affect colonies larger than 50 cm <sup>65</sup>. In *A. palmata*, colony size was observed to be a significant predictor of incidence of white pox



disease <sup>64</sup>. Thus, available evidence suggests that both host size and spatial structure help explain local pathogen transmission among coral colonies, with the caveat that older colonies are typically larger and may have had more time to acquire infection. This means that some caution must be exercised when invoking the role of colony size, *per se*. However, in the white pox system, the disease typically disappears in the winter, and therefore infection is unlikely to be disproportionate in older colonies unless age affects an unmeasured physiological trait that relates to susceptibility.

The classical approach to modeling infectious disease dynamics assumes that the host population has homogenous mixing <sup>11</sup>, whereby contact between individuals is random. This characterization of random contacts between individuals in models may artificially increase the speed of spread compared to natural, spatially-structured systems. Adopting a spatial framework for modeling coral disease dynamics can encompass the observed importance of inter-colony distance and more realistic patterns of pathogen transmission. One such framework, arguably the ancestor of the approach used here, is a metapopulation approach, where classically there are multiple, distinct populations of hosts that are connected by dispersal. In recent decades there has been considerable progress in the integration of metapopulation dynamics and pathogen transmission. Example case studies, such as measles virus in humans <sup>149</sup> and distemper virus in seals <sup>150</sup>, incorporate the discrete nature of populations coupled by movement of individuals between populations. With spatially structured populations, these models can more accurately describe the transmission dynamics <sup>13</sup> and the probability of long-term pathogen persistence in affected populations <sup>150</sup>. Within coral reefs, we can apply a similar logic where we consider the reef as a metapopulation composed of discrete colonies. Colonies may be infected or uninfected,

and production of infectious propagules on a colony can cause transmission at other colonies. In this way a colony is treated like a subpopulation in a metapopulation system.

This framework for modeling the spread of infectious disease introduces the notion of the strength of coupling between two sub-populations. While coupling can be hard to measure directly, models can incorporate realistic heterogeneity by including, for example, relevant information on the sub-population sizes along with spatial structure. An example of this was demonstrated with a measles epidemic in the UK <sup>13</sup>, which implemented a ‘gravity’ model. The term ‘gravity’ comes from the planetary analogy in which the gravitational force between two planets is related to the product of their masses and inversely related to the distance between them. Using spatially-resolved demographic and epidemiological data, Xia et al. (2004)<sup>13</sup> estimated coupling between sub-populations via the product of the two sub-population sizes divided by their distance. This was shown to be a statistically superior model compared to models that made more simplistic assumptions about the degree of coupling between sub-populations. More recently, this approach has been extended to a generalized gravity model to explain the spread of the fungal pathogen that causes white-nose syndrome in bat populations and the spread of Ebola in West Africa <sup>151,152</sup>. With these models, populations are represented as nodes in a network, and a generic function is used to characterize the probability ( $p$ ) that a susceptible node  $i$  escapes infection from an infected node  $j$  in a fixed time interval:

$$p_{ij} = \frac{1}{1 + e^{-f}} \quad (1)$$

The function smoothly varies between 0 and 1, and the component  $f$  can be a function of different spatial, demographic or environmental traits. The pattern of nodes switching from

uninfected to infected over time as a result of pairwise interactions between nodes may be described in an exact likelihood expression<sup>152,153</sup>. The component  $f$  can then be modified to represent different rules for transmission. Each modified  $f$  component is a different model that represents a hypothesis for pathogen transmission. This approach allows the models to be competed against one another to determine which model best explains the observed data.

In contrast to well-studied plant and animal populations, the spread of pathogens in coral populations is relatively under-developed. Given the sessile nature of corals and the evidence that colony size is important to transmission, we develop and test a set of generalized gravity models to explain the spatial dynamics for a local outbreak of white pox disease. While our version is closely related to gravity models described above<sup>152,153</sup>, we assume that nodes are individual colonies, with the distance between pairs of susceptible and infected colonies and the sizes of the two colonies potentially influencing transmission: i.e., via the function,  $f$ , in Eq. 1. In classic metapopulation gravity models, subpopulation sizes are important as they link locations by dispersal of individuals. Here, we assume that colony sizes are important because large infected colonies may produce more infectious propagules than small infected colonies, and large susceptible colonies may provide larger targets for infectious propagules, compared to small susceptible colonies.

The spatiotemporal data for white pox disease dynamics lend themselves to integration with the generalized gravity modeling framework to assess if and how inter-colony distance and colony size contribute to transmission risk. Accordingly, we construct and analyze seven different models for white pox disease transmission at the reef scale and determine which models provide the best fit to the empirical data set. The competing models represent various biological

hypothesis, explained below, that express how white pox disease may be transmitted between *A. palmata* colonies within a reef.

## **Methods**

### *Data Collection*

Researchers visited Looe Key reef during in 2009 in June, July, August, and September. Looe Key reef is located at (24° 32' 51" N, 81° 24' 24" W) inside the Florida Keys National Marine Sanctuary (FKNMS). The initial month was selected as part of a seasonal survey for disease, and researchers decided to conduct follow-up surveys after a severe outbreak was detected. Each month researchers, using SCUBA, documented the health status of individual *A. palmata* colonies. Colonies were determined to be either infected or uninfected by the presentation of characteristic symptoms of white pox disease, consisting of irregularly shaped white blotches distributed across the coral surface. Surveyed colonies were photographed for reference, and a scale ball was placed in the field of view to facilitate measurement of colony surface area and lesion size. Colony size was then measured via photography analysis using *imageJ* (version 1.47) to estimate the projected surface area for each colony. Colony position was determined by measuring the distance and bearing of the colony center from a reference stake. Due to erratic extreme weather events, some surveys were terminated early, leaving the health status of certain colonies unknown.

### *Competing Hypotheses for White Pox Disease Transmission*

#### *General Probability Function and Likelihood expression*

The general functional form for the probability that a healthy colony escapes infection by an infected colony in a fixed time interval, equation (1), forms the building block for the expression for the likelihood of observing a data set. At each time point,  $t$ , the set of source

(infected) colonies,  $j$ , were identified as those infected at the previous time point,  $t-1$ , and uninfected target colonies,  $i$ , were grouped into two subsets: ' $i_1$ : becoming infected at time  $t$ ' and ' $i_0$ : remaining uninfected at time  $t$ '. Consequently, the likelihood of the observed events at time  $t$  is the product of the probability that  $i_0$  colonies escaped infection from all  $j$  sources multiplied by the probability that all  $i_1$  colonies were infected by at least one  $j$  source. These two probabilities can be further expanded based upon Eq. 1. We let  $p_{ij}$  be the probability that colony  $j$  fails to infect colony  $i$  at time  $t$ , with the form of  $p_{ij}$  given by the transmission models in Table 1. For convenience, we estimate the probability of escaping infection because it is easier to determine the probability of escaping all infected colonies rather than considering the probability of becoming infected from all possible combinations of infected colonies. To accommodate these properties, the data were coded as surveillance-date records of infection status (infected:  $y = 1$ ; uninfected:  $y = 0$ ) and fitted to minimize the negative log-likelihood of observing the full dataset across time points:

$$-\sum_t \log \left\{ \prod_{i_1} \left\{ 1 - \prod_j p_{i_1 j} \right\} \times \prod_{i_0} \left\{ \prod_j p_{i_0 j} \right\} \right\} \quad (2)$$

This approach allows us to determine the likelihood of each candidate model and thus determine the AICc for each model in describing the outbreak of white pox disease at Looe Key. We compared 7 different models, which varied in the functional form of the expression  $f$ , in Equation 1. The various functional forms for  $f$  are summarized in Table 1 and differed in whether they included effects of distance, the size of the susceptible colony, and/or the size of the colony that was the potential source of the disease. We next briefly describe each of these models.

#### *Reduced Null*

A reduced null model which simply assumes a fixed probability ( $q$ ) of infection, per sampling interval, regardless of prevalence (i.e., a non-contagious process) was calculated as the

likelihood of the binomial event in which each infected colony that first remains uninfected for  $t$  time periods contributes  $q(1-q)^t$  to the overall likelihood and each colony that never gets infected contributes  $(1-q)^T$  to the overall likelihood, where  $T$  is the total number of time periods in which infection could occur. This provides a fixed probability of becoming infected. This reduced null model is included for completeness. However, because it is of a different form to the other models (considering intrinsic colony infection risk, versus escaping contagious infection from other colonies) it does not have an equation for  $f$ . Relatedly, comparison with other models (via likelihood and  $\Delta$  AIC) is provisional because the reduced null model fit is based on a different amount of data. The maximum likelihood estimate for  $q$  is simply the ration of number of times an infection event occurred to the number of times an infection event could have occurred.

#### *Null Model*

The main null model represents a general probability of escaping infection in which all infected-susceptible colony pairs are considered to be equivalent (and not dependent on their relative positions or sizes). For this model,  $f$  is represented only by the parameter  $\beta_0$ . Because this null model has a fixed probability of escaping infection (i.e., assuming a contagious process), it means that when there are many infected colonies the overall probability of escaping infection is lower than when there are few infected colonies.

#### *Colony Size Model*

The colony size model represents the scenario that the size of the uninfected colony affects its probability of becoming infected. Similar to the null model, the general probability function is modified to estimate the probability that colony  $i$  becomes infected. For this model,  $f$  takes the form of  $\beta_0 + \beta_1 * S_i$  where  $\beta_0$  is the parameter defining a baseline probability of infection, and  $\beta_1$  represents the additional contribution of a unit increase in uninfected colony

size. The sign of  $\beta_1$  indicates if larger colonies are more ( $\beta_1 > 0$ ) or less ( $\beta_1 < 0$ ) likely to become infected.

### *Spatial Diffusion Model*

The spatial diffusion model represents the scenario where inter-colony distance ( $d_{ij}$ ) influences the probability that an uninfected colony escapes infection from infected counterparts. The phrase ‘diffusion model’ is widely used in the gravity modeling literature and relates to particles undergoing diffusion such that they are more likely to travel relatively short vs. long distances in a fixed time interval. For this model,  $f$  takes the form of  $\beta_0 + \beta_1 * d_{ij}$ . Here  $\beta_0$  is a parameter defining a baseline probability of infection, and  $\beta_1$  represents the additional contribution of the distance between uninfected colony  $i$  and infected colony  $j$ .

### *Generalized Gravity Models*

The generalized gravity model approach considers the interaction between the size and distance of two objects. The interaction between two objects consists of the distance between them divided by the product of their sizes.

#### *Generalized Gravity Model 1*

Generalized gravity model 1 considers the distance between two colonies, infected and susceptible, and the size of both colonies. Biologically, the size of the infected colony could lead to more pathogen shedding in the environment while the size of the susceptible colony would provide a larger target for free-floating pathogens. For this model,  $f$  takes the form of  $\beta_0 + \frac{\beta_1 * d_{ij}}{(S_i * S_j)^{\beta_2}}$ . Here  $\beta_0$  is the parameter defining a baseline probability of infection,  $\beta_1$  represents the parameter for the distance between colony  $i$  and colony  $j$ , and  $\beta_2$  represents the parameter for the contribution of the size of both colony  $i$  and colony  $j$ .

#### *Generalized Gravity Model 2*



Generalized gravity model 2 considers the distance between two colonies and the size of only the uninfected colony  $i$ . Biologically, this would mean that only the size of the susceptible colony is important in pathogen transmission (a large target). For this model,  $f$  takes the form of  $\beta_0 + \frac{\beta_1 * d_{ij}}{S_i^{\beta_2}}$ . Here  $\beta_0$  is the parameter defining a baseline probability of infection,  $\beta_1$  represents the parameter for the distance between colony  $i$  and colony  $j$ , and  $\beta_2$  represents the parameter for the contribution of the size of only colony  $i$ .

### *Generalized Gravity Model 3*

Generalized gravity model 3 considers the distance between two colonies and the size of only the infected colony  $j$ . Biologically, this would mean that larger colonies would be shedding more pathogens into the environment, but susceptible colony size is not important for transmission. For this model,  $f$  takes the form of  $\beta_0 + \frac{\beta_1 * d_{ij}}{S_j^{\beta_2}}$ . Here  $\beta_0$  is the parameter defining a baseline probability of infection,  $\beta_1$  represents the parameter for the distance between colony  $i$  and colony  $j$ , and  $\beta_2$  represents the parameter for the contribution of the size of only colony  $j$ .

### *Colony-Size-Independent Model*

The last model, colony-size-independent, considers how the distance between colonies and the size of the colonies independently contribute to the probability that colony  $i$  escapes infection. It is more in the spirit of the language of generalized linear modeling. Biologically, both size and spatial structure are important for disease transmission. However, there isn't a gravity interaction between large and small colonies. For this model,  $f$  takes the form of  $\beta_0 + \beta_1 * d_{ij} + \beta_2 * S_{ij}$ . Here  $\beta_0$  is the parameter defining a baseline probability of infection,  $\beta_1$

represents the parameter for the distance between colony  $i$  and colony  $j$ , and  $\beta_2$  represents the parameter for the contribution of the size of both colony  $i$  and colony  $j$  (in product form).

### *Using Maximum Likelihood and AICc to evaluate competing models*

#### *Estimating Likelihood*

A maximum likelihood approach was adopted for parameter estimation. The maximum likelihood method determines the best combination of parameter values for a specified model that maximizes the chances of observing the data. For each model, the initial estimation method used the Nelder-Mead algorithm for optimization (also known as downhill simplex). The Nelder-Mead method initially covers a wide area of parameter space that heuristically reduces the size of the simplex with each iteration. A drawback to Nelder-Mead is that it is susceptible to finding a local minimum and does not necessarily thoroughly explore global parameter space. Conversely, simulated annealing is a global optimization method that probabilistically jumps around parameter space. This jumping helps increase the chances of finding a global minimum and reduces the chances of getting stuck in a local minimum. However, simulated annealing can be difficult to implement when the parameter space is not discretely defined. The estimation procedure was an integration of both methods by first optimizing locally with the use of Nelder-Mead<sup>152</sup>, then estimating parameters with simulated annealing using local estimated parameters from Nelder-Mead as an initial condition<sup>152</sup>. Local optimization, Nelder-Mead, was run before global, simulated annealing, due to the parameter space not being well defined or discrete. The Broyden-Fletcher-Goldfarb-Shanno, BFGS, algorithm was used for estimating the null model parameter because the null model only has one parameter being estimated.

#### *Evaluating Model Performance*

##### *AICc*

Akaike information criterion, adjusted for small sample sizes, AICc, was used to evaluate model performance:

$$AICc = -2\ln(L) + 2K + \frac{2K(K + 1)}{(n - K - 1)} \quad (4)$$

Where  $K$  represents the number of parameters in the model,  $L$  represents the estimated likelihood for that model, and  $n$  is the number of uninfected coral colonies. To compare AICc values with the best fit model,  $\Delta AICc$  values were calculated:

$$\Delta AICc = AICc - \min(AICc) \quad (5)$$

Models within 2  $\Delta AICc$  units were not considered demonstrably different in their relative quality.

#### *Censored Colonies*

The health status of certain colonies was unknown at certain points during the survey (Figure 3.1). Permutation sets, containing all possible scenarios of infected / uninfected for censored colonies, were generated to account for the censorship of colony health status. The collective set of permutations accounted for all possible combinations of healthy and diseases censored colonies. The negative log likelihood was determined for each permutation. The average negative log likelihood can be considered as the sum of estimated negative log likelihoods divided by the number of permutations. The average negative log likelihood is the value that is returned from the estimation procedure.

#### *Goodness of Fit*

A stochastic simulation was conducted with the best-fit model to evaluate how the best fit model performs in terms of generating data similar to the observed data. The simulation incorporated the best-supported model (i.e., the spatial diffusion model: see Results) to simulate

an epidemic over three months. Initial conditions for simulation were determined based on a colony's observed health status in the first month of surveillance. Status was determined with a weighted binomial distribution for colonies that were censored during the first month. The binomial weight was calculated as the observed proportion of infected colonies. After determining the initial conditions, the model parameters were then used to simulate the next three observed months of the epidemic. For each month, the model determined the infection status of each colony, and from this, it calculated the probability of becoming infected for each healthy colony. A weighted binomial draw was then performed for each healthy colony using its unique probability of becoming infected. In total, we generated ten initial condition sets due to the uncertainty in censored colonies and simulated each set ten times, for a total of 100 simulations. When then compared the observed cumulative prevalence from field observations with the cumulative prevalence from simulations as a measure of goodness of fit.

### *Simulating White Pox Disease Epidemics*

For many marine systems, diseases are often considered caused by opportunistic pathogens that can emerge with little warning. We experienced these challenges when conducting surveillance for white pox disease and almost always missed the onset of white pox epidemics. Missing the initial outbreak period often resulted in many missed opportunities for observing disease transmission. Given these challenges, we developed a simulation for white pox dynamics to assess if our approach could recover the model that generated the data. Our experimental design first establishes how well maximum likelihood detects the generating model. Furthermore, we wanted to recreate scenarios often encountered with surveillance data from

marine diseases. We accomplished this by degrading the generated data in multiple ways (Figure 3.1) and exploring how the degraded data can influence the initial model results.

### *Rationale*

The empirical data fitting exercise ultimately led to some equivocal results. This was considered to be due to limitations of the data set: 1) approximately 2/3 of the observed colonies for the first month were infected with disease, giving limited ability to observe new infections; 2) 20% of the colonies were not observed in any month, further limiting the opportunities for observing transmission; and 3) we only observed one outbreak of disease in one local population. To assess how our general approach is impacted by these data limitations, we developed a simulation model that generated a white pox disease outbreak. We then degraded the quality of simulated data by censoring the infection status of certain colonies. We identified four different types of censorship we believed might limit model performance, and that reflect issues typically encountered when working with surveillance data from the marine environment.

### *Simulation Approach*

The developed model simulated a white pox outbreak occurring over several time steps, using the diffusion model and colony spatial structure (ultimately, two reasonably well-supported models) and demographic population data (number, size and location of colonies) from the 2009 summer surveillance at Looe Key reef. We ran the model for ten-time steps. We used the parameters estimated from the observed epidemic as a starting point. We then found our new parameters by finding a parameter set that typically resulted in an average cumulative prevalence similar to field surveillance data.

## *Treatments for censoring simulated data*

### *1) Random Censoring.*

The first treatment represents a scenario in which a colony was not observed during a survey, which would mean that its health status is censored. An explanation for why a colony went unobserved could be because sudden changes in weather conditions resulted in an early termination of the survey. With the simulated data, a colony and time point were chosen at random, then that colony's health status was changed from either healthy or infected to censored. Colonies were exempted from censoring if they were the only infected colony for a given time point.

### *2) Colony Censoring*

The second treatment represents a scenario in which a colony was never detected at a site but contributed to transmission. This might occur when a colony was beyond the edge of the site within a reef, but close enough to influence the probability of other colonies becoming infected. A colony that was selected for complete censoring had its health status masked in subsequent analyses (relative to control, in which the analysis was performed without masking).

### *3) Temporal Censoring*

The third treatment represents missed opportunities for observing the spread of disease. There is limited evidence to suggest how quickly a pathogen may spread during an outbreak event. This means that researchers may have a mismatch between their frequency of surveillance and the rate of spread. For this situation, we randomly selected time points during the disease epidemic and masked them from data analysis (relative to control).

#### *4) Initial censoring*

Many marine pathogens are considered to be opportunistic and often emerge with little warning<sup>154</sup>. This leads to situations where researchers begin collecting outbreak information well into the current epidemic. With the supply of susceptible individuals depleted, so are the opportunities to observe the spread of disease, which could bias results. For this treatment, we started recording the status of coral colonies after there was 50% disease prevalence in a given simulation. 50% prevalence would be close to observed prevalence if we assumed all censored colonies were uninfected.

### **Results**

#### *Disease Surveillance*

During the summer of 2009, researchers followed an outbreak of white pox disease at Looe Key reef, FKNMS, from June through September by visiting the study site each month and observing the health status of 34 individual colonies (Figure 3.2). However, we occasionally missed a colony during a survey. In the first month, seven (of 34) colonies were not surveyed. While in the third month, one colony was again not surveyed, and another was lost due to mortality. Disease incidence, new cases of disease, were highest in the initial survey with 19 cases of disease. This diminished in each follow up survey to a minimum value of 3 new cases (Figure 3.3). Cases of white pox disease were observed in every month in this study, including the initial observation, and the highest prevalence of disease was observed in July (Figure 3.4). Disease prevalence averaged 72% for the first three months and dropped to 38% in the final month of the survey. In the initial survey we observed that larger colonies were more frequently infected than smaller colonies (Figures 3.5 & 3.6). From June to July, we observed 4 colonies became infected while another 4 colonies became uninfected (Figure 3.2). From July to August, 2

colonies became infected while another 2 colonies became uninfected (Figure 3.2). From August to September, 2 colonies became infected and 12 colonies became uninfected (Figure 3.2). Through out all four surveys, 2 colonies were never observed to be infected and 5 colonies were observed to be infected in each survey (Figure 3.2).

### *Empirical Fit*

The spatial diffusion model (which did not include effects of colony size) provided the best fit, based on AICc (Table 3.2), although the null model was also plausible (i.e.,  $\Delta\text{AICc}=2.78$ ). This indicates that, at the very least, the prevalence at any point in time impacts the probability of infection, and further, that local spatial structure is likely important for pathogen transmission, though an extended data set (such as would be generated by catching the inception of the epidemic) would be required at a reef of this population size to definitively advance the hypothesis of transmission to near neighbors (i.e., the spatial diffusion model). Additionally, the results from the reduced null model found that the NLL was 22.2, suggesting a poor fit.

### *Goodness of Fit*

Using parameter estimates from the best-fit model, we predicted that the pathogen is expected to spread quickly, going from an initial prevalence of 65% in June to a prevalence of 95% in the next month, and progressing to 100% by September (Figure 3.7). However, the observed cumulative prevalence is somewhat lower in the real data, although the trend is quite similar.

### *Simulation*

When simulating spread under the spatial diffusion model, the infection spreads from an infected individual to nearby uninfected individuals. With the complete simulated data (no



censoring / data degradation), we observed that the maximum likelihood approach recovered diffusion as the true model the majority of the time ( Table 3.4, Figure 3.8). It appears that three of the data degradation patterns, random, colony, and temporal had limited influence on the detection of spatial diffusion as the true mechanism ( Table 3.4). However, censoring the onset of the epidemic did dramatically influence our ability to detect the true model, with no model generating a superior fit compared to any other (Table 3.4).

## **Discussion**

### *Intercolony Distance Contributes to Infection Risk*

Confronting our models with observational data from an outbreak of white pox disease, we found evidence that local spatial structure plays a role in transmission (Table 2). This extends the limited evidence on transmission mechanisms in coral diseases; in a previous study, researchers found that local spatial structure was also important in the contagious spread of white plague disease in the Gulf of Eliat Red Sea <sup>148</sup>. Evidence for local spatial structure suggests that reefs may be differentially at risk following an index case, based on their density and colony configuration. It is likely that as individual colonies are lost over time due to environmental disruption, such as temperature stress and bleaching, reefs may be at a reduced risk from contagious pathogens. Conversely, growing reef populations may become more vulnerable to disease outbreaks as their demography transitions them to a denser status. Being unable to observe the onset of the epidemic, impacted our ability to rule out alternative hypotheses, particularly the prevalence-only null model.

### *Lack of detection of the effect of colony size*

We were unable to detect any evidence that colony size contributed to infection risk (Table 3.2). We anticipated that colony size would be important, as suggested by previous

research<sup>64</sup>. The relatively poor performance of models containing information about colony sizes could be due to missing the early onset of the epidemic, limiting the opportunity to observe which colonies were driving the establishment of white pox disease. In the first month of observation, an appreciable proportion of the larger colonies were observed to be already infected (Figures 3.5 & 3.6). A high number of large colonies already infected would limit the influence for any model that accounted for colony size.

#### *Imperfect Goodness of fit performance*

Compared to the observed trend, the goodness of fit simulations tended to over-predict cumulative prevalence. The rate of infection in the model is determined by the parameter values that were estimated with maximum likelihood. It is plausible that the estimation was limited by the low sample size of surveillance data. One assumption in our model that could also explain the overestimation of spread is that our colonies do not recover once they become infected. Ignoring recovery allows infected individuals to build up over the course of the epidemic at an inflated rate and increases the risk of susceptible colonies becoming infected, although recovery is not common in the white pox disease system except on approaching winter conditions. In the Looe Key 2009 data used here, recovery appears to occur on several occasions, particularly toward the end of the epidemic, and accounting for this by correspondingly lowering the observed prevalence actually leads to much better agreement between model-based and empirical cumulative prevalence.

#### *What we learned from our simulation approach*

From our simulation, we found that many types of colony censoring had a limited influence on detecting the underlying model (Figure 3.8). However, censoring the onset of the epidemic did reduce the overall separation between models (Figure 3.8). When we first arrived at

our study site in June 2009, we found the outbreak to be well underway (Figure 3.3). The observed prevalence was greater than 50% in our first survey (Figure 3.4). There were also several colonies whose health status was unknown (Figure 3.2). The insight from our simulations would suggest that missing the beginning of the epidemic explains the ensuing difficulty in separating models, and the colony censoring from the first sampling month had little effect on identifying the best fit model based on the provided data.

### *Importance of Coral Surveillance*

This study highlights the need for a discussion regarding how to optimize field surveillance for coral diseases. Our general insights suggest that field surveillance should ideally include rapid surveys with minimal time lapses between observations<sup>155</sup> as is also advocated for characterizing the rate of pathogen spread in other wildlife populations<sup>156,157</sup>. However, this is typically not the case with marine pathogens as many infections are believed to be opportunistic by taking advantage of changing environmental conditions<sup>158</sup>. This often leads to scenarios where researchers and managers are challenged to make rapid decisions with incomplete information. Having missed the early stages of an epidemic in the field, our simulation results demonstrate how missing that initial period can influence the ability to detect transmission mechanisms using statistical modeling. Beyond the key areas we have focused on, other unexplored issues in coral diseases include understanding how pathogens spread at larger spatial scales, especially between reefs, and if pathogens are capable of overwintering. Given the practical constraints, our results suggest that coral disease surveillance be conducted just before and during when the seasonal risk for disease incidence is high. Increased surveillance around seasonal windows may be augmented by also identifying if there are seasonally-driven

environmental factors that are associated with disease outbreaks<sup>53</sup>. This is a topic explored in Chapter 4.

#### *Considerations for other Gravity Models*

Our data indicated that spatial diffusion was the best fit model to the provided data (Table 2). Maher et al. (2012)<sup>151</sup>, studying the spread of a fungal pathogen affecting North American bats, found support for a gravity model that included the number of bat caves as the size term. The preferred model also included winter length as an environmentally related parameter, which suggests that including environmental data in gravity models can improve performance once the environmental predictors are well-characterize. When investigating the 2013 – 2015 Ebola outbreak in West Africa, researchers found support for a gravity model, with population density as a size term, to be the best-fit model describing the spread of Ebola across affected core countries in Africa<sup>152</sup>. The Ebola gravity model also included an extra parameter that accounted for the border crossing security between countries, suggesting that barriers to spatial transmission, perhaps based on current flow, could ultimately be included in models for the spread of marine pathogens. In both white nose syndrome in bats and the Ebola outbreak in West Africa, researchers observed that gravity models which included some form of size term. In our study, we did not find support for gravity models including colony size but rather a simple diffusion model, capturing transmission between relatively near neighbors. We may have missed the time window in which colony size was exhibiting a strong influence on the local spread of white pox (Figures 7 & 8). Alternatively, simple diffusion (transmission to near neighbors) may adequately describe pathogen transmission causing white pox disease, but we believe more work is necessary before ruling out the possibility of such gravity model type transmission in coral disease systems.

### *Debating the importance of contagious spread for coral disease*

Over the last decade, researchers have begun to question the nature of coral disease transmission and two leading alternative hypotheses have emerged. One hypothesis assumes that coral diseases spread contagiously from one individual colony to the next with the probability of becoming infected being higher for nearby susceptible colonies<sup>32</sup>. The hypothesis for contagious spread has been supported by observed spatial clusters of disease<sup>54</sup>, either through indirect (waterborne / vector ) or observed direct contact in experimental studies<sup>159–161</sup>, and statistical and theoretical models<sup>57,148</sup>. The second hypothesis suggests that coral diseases are a result of environmental conditions and opportunistic microbial communities and do not spread contagiously<sup>76</sup>. Support for non-contagious spread is primarily generated by the unknown causative agents for many coral disease systems<sup>162</sup>. However, researchers have also failed to detect spatial clusters of infected colonies<sup>85</sup> and have been unable to induce transmission in laboratory experiments<sup>163</sup>. We examined a reduced null model in our system that assumed a fixed probability of becoming infected, independent of reef-level prevalence. This simple model did not provide a good fit to our data compared to models with any kind of contagious spread (Table 3.2). However, in general, evidence for contagious and non-contagious spread in coral disease systems highlights the complicated nature of conducting disease research in coral communities. There is shared agreement in both camps that corals suffer from some form of disease that results in decreased fitness for afflicted colonies and while etiologies of disease maybe unresolved, there is agreement in the description for the symptoms of disease. Here, we have provided a statistical framework that will allow researchers to better investigate the open question concerning the nature of coral disease spread.

### *Conclusion*

We have provided evidence that local spatial structure can be important in the transmission and maintenance of an ongoing coral disease epidemic. However, our study is not without limitations, and future studies will benefit from anticipating the challenges involved in conducting field surveillance for coral diseases. More importantly, we have provided a statistical framework that will allow researchers to better explore questions related to coral disease transmission that accounts for the dynamics involving a disease outbreak. Furthermore, our framework can be further adopted incorporate other potentially relevant factors for coral diseases such as relevant environmental variables <sup>153</sup>.

**Table 3.1:** The functional forms of the  $f$  term for equation 1. Parameter  $d_{ij}$  represents the distance between uninfected colonies ( $i$ ) to infected colonies ( $j$ ).  $S_i$  indicates the size of an uninfected colony while  $S_j$  indicates the size of an infected colony, and  $S_{ij}$  denotes the product of the sizes of each. Each form (row) represents a different hypothesis for pathogen transmission at a local scale. \*For completeness, a reduced null model is included. Because it is of a different form to the other models (considering intrinsic colony infection risk, versus escaping contagious infection from other colonies) it does not have an equation for  $f$ . Relatedly, comparison with other models (via likelihood and  $\Delta$  AIC) is provisional because the reduced null model fit is based on a different amount of data.

Model	Description of feature(s) that determine probability of becoming infected	$f$
Reduced Null	Fixed probability applied each time step	n/a*
Null	Only number of infected colonies	$\beta_0$
Colony Size	Size of uninfected colony	$\beta_0 + \beta_1 * S_i$
Spatial Diffusion	Inter-colony distance between infected and uninfected colonies	$\beta_0 + \beta_1 * d_{ij}$
Gravity 1	Size of infected and uninfected colonies, and inter-colony distance	$\beta_0 + \frac{\beta_1 * d_{ij}}{(S_i * S_j)^{\beta_2}}$
Gravity 2	Size of uninfected colonies, and inter-colony distance	$\beta_0 + \frac{\beta_1 * d_{ij}}{S_i^{\beta_2}}$
Gravity 3	Size of infected colonies, and inter-colony distance	$\beta_0 + \frac{\beta_1 * d_{ij}}{S_j^{\beta_2}}$

Independent	Size of infected and uninfected colonies, and inter-colony distance – with size and distance acting independently	$\beta_0 + \beta_1 * d_{ij} + \beta_2 * S_{ij}$
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**Table 3.2:** Model performance values from estimating parameters with maximum likelihood.

Spatial Diffusion is determined to be the best-fit model with the nearest  $\Delta AICc$  value being  $> 2$

(Null model).

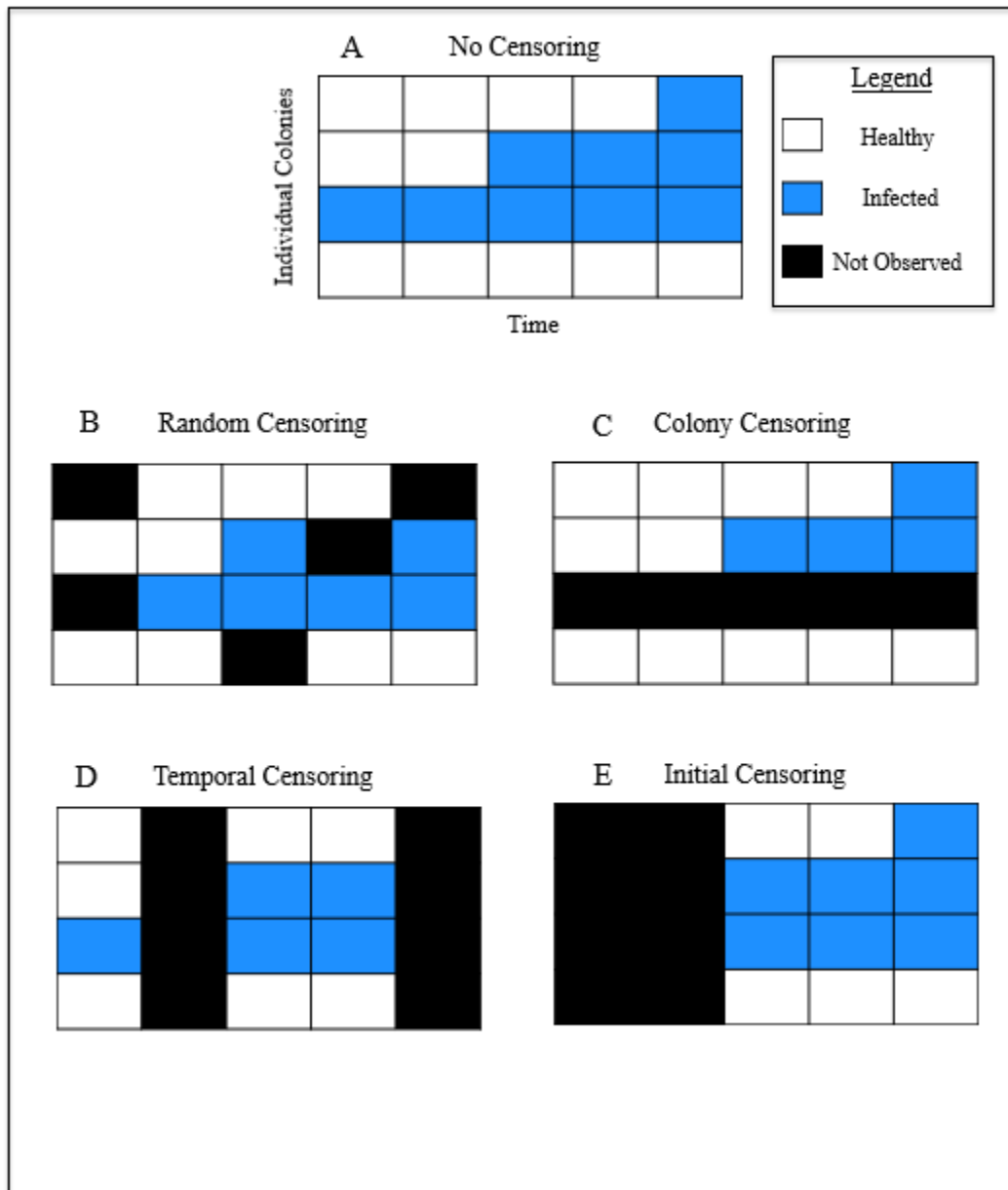
<b>Model</b>	<b>NLL</b>	<b>AICc</b>	<b><math>\Delta AIC</math></b>
Reduced Null	22.2	46.573	6.742
Null	20.218	42.61	2.77
Colony Size	19.694	43.934	4.1
Spatial Diffusion	17.643	39.832	0
Gravity -Targets and Area	19.843	46.83	6.99
Gravity Sources Area	18.754	44.651	4.82
Gravity Targets Area	20.212	47.567	7.74
Size Space Independent	20.191	47.525	7.69

**Table 3.3:** Estimated parameters for each model using maximum likelihood. Parameters are defined for each model in Table 3.1.

Model	$\beta_0$	$\beta_1$	$\beta_2$
Null	-3.68	NA	NA
Colony Size	-0.54	9.38	NA
Spatial Diffusion	-1.98	-0.02	NA
Gravity -Targets and Area	-3.94	0.04	-2.09
Gravity Sources Area	-0.79	-0.04	1.59
Gravity Targets Area	-3.59	-11.14	1.26
Size Space Independent	-3.94	0.04	-2.09

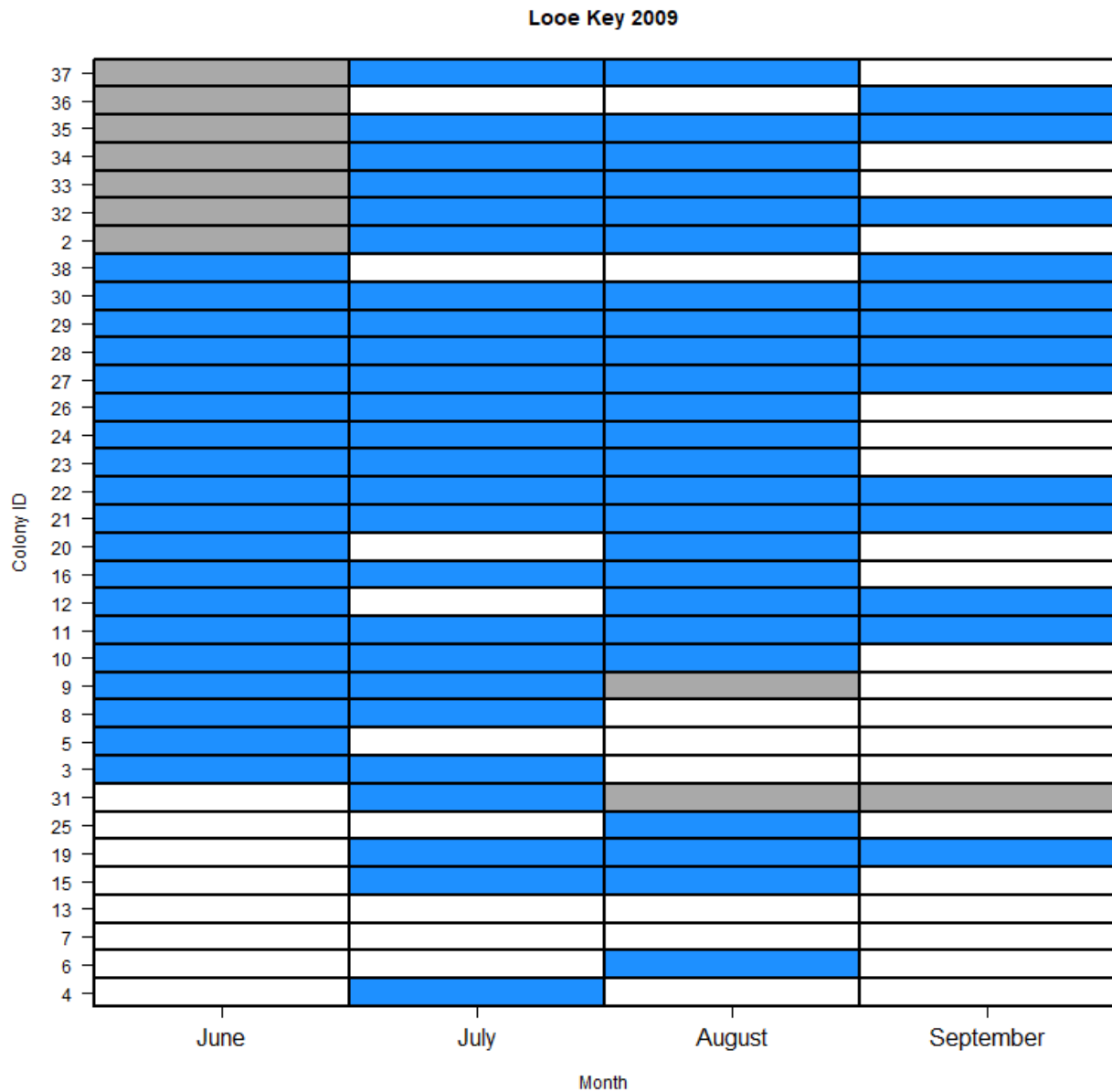
**Table 3.4:** Model selection under data degradation scenarios. Number of times (out of 100) that a model was preferred based on lowest AIC score. The true model was “Space” and was used to generate the 100 sets of data (10 initial conditions and 10 replications per initial condition) for which models were competed. Coral colony number, size and configuration was taken from the Looe Key 2009 data. Several initial conditions were used because the health status of a subset of colonies was censored at the first surveillance. In each initial condition set, each of these initially censored colonies (n=7) was set to diseased using a binomial trial with probability taken from the known prevalence at that time point.

<b>Degradation</b>	<b>Space</b>	<b>Size</b>	<b>Gravity Complete</b>	<b>Gravity Sources Area</b>	<b>Gravity Targets Area</b>	<b>Size Space Independent</b>
Base	100	0	0	0	0	0
Censor	99	0	0	1	0	0
Delay	1	76	5	4	1	13
Random	99	0	0	1	0	0
Temporal	89	9	0	1	0	1

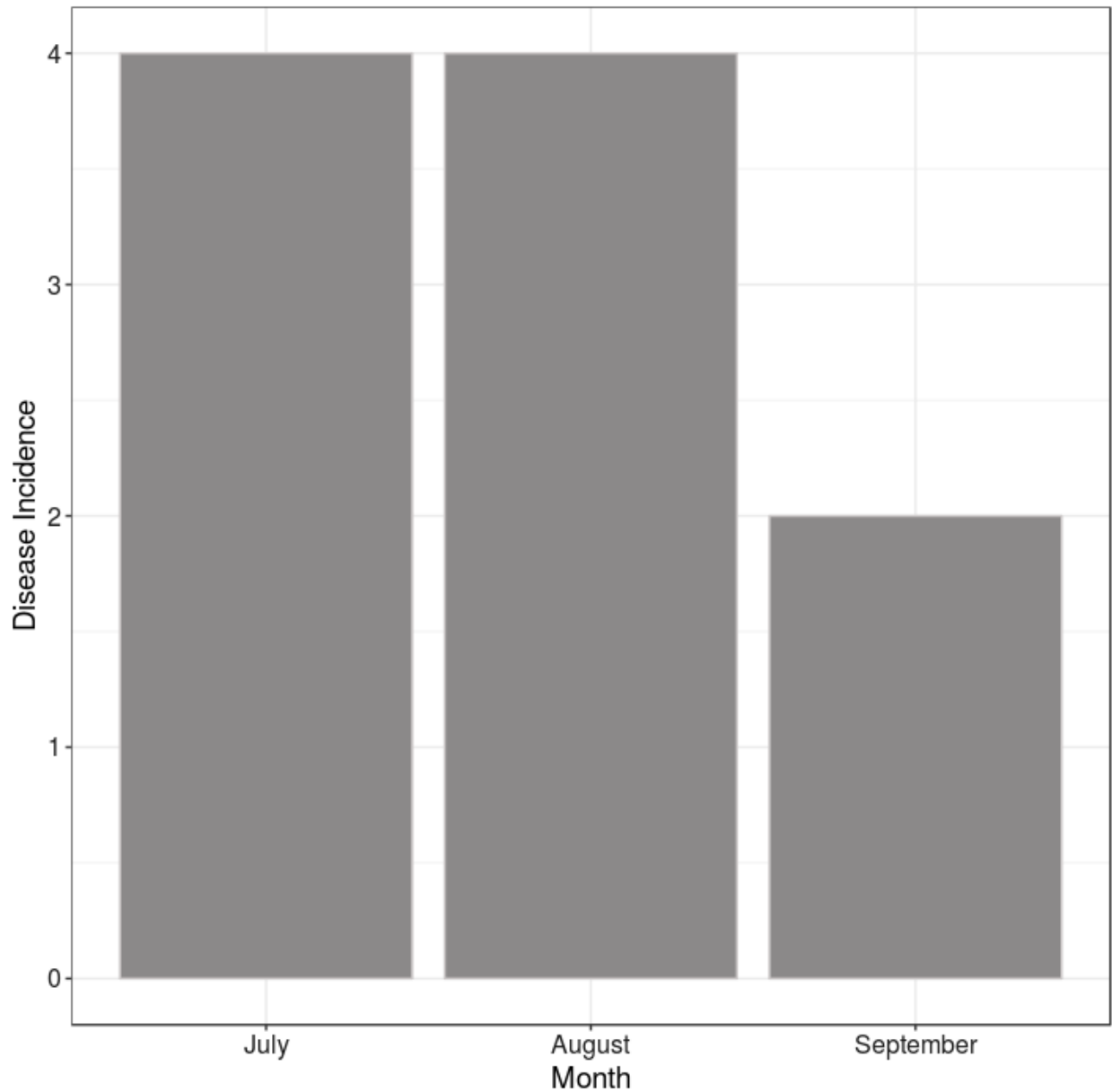


**Figure 3.1:** Schematic outlining the simulation approach and degradation of simulated epidemic data. (A) ‘No censoring’ represents a full data set from simulated disease epidemic with 4 individual colonies and 5-time steps (not to scale: real data set has many more colonies) (B) ‘Random censoring’ of data leads to randomly selected colony-time combinations being censored. (C) ‘Colony censoring’ selects a colony at random and censors its diseases status. (D)

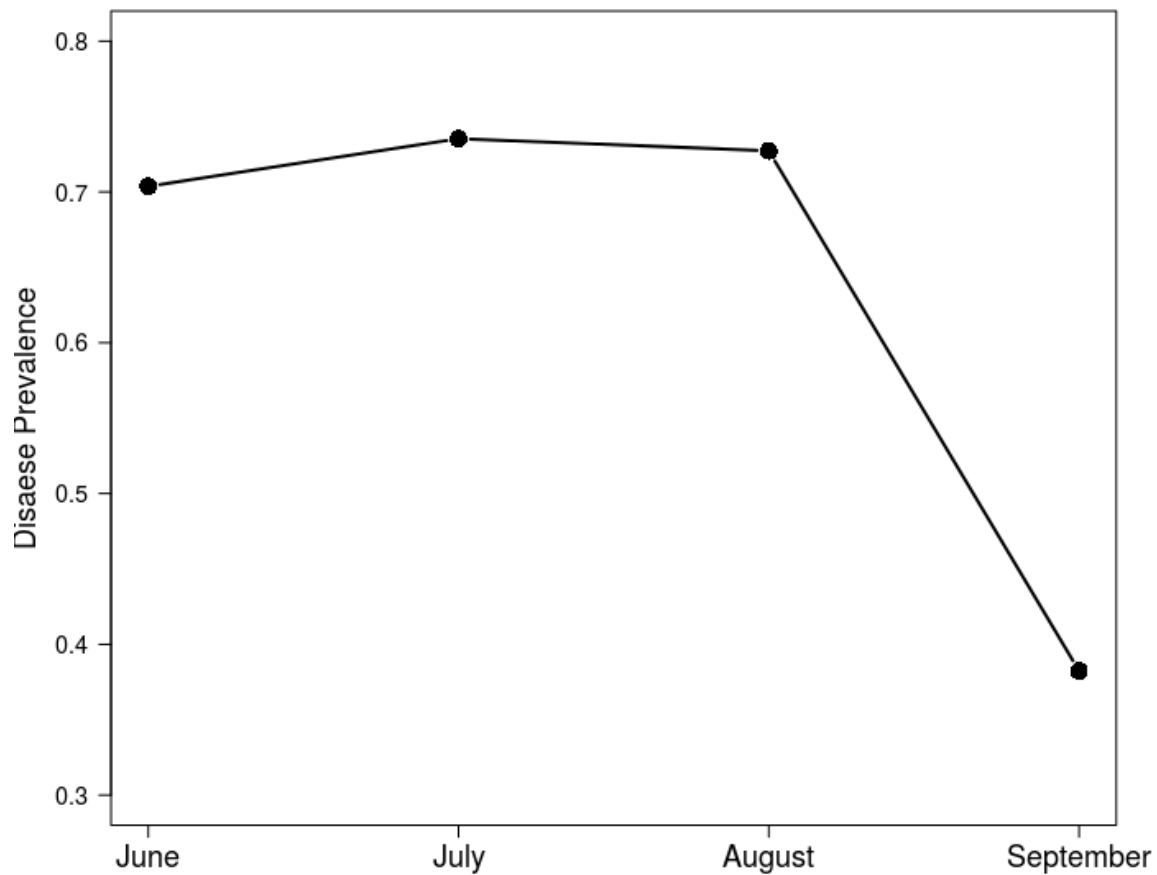
‘Temporal censoring’ censors selected time points from the epidemic. (E) ‘Initial Censoring’ censors the initial onset of the epidemic.



**Figure 3.2:** Individual colony health status through time for summer surveillance 2009 at Looe Key reef, y-axis indicates the unique colony ID while the x-axis indicates the month. Blue indicates that a colony was observed to have an active lesion during the survey. White indicates that no active lesion was observed on the colony during the survey. Grey indicates that a colony was not surveyed (censored).

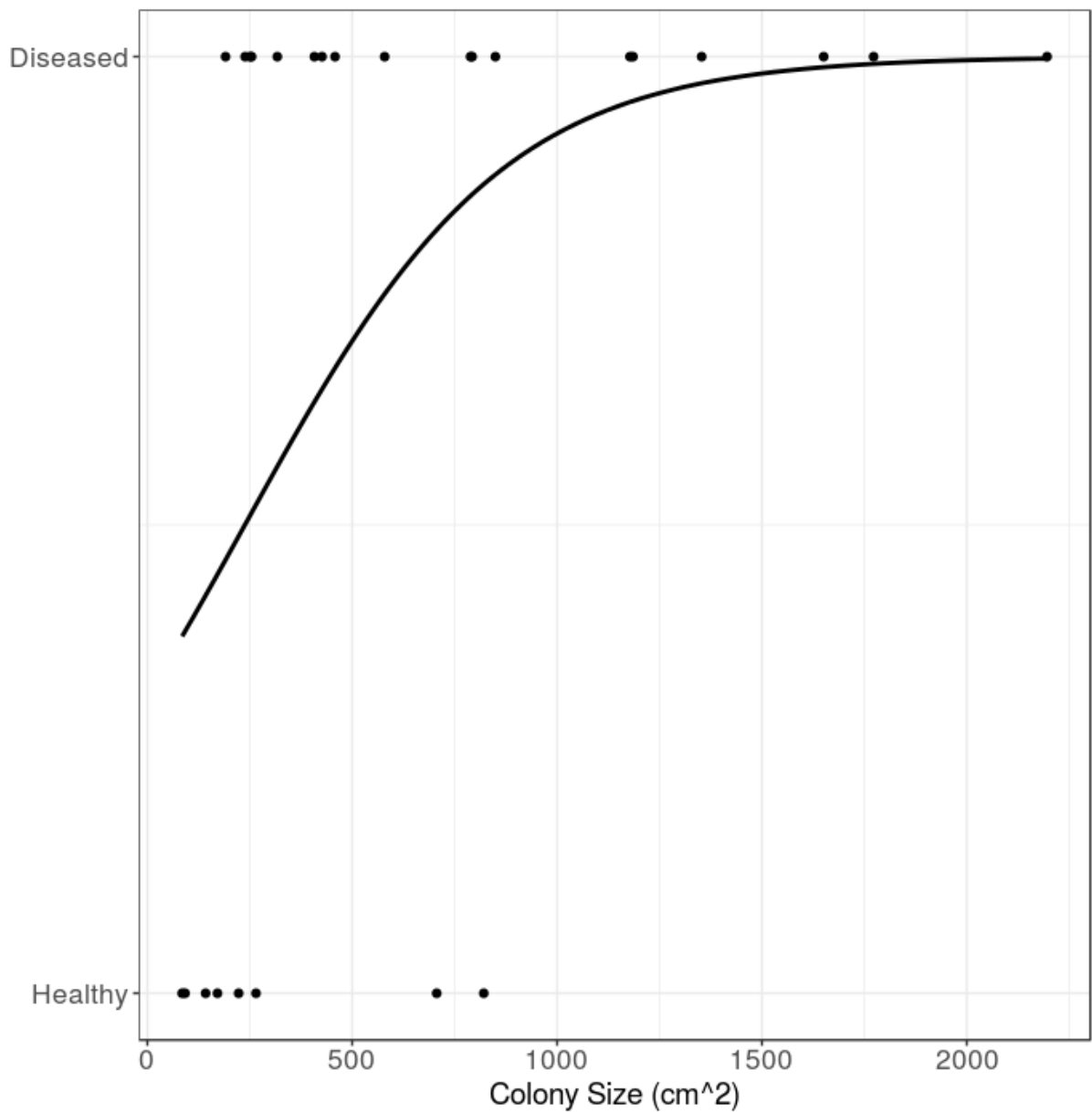


**Figure 3.3:** Observed incidence of disease (number of new cases) for Looe Key during the summer of 2009. For this study, we define disease incidence as an individual observed to have an active white pox lesion in the current month and observed with no active lesions in the previous month.

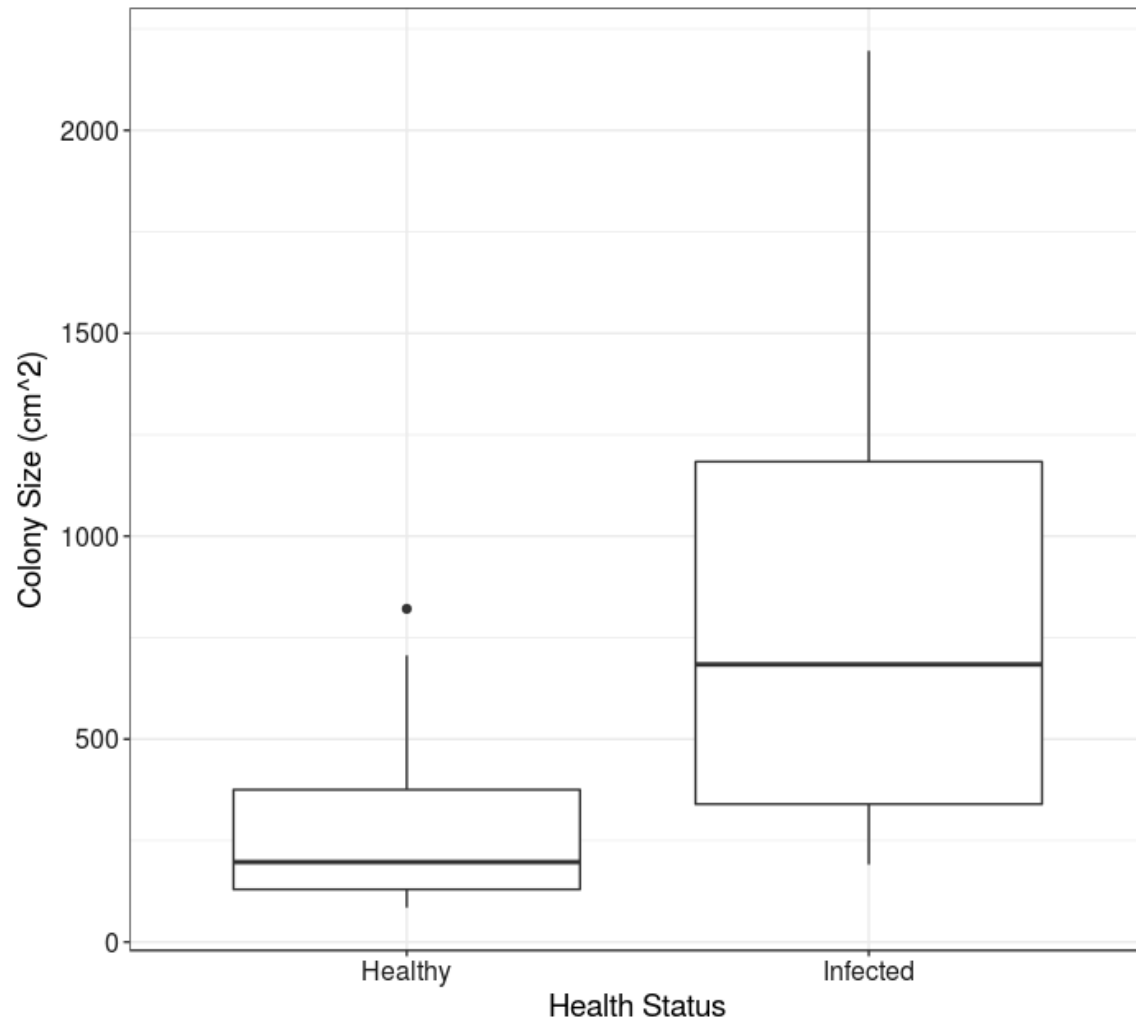


**Figure 3.4:** Observed disease prevalence for each month from the survey. Disease prevalence was determined using the health statuses for the colonies that were observed and did not include any censored colonies. Disease prevalence is at a consistent level for the first three months before a significant drop off in September.

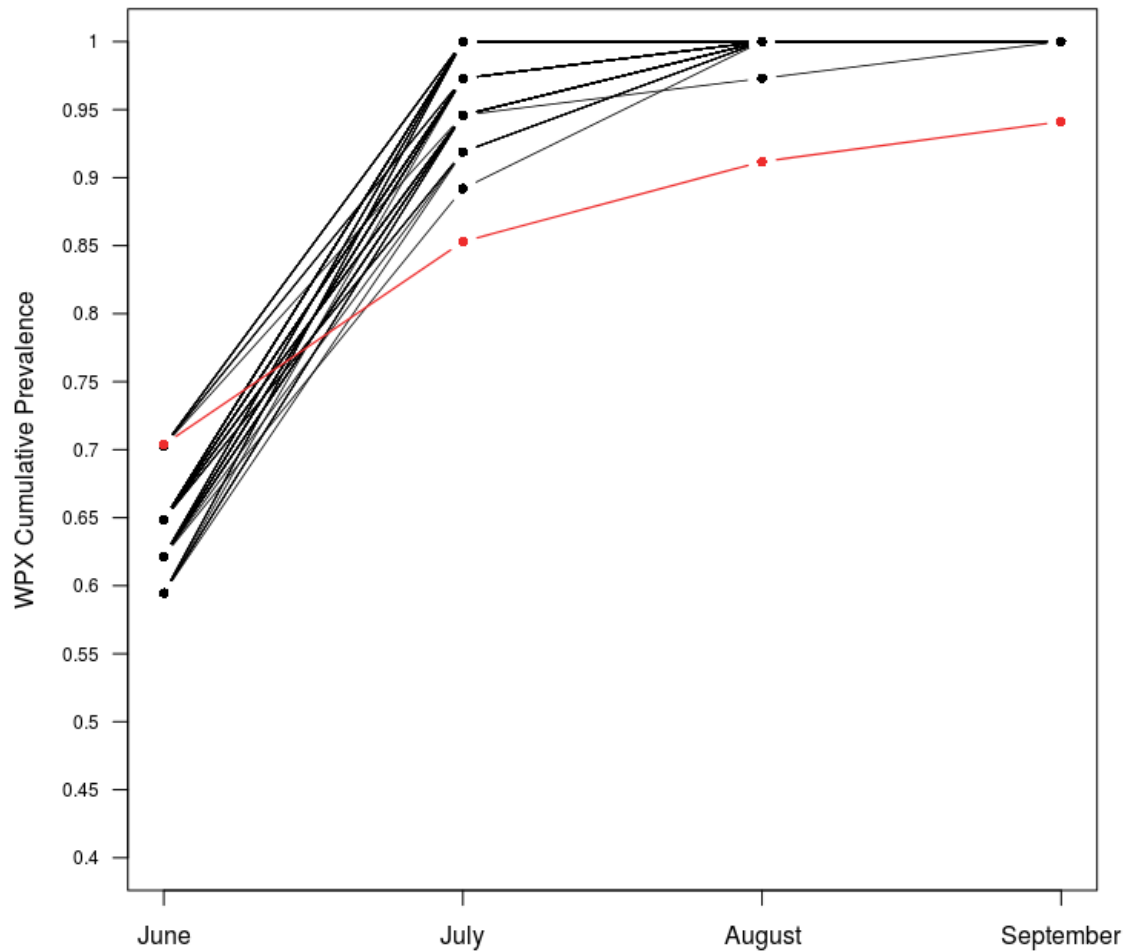




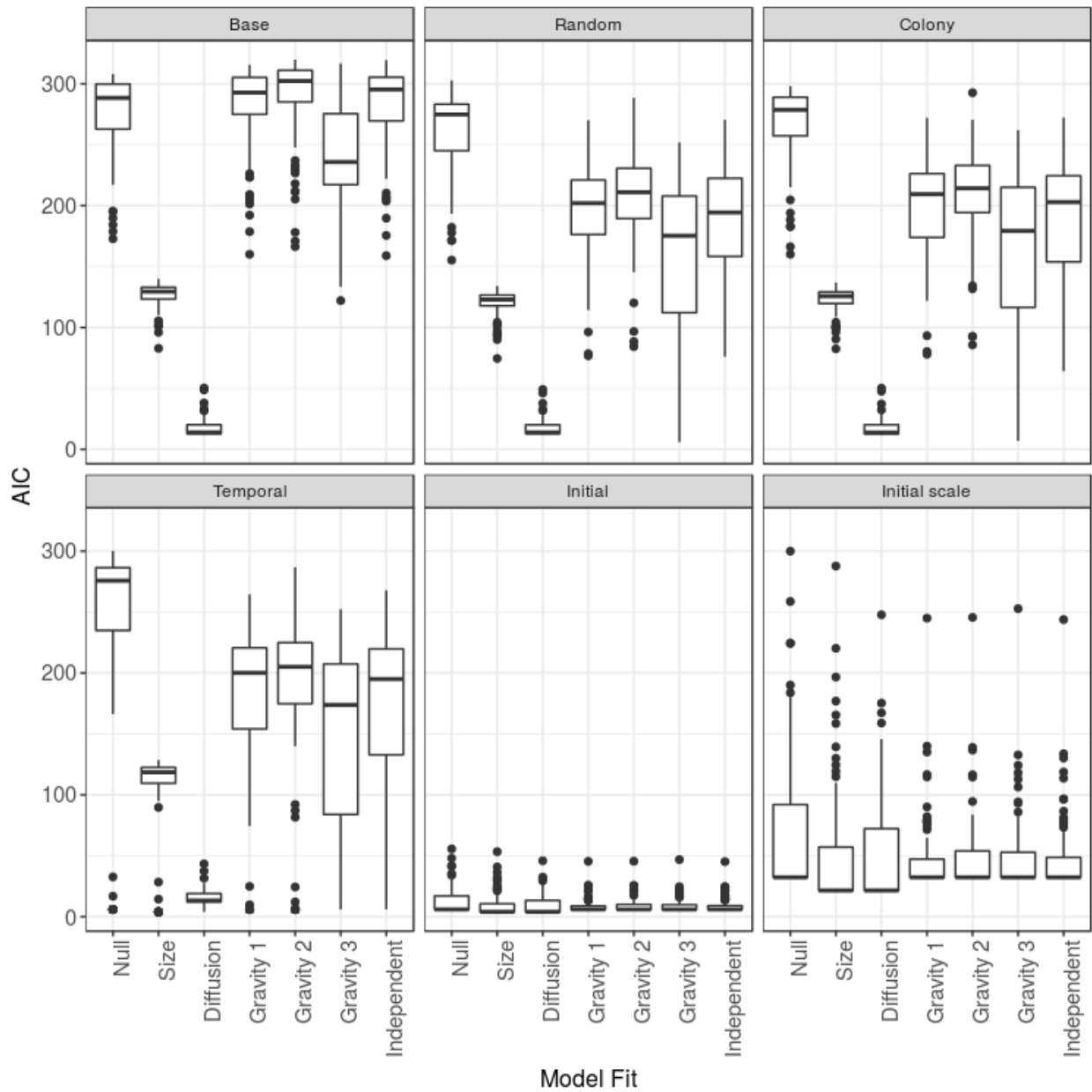
**Figure 3.5:** Relationship between colony size and disease status (healthy / diseased) from the initial month of our survey. Individual colonies observed to have active lesions were marked as infected while no active lesions would mean that a colony would be healthy. A generalized linear model with a binomial distribution was used to fit the provided trend line.



**Figure 3.6:** Differences between colony size and health status from the observed data in the initial month of our survey. Individual colonies observed to have active lesions were marked as infected while no active lesions would mean that a colony would be healthy. This figure excludes an infected colony that is sufficiently large enough to be an outlier in terms of size for better visualizing the general trend (infected, size = 7584 cm<sup>2</sup>).



**Figure 3.7:** Cumulative prevalence from our goodness of fit (Black lines) and the observed cumulative disease prevalence (Red line). We see that disease prevalence increases the most from June to July in both simulated and goodness of fit. However, disease prevalence is consistently lower in our observed data compared to the simulated data indicating that parameters estimated contribute to a fast rate of spread.



**Figure 3.8:** Results from simulating white pox disease events using Looe Key spatial structure and colony size. AIC was used here over AICc due to the increase in sample size from the simulation. ‘Base’ figure represents general trends from simulations that have not been treated with data degradation. Random represents random censoring treatment. Colony represents colony censoring. Temporal represents temporal censoring. Initial represents censoring the initial

half of the outbreak. In the last panel, the initial AIC values have been re-scaled simply for easier relative comparison among competing models. Scaling was performed by dividing each AIC value by the maximum AIC observed in the ‘initial’ set then multiplying the divided values by 300.

CHAPTER 4

BIOTIC AND ABIOTIC DRIVERS OF WHITE POX DISEASE IN ELKHORN CORAL  
OVER 20 YEARS IN THE FLORIDA KEYS<sup>3</sup>

<sup>3</sup> Griffin AP, Park AW, Porter JW, Heron S, Eakin CM, Sutherland KP. To be submitted to *Applied Ecology*

## **Abstract**

Methods that increase our ability to predict future disease outbreaks represent important tools to coral reef conservation biologists and managers when tasked with mitigating threats to coral population health. Understanding the relationship between disease occurrence and the environmental conditions that are conducive to disease outbreaks can help to anticipate when risk of disease events is high, and enable further, targeted strategic disease surveillance. To assess the role that biotic and abiotic factors play in modulating coral disease risk, we present a predictive model for white pox disease in *Acropora palmata* using 20 years of disease surveillance data from the Florida Keys National Marine Sanctuary and environmental information collected *in situ* and via satellite. Populations of *A. palmata* in the Florida Keys have been decimated in recent decades in part due to the emergence of white pox disease. The model incorporates several data sources simultaneously to determine the factors most relevant in distinguishing colonies and reefs at risk of disease. Overall, we find that individual *A. palmata* colony size is the most influential predictor for disease occurrence, with larger colonies being at higher risk. Additionally, we found that environmental variables measured *in situ*, such as dissolved oxygen, were informative predictors of risk, which we use to articulate plausible mechanisms at play. We also note that variables measured remotely, and related to sea surface temperature, were less informative than environmental data measured *in situ*.

## **Introduction**

Coral reefs are declining across the globe. On the Great Barrier Reef, coral cover declined 50% from 1985 – 2012 <sup>20</sup>, while the Caribbean region experienced an 80% loss in coral cover from 1977 - 2002 <sup>7</sup>. From 1968 – 2004, similar trends have been observed in the Indo-Pacific region,

with evidence suggesting that declines began much earlier despite pristine water quality and active management practices<sup>60</sup>. As a consequence of declining coral cover, the probability of extinction has increased globally for nearly every scleractinian coral species<sup>23</sup>. Climate change has been implicated as the primary driver of the loss of coral. Elevated sea surface temperature induces bleaching events that strip corals of their symbiotic algae. Ocean acidification impacts the coral growth process of skeletal densification, rendering coral increasingly vulnerable to damage from storm events, which are occurring with greater frequency<sup>22,24,164,165</sup>. Relatively recently, coral diseases have also been considered to be a significant cause of colony mortality<sup>32,166</sup>.

The first documented evidence for coral diseases appeared in the scientific literature in 1965<sup>36</sup>. Since then, 45 coral diseases have been described in the primary literature<sup>37</sup>. Despite the growing number of coral diseases, researchers remain unsure about the specific timeline for when diseases began emerging with increased frequency<sup>167</sup>. Since the mid-1990s, researchers have observed an increase in both the frequency and severity of disease events in corals with increased reef degradation<sup>25,168–170</sup>. In the Caribbean, coral disease is believed to have played a large role in the decline of coral cover<sup>171</sup>. The regional decline of two fast-growing branching corals, *Acropora cervicornis* and *Acropora palmata* was the key factor in the reduction of reef complexity<sup>144</sup>. Declines in the structural complexity of reef systems are typically followed by a decline in biodiversity, species abundance, and biomass of organisms that rely in the coral reef for habitat, shelter, or food<sup>172–174</sup>.

The increased availability of long-term environmental data (e.g., via satellites) has enabled researchers to begin investigating the role of environmental factors in both the occurrence and severity of disease. Given that corals are sessile animals, and experience one



local environment through their lifetime, biologists have established a relationship between disease outbreaks and changes in environmental conditions <sup>169,175,176</sup>. There are multiple hypotheses that aim to mechanistically link environmental drivers and coral health. For example, elevated sea temperatures can weaken the coral's ability to mount an effective immune response to resist infection <sup>177</sup>. Another hypothesis speculates that certain environmental changes (e.g.: temperature, salinity, and irradiance) can facilitate the transition from beneficial microbial communities to harmful ones that promote disease <sup>76</sup>. Researchers have repeatedly demonstrated a relationship between the occurrence of disease events and specific temperature regimes for many disease systems <sup>34,61,178,179</sup>. Less attention has been given to water quality; however, studies on this topic have shown that local nutrient conditions can enhance both the severity and progression of disease lesions <sup>62,63</sup>, although in other cases researchers have failed to detect a relationship between disease and local nutrient concentrations <sup>180</sup>.

White pox disease was first reported in the Florida Keys in the mid-1990s <sup>5</sup>. Since then, incidence of white pox has been reported throughout the Caribbean <sup>32,39,41,64,181</sup>. White pox disease is characterized as irregularly shaped white lesions, which occur when infection exposes parts of the coral skeleton <sup>32</sup>. White pox lesions are observed on the surface of the coral colony and the disease is only known to affect *Acropora palmata*. *A. palmata* has an extremely fast growth rate of 5 to 10 cm per year, and geological evidence suggests *A. palmata* played an important role in shaping many modern Caribbean reefs <sup>101</sup>. However, the once abundant *A. palmata* has experienced a dramatic decline in abundance and live cover since the 1980s (with declines in live cover between 71% - 100% of pre-1980s levels). This is due in part, to the emergence of white pox and other coral diseases <sup>32,33</sup>.

In this study, we present 20 years of white pox surveillance information collected throughout the Florida Keys National Marine Sanctuary. We also collated site-level environmental information measured both *in situ* and remotely via satellite. Using both biotic and abiotic data, we develop and test a predictive model that evaluates which parameters best explain white pox disease events. The model is validated using subsets of data not used in its development, which lends to the model's potential to predict future events. Furthermore, we investigate differences in environmental conditions between historic (putatively low disease incidence) and contemporary episodes (with putatively higher disease incidence) and determine if environmental changes could account for the hypothesized temporal increase in disease occurrence<sup>39</sup>.

## **Methods**

### *Eastern Dry Rocks data (1994 – 2004)*

For eleven years, researchers monitored the occurrence of white pox disease in a 13.5 m<sup>2</sup> grid at Eastern Dry Rocks reef, located in the lower keys off the coast of Key West (Figure 4.1). The grid consisted of a 9x4 array of 36 quadrats, each of dimension 0.75m x 0.5m. The corners of the study grid were demarcated with stainless steel stakes that were geo-referenced. The site was visited annually each July over the course of 10 years. Photographs of each quadrat were taken with a Nikon camera, and the resulting slides were scanned at 600 dots per inch for image analysis. The health status of each colony was determined by considering both field notes and scanned images, noting signs of active tissue loss or irregularly shaped white lesions. Since the physical dimensions of the quadrats were known, a scale bar was not needed for determining the surface area of photographed colonies.

### *Florida Keys (2009 – 2014)*

From 2009 to 2014, researchers visited six other sites throughout the Florida Keys (Figure 4.1) and adjusted the surveying technique to monitor individual colonies as opposed to grid system. Sites were sampled unevenly during the study period with each site being visited between 1 and 4 times a year (Table 4.1). During each survey researchers used SCUBA to visit individual *A. Palmata* colonies and documented their health status. A single survey stake was used as a reference point to locate the colonies enrolled into the study, using known distance and bearing while using the survey stake as a reference point. Colonies were determined to be either infected or uninfected by presentation of characteristic symptoms of disease. For this study, the observable symptoms of disease were irregularly shaped white lesions distributed across the surface of a colony. Surveyed colonies were photographed from above to with the photo taken such that the approximate center of the colony was in the center of the photograph. Additionally, a scale ball was placed in the field of view to measure the surface area of the colony in cm<sup>2</sup> using the image analysis software ImageJ<sup>182</sup>. Colony photographs from each survey were traced to measure the whole colony projected surface area.

### *Remotely sensed variables*

Climate variables such as sea surface temperature and wind speed were measured remotely via satellite through the National Oceanic and Atmospheric Administration (NOAA) pathfinder project. The pathfinder SST data set (v5.3) provides data from 1985 – 2014, and is measured with NOAA's Advanced Very High Resolution Radiometer (AVHRR) that is attached to NOAA's polar orbiting satellites. The spatial resolution of AVHRR is approximately 4km<sup>2</sup>, and daily measures were composited weekly at this resolution. Gap filling was achieved following the methods in Heron et al. (2010)<sup>61</sup>. Several temperature-related indices can be

derived from measured sea surface temperature data (Table 2, variables 4 – 12). Derived temperature metrics provide a way to measure stress history over time for a given site (Table 4.2). The derived temperature data (e.g: degree heating weeks) was provided through the Coral Reef watch program that is affiliated with NOAA. All remotely sensed data were temporally matched to surveillance data by dates from each data set by determining the minimum pairwise temporal difference.

#### *Monitoring water quality parameters*

Water quality data were acquired from the Southeast Environmental Research Center (SERC) at Florida International University. From 1995 – present, SERC conducts quarterly surveys at many sites (n = 112) throughout the Florida Keys National Marine Sanctuary. SERC generates water quality data both *in situ* and from the laboratory. Samples were also taken at the surface and bottom of each site for most water quality parameters. For this study, water quality information was extracted from the acquired 20-year data set for each site surveyed for disease. In one case, SERC did not have a monitoring station at our surveillance site (Rock Key). In that case, we used data from Eastern Dry Rocks, which was 1.75 km from Rock Key (Table 4.3).

#### *Analysis*

##### *Overview*

Because we are interested in training a model that can predict disease events from several variables, many of which are likely to be non-independent, we use a generalized boosted classification tree model (often referred to as a boosted regression tree or BRT) as our main approach. BRT models are well-suited to data with multiple predictors, and do not require data transformations, can handle missing data, and can fit complex non-linear relationships including interaction effects between predictors<sup>183</sup>. To further account for reef effects, we included

dummy variables for each reef in the BRT analysis. This means that each observation included a binary predictor (0/1) to reflect membership of a given reef. This allows the BRT to identify particular reefs, if any, that contribute to the observed spatio-temporal pattern of disease, rather than simply identifying that ‘reef’ per se is an important effect. The goal of the approach is therefore to identify abiotic and biotic predictors of disease risk, over and above specific reef membership or year of study, which is necessary if predictive models are to be used in the future and on reefs not included in the model training. Because there are approximately 50 predictor variables, we also developed a simplified version of the BRT that eliminates uninformative predictors, that facilitates a focus on key predictors and their interaction with each other in determining disease risk, and that can potentially reduce residual variance. Finally, due to the fact that data were collected over several years, and climate and water quality data are reported at the reef level, while biotic data (live coral cover) and the response variable (white pox positive/negative) are at the colony level, we additionally performed a geographical generalized additive model, geoGAM <sup>184</sup> to establish that abiotic and biotic predictors are important beyond their containing year and reef.

We trained a generalized boosted classification tree model to classify observations for white pox as positive or negative (the latter meaning ‘no disease’) at the colony level using biotic and abiotic information <sup>183</sup>. Prior to model construction, we split our observation data set randomly into training (80%) and testing (20%) data. Data that is split into the test set is withheld from the model during the training step. Our observation data set consisted of 1774 data points of which 483 (27%) were positive for white pox disease. The generalized boosted classification tree builds a large set of simple trees from the provided training data and evaluates the trained model against data that has been set aside for cross validation testing. Rather than relying on a single,

often weak, model, boosted classification tree methods use boosting to average over the predictions of many weak models. This ensemble approach often results in greatly enhanced predictive performance, and is also known to be able to elucidate complex relationships between predictors and responses<sup>183</sup>. Boosted regression trees are typically unaffected by difference in scales amongst measured predictors<sup>183</sup>. Here predictors are measured at two scales, the individual (colony size) and study site (environmental parameters). We used the '*gbm.step*' function from the R *dismo*<sup>185</sup> package to build our model. For our analysis, we trained two distinct models with specified hyper parameters (Table 4.4). The first model is a full model that considers all the acquired predictors for model construction. After constructing the full model, a simplified model was constructed from the output of the full model using the function '*gbm.simplify*' in the R *dismo*<sup>185</sup> package. The simplified model seeks to eliminate relatively uninformative predictors. The simplified model is constructed by successively dropping the predictor with the lowest level of relative influence and observing how the dropped predictor alters model performance. The dropout process is repeated until the performance of the simplified model deviates from the full model based on a threshold criterion. To detect interactions between predictors, we used '*gbm.interactions*' from the R *dismo* package on the output of a trained model. The '*gbm.interactions*' function operates by calculating the model predictions over a grid of two predictors, setting all other predictors at their means. The model predictions are then regressed onto the grid in relation to observed data. The mean squared errors of this model are then multiplied by 1000. This statistic indicates departures of the model predictions from a linear combination of the predictors, indicating a possible interaction. We report all interactions that have a value >1.

### *Details for fitting boosted regression trees*

The generalized boosted regression tree selects the first predictor and determines a threshold value of the predictor variable at which to split the observation data into two groups: diseased and healthy. When determining a threshold for splitting observations, the model attempts to maximize the classification purity (diseased / healthy) between the two regions which would minimize the number of misclassified observations. The ideal threshold would separate the observation data in such a way that one group would be entirely uninfected while another would contain all infected colonies. Typically, there is some mixture of both classes in each group generated from the threshold. Predictions for each group (e.g. colonies above and below a size threshold) are then determined based on the dominant class present in that group and residuals are measured to determine goodness of fit. The residuals from the first tree are then extracted and passed on to the next generation of the model. The next tree is then trained on the residuals of the first tree to provide a better fitting model. This process of fitting a tree to the residuals of the previous tree is repeated until the model reaches a stopping criterion set by the user. For each step, the model uses K-fold cross validation to assess the accuracy of the current tree. K-fold cross validation is similar in spirit to withholding data for testing model performance after training. The difference is that K-fold splits the provided training data (which here is 80% of the total data) into a set number of bins, K, where each bin is populated with an equal amount of data points. Then one bin is selected for hold out and the remaining bins are passed on to model training. This is repeated a set number of times, K, and model performance is determined by average performance across all folds. We used a K value of 10 for both full and simplified models.

### *Hyperparameters for boosted regression trees*

Our boosted regression tree has four primary parameters for model tuning: shrinkage, tree complexity, bag fraction, and number of trees. The shrinkage rate governs the relative contribution of each tree and is used to reduce the chances of over fitting for the final model. Learning rate and number of trees are inversely related; meaning that as the shrinkage is lowered, the number of required trees should increase. Tree Complexity provides information on how many nodes a tree is allowed to build. Bag fraction determines what fraction of the training data set is passed to model fitting for each iteration of the model. Number of trees determines how many trees the model will generate for fitting, typically considered a stopping condition. Learning rate determines how much weight is given to the output of each tree. Selection of these model parameters is conducted by maximizing model performance while keeping the total number of trees relatively low (typically, less than 5000). The model hyper parameters used to train the BRT for this study are reported in Table 2.

### *Evaluating model output*

Model predictions were evaluated by calculating the area under a curve (AUC) using the data withheld prior to training the model. AUC is a percentile measure for the area under a receiver operating characteristic curve, or ROC curve. ROC curves are drawn by varying the threshold for classification, the probability for classifying a data point into a binomial class and observing changes in the true positive rate (sensitivity) and false positive rate ( $1 - \text{specificity}$ ). AUC is a metric used to assess how well the model correctly classifies true positives while minimizing false positives. A poor value for AUC would 0.5 and would indicate that the predictive outcome is the same as flipping a coin. While a value of 1 would indicate that the model is perfect and makes the correct prediction every time.



AUC is reported here in three different ways. First, AUC is determined on the training data that is used to calibrate the model. AUC on the training data used for calibration provides a measure on how well the model fits the provided data. Second, cross validation AUC (cv-AUC) is the mean AUC value from k-fold cross validation during model training. The mean AUC from cross validation (cv-AUC) provides an estimate for how the model is expected to perform on data withheld during training. Third, test-AUC is determined with the testing data (20% of initial data) that was withheld from the model prior to model training. The test-AUC verifies the estimates of cv-AUC. Both cv-AUC and test-AUC also help measure model overfitting during calibration. Model overfitting occurs when the model estimates too much of the provided training data and fails to generalize to previously unseen data.

We used partial dependency plots to investigate the relationship between a single target predictor and the response while accounting for the influence of other predictors. Partial dependency plots are generated by holding all other predictor variables to their average value and observing the relationship between model response (specifically, the log odds ratio) and the target predictor of interest over its range. For completeness, we additionally report information on deviance explained. Mean total deviance is defined as the deviance generated by the null model that does not incorporate any predictor information. While the mean residual deviance is the residual deviance left to be explained after fitting the best model. Cross-validated (CV) deviance is the deviance from the cross-validation procedure that is generated by fitting the model to the withheld data.

### *Evaluating Hierarchical effects*

We adopted two approaches to test if hierarchical effects might falsely attribute site and year effects to the predictors measured at those sites in those years on the outcome of disease in

individuals. Our first approach was to generate dummy variables for each site as a predictor to be included in the boosted regression tree. A dummy variable is a binary variable that allows us to split the observations into sub groups. We incorporated three categories of dummy variables, which essentially break out a multifactor column to several binary columns. The first category determined, for each site, observations that belong to a site. These are marked with a 1 in a new column for that site, while all other observations are given a 0 in that column. The second category of dummy variables was month of observation. Again, a new binary predictor variable was created for each month that was present within our data. Observations that belonged to the predictor month were marked with a 1 while all others were marked with a 0. The third category of dummy variables was year of observation. Again, a new binary predictor variable was created for each year that was present within our data. Observations that belonged to the predictor year were marked with a 1 while all others were marked with a 0. This allows the BRT to make splits within the data by site, month, and year to identify if a specific site or month is important for disease occurrence. The results from full and simplified models presented here included these dummy variables.

For the second method, we used a geoaddivitive model (geoGAM) to explicitly test the influence of space and time. A geoGAM is an improvement of the generalized additive model (GAM) that adds a smoothing function containing spatial coordinates to the additive predictor, allowing complex relationships between coordinates and covariates that capture interactions and spatial dependence <sup>184</sup>. The rest of the geoGAM is just a generalized additive model. Like many parametric approaches, geoGAMs can be constrained by the ratio of data to predictors. In our case, we were required limit the number of environmental predictors to the top 13 such predictors identified in the non-simplified BRT in order for the geoGAM models to run. To

examine how hierarchical effects, influence our predictive output, we fit a set of geoGAMs that included, colony size, the top 13 environmental predictors from the BRT, month, year, and spatial location of colonies (lat-long) (Table 4.5). We then compared model classification accuracy using the AUC metric.

#### *Cross-validation of AVHRR temperature measurement with independent buoy data*

Because the main source of temperature data we used comes from a remotely sensed technique with resolution that is larger than the size of a reef, we validated the sea surface temperature data we used (measured with AVHRR) by comparing temperature values at molasses reef, for which we additionally obtained locally measured water temperature from a NOAA buoy station provided by the National Data Buoy Center. Temperature values were compared between 2009 – 2014 which were the years that molasses reef was surveyed for white pox disease. To compare buoy values with AVHRR, we calculated the mean daily temperature value for the dates that matched with the AVHRR data set. We then used a linear model to predict the aggregated buoy data with the measured AVHRR data set.

## **Results**

### *Summary*

Results from both the full and simplified BRT model indicate that colony size and a subset of environmental factors provide sufficient information for predicting white pox disease occurrence in *A. palmata* colonies (full model test-AUC = 0.887, simplified model test-AUC = 0.882). Mean residual deviance ranged from 0.701 (41%) to 0.708 (40%) for full and simplified models respectively, suggesting that 40% of the variation remained unexplained by the final models. AUC values generated by trained models on data withheld for testing purposes provided similar

values to those observed from K-fold cross validation (cv) in both the full (cv-AUC = 0.85 & test-AUC = 0.85) and simplified model (cv-AUC = 0.84 & test-AUC = 0.84). Low variation between the cv-AUC and test-AUC values suggests that model overfitting was minimal.

In both models, individual colony size was observed to have the strongest influence in predicting disease events (full model relative influence = 33.8%, simplified model relative influence = 35.5%, where the percentage score refers to percentage of trees in which splitting data by colony size occurred), while water quality variables, such as saturated oxygen and total organic carbon and wind speed, were found to have the largest relative influence among the environmental predictors.

#### *Full model*

The full model was trained using 1419 observations (382 positive for disease) and 48 predictor variables. Full model results indicate that combined biotic and abiotic features were important in classifying white pox disease events in individual *A. palmata* colonies (Full model AUC = 0.91 & cv-AUC = 0.85) (Table 4.6, Figure 4.2). Differences between training AUC (0.91) and test AUC (0.85) indicate that overfitting for the full model was minimal. However, both testAUC (0.85) and cv-AUC (0.85) were observed to be similar (Table 4.6, Table 4.7) which verifies the minimal overfitting observed between training AUC and cv-AUC. Mean residual deviance (0.716) suggests that there is still some variation left unexplained by the final full model (Table 4.6).

Individual colony size was observed to be the strongest predictor in classifying disease presence (relative influence = 34.1%, Figure 4.3). Saturated oxygen (surface), total organic carbon (bottom), and wind speed all had relative influences above 5% (Figure 4.3). The relationship between colony size and disease occurrence was positive, indicating that larger

colonies are generally at a higher risk of infection. For water quality, disease risk decreases with increasing dissolved saturated oxygen and inorganic nitrogen but increases with higher levels of organic carbon (Figure 4.4). With climate predictors, disease risk was high when wind speed was either low or high, but not intermediate, and there was a small increase with higher sea surface temperatures (Fig. 4.4). In comparing broad sets of environmental predictors, water quality variables, such as saturated oxygen and total organic carbon, had more relative influence than remotely measured abiotic variables, such as water temperature and wind speed (Figure 4.3). Dummy variables were unimportant in predicting disease, September was highest ranking dummy variable with a relative influence of 0.27% (Table 4.12).

In evaluating interactions between predictors, we found that colony size exhibited strong interactions with multiple environmental variables. Sea surface temperature was observed to have high influence when interacting with colony size (Table 4.8). Generally, disease risk was high when both colony size and sea surface temperature were also high (Figure 4.5). Specifically, colony sizes exceeding 7500 cm<sup>2</sup> combined with sea surface temperatures greater than 28° C led to a high probability of disease. Dissolved saturated oxygen and colony size had a relatively flat surface with fitted values generally between 0.2 and 0.4, but there was a drop-in disease risk at high levels of dissolved saturated oxygen (Figure 4.6). The risk for disease predominantly increased with increasing levels of total organic carbon and not as much along the colony size axis (Figure 4.7). For colony size and wind speed, we observed a bi-modal distribution of disease risk along the axis for wind speed and increasing disease risk along the axis for colony size (Figure 4.8).

### *Simplified model*

Including a simplified boosting regression model can be useful in cases of relatively small data sets, because redundant predictors may degrade performance by increasing variance<sup>183</sup>. Simplification is achieved in a manner similar to backward selection in standard regression methods. The simplified model was trained using the full set of 1419 observations (382 positive for disease) but with ultimately a much-reduced number of predictors (from 54 to 4). Model results indicate that reducing the number of total predictors did not negatively influence the predictive accuracy of the trained model (Table 4.9, Figure 4.9). The difference between training AUC (0.90) and cv-AUC (0.84) indicated that overfitting for the simplified model was minimal (Table 4.9). For simplified testing, cv-AUC and test-AUC reported the same value of 0.84 (Tables 4.9, Table 4.10). The minimal differences in predictive performance between full (49 predictors) and simplified predictor (4 predictors) sets confirms that dropping less influential predictors did not reduce overall model performance and the most important predictor variables were maintained. Residual deviance was not improved after predictor reduction (observed 0.701 in the full model, and 0.708 in the simplified model) and indicates that dropping predictors did not influence the performance of the model.

For predictor importance, colony size was observed to have the highest level of relative influence (Figure 4.10), as in the full model. The remaining environmental predictors, wind speed, saturated oxygen, and total organic carbon, all had relative influences greater than 10% (Figure 4.10). After simplification, wind speed had the largest increase in relative influence by 18.6% (from 6.1% to 24.7%). Relationships between disease risk and predictors were relatively unchanged between full and simplified models (Figure 4.4, Figure 4.11). Colony size was repeatedly observed as an important variable for interactions with remaining predictors in the

simplified model (Table 4.11). Comparing interactions between the full and simplified model (Colony Size – Wind Speed and Colony Size – Dissolved Oxygen), we observed that the general trends remained the same when compared to against their full model (Figure 4.12, Figure 4.13).

#### *geoGam Results*

Output from the geoGAM suggests that accounting for location and time have a minimal influence on predictive output (Figure 4.14). The full model that includes all predictors establishes the potential predictive output around an AUC value of 0.88, or 88% (Figure 4.14). In a subset of models in which various combinations of location and time information are dropped, we see only a very small drop in AUC values, to around 0.84 at worst (models F-xy through F-xy,mo,yr, Figure 4.14). Conversely, models that only include location and time data (xy.mo.yr and xy.yr) are the worst performing in terms of AUC, especially the “year and spatial location model” xy.yr (Figure 4.14).

Comparing measured water temperature via SST from AVHRR with molasses reef NOAA buoy data suggests that there is minimal error in the larger spatial scale estimates provided from AVHRR (Figure 4.15). We observed that the once per day measurement of SST from AVHRR proved to be a good predictor for the daily average water temperature measured by the NOAA buoy (  $p < 0.001$ ,  $R^2 = 0.95$ , slope = 1.04).

## **Discussion**

Coral reefs provide valuable goods and services such as ecotourism, habitat complexity that sustains fishing industries, and coastline protection from storm surge<sup>186</sup>. Yet, coral cover is declining due to bleaching and disease events<sup>20</sup> and a reduction in carbonate production<sup>187</sup>. The continuing decline of coral reefs has led to the call for new perspectives regarding the realistic

expectations in managing and mitigating coral loss under climate change <sup>188</sup>. One novel approach calls for identifying reefs that have ‘escaped’ the negative consequences of climate change <sup>189</sup>. These outlier reefs may be defined, for example, as those with exceptionally high biomass following a common stressor event, such as bleaching <sup>189</sup>. These resilient coral reefs can then be further studied to identify features that differentiate coral survivorship when experiencing the impact of global reef degradation. Here, we propose extending this to coral diseases by applying our predictive framework to help generate baseline expectations for disease occurrence at particular locations and times at which environmental conditions are conducive to risk of disease. This approach can help to tentatively identify potentially resilient reefs from surveillance where observed disease prevalence is zero or well below that expected based on an environmentally-predicted baseline. Additionally, because some environmental conditions can be cautiously extrapolated to near-future predictions (e.g., sea surface temperatures in the coming decade), the statistical models developed here can also help researchers plan strategic, pre-emptive field studies by identifying at-risk reefs ahead of time, allowing researchers to acquire, for example, pre-outbreak microbiome samples or allow them to capture the onset of an epidemic. Furthermore, while certain events such as bleaching can be geographically widespread phenomena <sup>190,191</sup> we have demonstrated the potential for coral diseases to occur at a much smaller spatial scale, where environmental conditions are met, and colonies are sufficiently large on average.

Our model shows that individual colony size was the most informative predictor for white pox disease (Figures 3,4,9 & 10). A positive relationship for individual colony size and disease occurrence also has been reported as an important factor for other disease systems, such as white plague <sup>192</sup>, *Porites* ulcerative white spot <sup>193</sup>, white syndrome <sup>194</sup>, *Porites* growth



*anomaly*<sup>178</sup>, *Porites* tissue loss<sup>178</sup>, and *Porites* trematodiasis<sup>178</sup>. For white pox disease, previous work in St. John, Virgin Islands has also demonstrated the positive relationship between colony size and disease occurrence<sup>64</sup>.

While there is evidence supporting a positive relationship between colony size and white pox, a mechanistic understanding of the relationship remains elusive. It's possible that larger colonies provide a larger physical target for the pathogen. Our models suggest that colony size interacts with environmental conditions. In our full model all interactions with strengths greater than 1 included colony size (Table 7). The repeated occurrence of interactions involving colony size is not surprising given the relative influence of colony size alone for the full and simplified model. For many environmental variables, we observed an increasing monotonic response between colony size, environmental factor, and the probability of disease occurrence. A likely explanation for this could be that larger and much older colonies could be more sensitive to changes in environmental conditions. However, more work will be needed to investigate detailed mechanisms between colony size, environmental stress, and disease.

We found evidence that environmental factors associated with both climate and water quality can influence the probability of disease occurrence, although water quality variables had a greater relative influence on disease occurrence than did climate factors related to temperature. Interestingly, dissolved oxygen saturation had the strongest influence of all the environmental factors in the full model and simplified model, with a negative relationship between increased oxygen saturation and probability of disease. Anaerobic conditions have been observed in the microbial communities of black band disease<sup>134</sup>. Dissolved oxygen may not have a direct biological influence on disease occurrence, but rather may serve as a bio-indicator for localized environmental stress. We also observed that organic carbon and dissolved inorganic nitrogen

levels aided in the discrimination of healthy and diseased coral status. Water quality, including nutrient levels, are believed to play a role in the severity of disease events by enhancing growth of disease lesions<sup>62</sup>. In an experimental study conducted in the Florida Keys, researchers observed higher prevalence of multiple diseases in areas of a reef that were enriched with dissolved inorganic nitrogen and soluble reactive phosphorus when compared against areas that were not enriched<sup>63</sup>.

Evidence across multiple studies and locations has established the association between high temperatures and prevalence of white pox disease<sup>32,64,105,195</sup> and temperature has emerged as an important factor in the seasonal occurrence of white pox disease<sup>105,162</sup>. Elevated water temperatures contribute to stress in coral colonies that may lead to adverse health events such as bleaching and disease.<sup>60,154,196,197</sup> In addition, we have demonstrated that sea surface temperature interacts with colony size to generate an increased risk of disease occurrence (Table 7). Furthermore, when we investigated interactions between predictors we found that the strongest interaction was between colony size and sea surface temperature (Table 7), and that disease risk was highest when colony sizes were large and temperatures were high (Fig. 5). The interaction between temperature and colony size suggests that larger colonies, and thus older colonies, are more susceptible to temperature stress resulting in disease.

After simplifying our predictor set, we observed a large increase in the relative influence of wind speed in the probability of disease occurrence (Figures 10 & 11). Low wind conditions have been reported during observed outbreaks of other coral diseases<sup>198,199</sup>, but few studies have explicitly examined wind speed and disease occurrence. Research on coral bleaching has shown an association between the occurrence of bleaching events and periods of low wind<sup>200–202</sup>. Furthermore, periods of elevated sea surface temperature are often associated with periods of

lower wind speeds within the Florida Keys <sup>202</sup>. It is therefore possible that the onset of white pox could be associated with periods of lower wind speed with an interaction of elevated sea surface temperature. With respect to the pathogen, low winds speeds might promote conditions that favor pathogen settlement on susceptible hosts. While conditions of high wind speed might agitate the water column and promote pathogen dispersal between colonies.

**Table 4.1:** Summary of disease surveillance from 2008 – 2014 by site. The surveys occurred during three seasons, winter (wi), spring (sp), and summer (su). Numbers represent the number of times a survey took place during a given season.

	2009			2010			2011			2012			2013			Our study shows that		
	Wi	Sp	Su	Wi	Sp	Su	Wi	Sp	Su	Wi	Sp	Su	Wi	Sp	Su	Wi	Sp	Wi
<b>Reef</b>																		
Carysfort			1			1		1	1	1	1	1	1	1	1	1	1	1
Molasses			1			1		1	1	1	1	1	1	1	1	1	1	1
Sombrero		1						1	1	1	1	1	1	1	1	1	1	1
Looe Key		1	3		1	2		1	1	1	1	1	1	1	1	1	1	1
Western Sambo			1			2		1	1	1	1	1	1	1	1		1	1
Rock Key			1			1			1	1	1	1	1	1	1		1	1

**Table 4.2:** List of all predictor variables provided to the model. Variables were either provided by NOAA or SERC. Percent missing was determined by counting the number of empty values by observation for each environmental variable.

	<b>Variable</b>	<b>Source</b>	<b>Resolution</b>	<b>% Missing</b>
1	Disease presence	Surveillance	Survey	0
2	Coral area	Surveillance	Survey	0
3	Sea surface temperature (sst)	NOAA	7 Days	0
4	Degree heating weeks (dhw)	NOAA	7 Days	0
5	Hot spot (hs)	NOAA	7 Days	0
6	Cold snap one (magnitude)	NOAA	7 Days	0
7	Cold snap two (duration)	NOAA	7 Days	0
8	Hot snap one (magnitude)	NOAA	7 Days	0
9	Hot snap two (duration)	NOAA	7 Days	0.41
11	Winter condition one (wint.cond1)	NOAA	7 Days	0
12	Winter condition two (wint.cond2)	NOAA	7 Days	0
10	Wind speed (wnd.spd)	NOAA	7 Days	0
13	Nitric Oxide - Surface (nox.s)	SERC	Quarterly	4.56
14	Nitric Oxide – Bottom (nox.b)	SERC	Quarterly	8.35
15	Nitrate – Surface (NO3.s)	SERC	Quarterly	11.68
16	Nitrate – Bottom (NO3.b)	SERC	Quarterly	16.24
17	Nitrite – Surface (NO2.s)	SERC	Quarterly	5.17
18	Nitrite – Bottom (NO2.b)	SERC	Quarterly	8.35
19	Ammonium – Surface (NH4.s)	SERC	Quarterly	4.56
20	Ammonium – Bottom (NH4.b)	SERC	Quarterly	8.35
21	Total nitrogen – Surface (TN.s)	SERC	Quarterly	4.56
22	Total nitrogen – Bottom (TN.b)	SERC	Quarterly	8.35
23	Dissolved inorganic nitrogen – Surface – (din.s)	SERC	Quarterly	4.56
24	Dissolved inorganic nitrogen – Bottom (din.b)	SERC	Quarterly	8.35
25	Total organic nitrogen – Surface (ton.s)	SERC	Quarterly	4.56
26	Total organic nitrogen – Bottom (ton.b)	SERC	Quarterly	8.35
27	Total phosphorous – Surface (tp.s)	SERC	Quarterly	4.56
28	Total phosphorous – Bottom (tp.b)	SERC	Quarterly	8.35
29	Soluble reactive phosphorous - Surface (srp.s)	SERC	Quarterly	14.34

30	Soluble reactive phosphorous – Bottom (srp.b)	SERC	Quarterly	8.35
31	Chlorophyll A – Surface (chla.s)	SERC	Quarterly	4.56
32	Total organic carbon – Surface (toc.s)	SERC	Quarterly	4.56
33	Total organic carbon – Bottom (toc.b)	SERC	Quarterly	8.35
34	Silicate – Surface (siO2.s)	SERC	Quarterly	10.71
35	Silicate – Bottom (siO2.b)	SERC	Quarterly	14.5
36	Turbidity – Surface (turb.s)	SERC	Quarterly	4.56
37	Turbidity – Bottom (turb.b)	SERC	Quarterly	7.07
38	Salinity – Surface (sal.s)	SERC	Quarterly	10.19
39	Salinity – Bottom (sal.b)	SERC	Quarterly	4.56
40	Temperature – Surface (temp.s)	SERC	Quarterly	4.56
41	Temperature – Bottom (temp.b)	SERC	Quarterly	4.56
42	Dissolved oxygen – Surface (do.s)	SERC	Quarterly	4.56
43	Dissolved oxygen – Bottom (do.b)	SERC	Quarterly	4.56
44	Light Attenuation (Kd)	SERC	Quarterly	8.2
45	Nitrogen to phosphorous (tn.tp)	SERC	Quarterly	4.56
46	Dissolved inorganic nitrogen to phosphorous (din.tp)	SERC	Quarterly	4.56
47	Silicate to nitrogen (Si.din)	SERC	Quarterly	10.71
48	Dissolved oxygen saturation – Surface (x.sat.s)	SERC	Quarterly	4.56
49	Dissolved oxygen saturation – Bottom (x.sat.b)	SERC	Quarterly	4.56
50	Light Availability (x.Io)	SERC	Quarterly	8.2

**Table 4.3:** Sites that were sampled in the surveillance study for the presence of white pox disease and sites that were sampled for water quality. The SERC sites that do not match their surveillance site were selected based on their nearby proximity to the surveillance site.

Surveillance Site	SERC Site
Carysfort Reef	Carysfort Reef
Molasses Reef	Molasses Reef
Looe Key	Looe Key
Sombrero Reef	Sombrero Key
Western Sambo	Western Sambo
Eastern Dry Rocks	Eastern Dry Rocks
Rock Key	Eastern Dry Rocks

**Table 4.4.** Hyper parameter values provided to both full and simplified model.

Parameter	Value
Tree complexity	3
Learning Rate	0.01
Bag Fraction	0.7
Total number of trees	1450



**Table 4.5:** Set of geoGAM models used to determine the predictive importance of biotic and abiotic predictors over and above space and time, *per se*.

<b>Model</b>	<b>Notation</b>
Full Model – contains all predictors types	Full (F)
Model without spatial data	F – xy
Model without year of observation	F - yr
Model without month observation	F – mo
Model without month and year	F – mo, yr
Model without space and time information	F – xy, mo, yr
Model only including space and time	xy, mo, yr
Model only including space and year	xy, yr

**Table 4.6:** Summary of predictive performance metrics of the full model using provided training data (n = 1419). Full training model performance was evaluated by mean total deviance mean residual deviance, estimated cross-validation deviance, training data correlation, cross-validation correlation, AUC training data predictions, and cross-validation AUC. Standard error of the mean is provided in parentheses.

Output	Value
Number of disease positive	382
Number of disease negative	1037
Mean total deviance	1.191
Mean residual deviance	0.716
Estimated CV deviance	0.833 (0.015)
Training data correlation	0.663
CV correlation	0.572 (0.012)
Training data AUC score	0.90
Cross-Validation AUC score	0.85 (0.006)

**Table 4.7:** The predictive performance of testing data ( $n = 391$ ) that uses the model constructed with the full predictor set. The testing data used here was withheld from the model during model construction. AUC values from model classification of test data and test data correlation values.

Output	Value
Number disease positive	82
Number disease negative	273
AUC	0.85
Testing data correlation	0.54

**Table 4.8:** Interaction sizes between pairs of predictors from the full model. To limit table size, only interaction sizes greater than 1 are reported.

Variable 1	Variable 2	Interaction size
Colony size	Sea surface temperature	20.94
Colony size	Saturated oxygen - bottom	6.13
Colony size	Total organic carbon - bottom	5.06
Colony size	Wind speed	2.28

**Table 4.9:** Summary of predictive performance metrics of the simplified model using provided training data (n = 1419) and parameter values estimated from the full model. Simplified training model performance was evaluated by mean total deviance, mean residual deviance, estimated cross-validation deviance, training data correlation, cross-validation correlation, AUC training data predictions, and cross-validation AUC. Standard error of the mean is provided in parentheses.

Output	Value
Number of disease positive	451
Number of disease negative	1110
Mean total deviance	1.191
Mean residual deviance	0.708
Estimated CV deviance	0.862 (0.019)
Training data correlation	0.671
CV correlation	0.555 (0.015)
Training data AUC score	0.90
Cross-Validated AUC score	0.84 (0.008)

**Table 4.10:** The predictive performance of testing data ( $n = 355$ ) that uses the model constructed with the simplified predictor set, the testing data used here was withheld from the model during model construction. AUC values from model classification of test data and test data correlation values.

Output	Value
Number disease positive	82
Number disease negative	273
AUC	0.84
Correlation	0.53

**Table 4.11:** Interaction sizes between pairs of predictors from the simplified model. Only interaction sizes greater than 1 are reported.

Variable 1	Variable 2	Interaction size
Colony size	Wind speed	42.85
Colony size	Saturated oxygen - surface	36.42

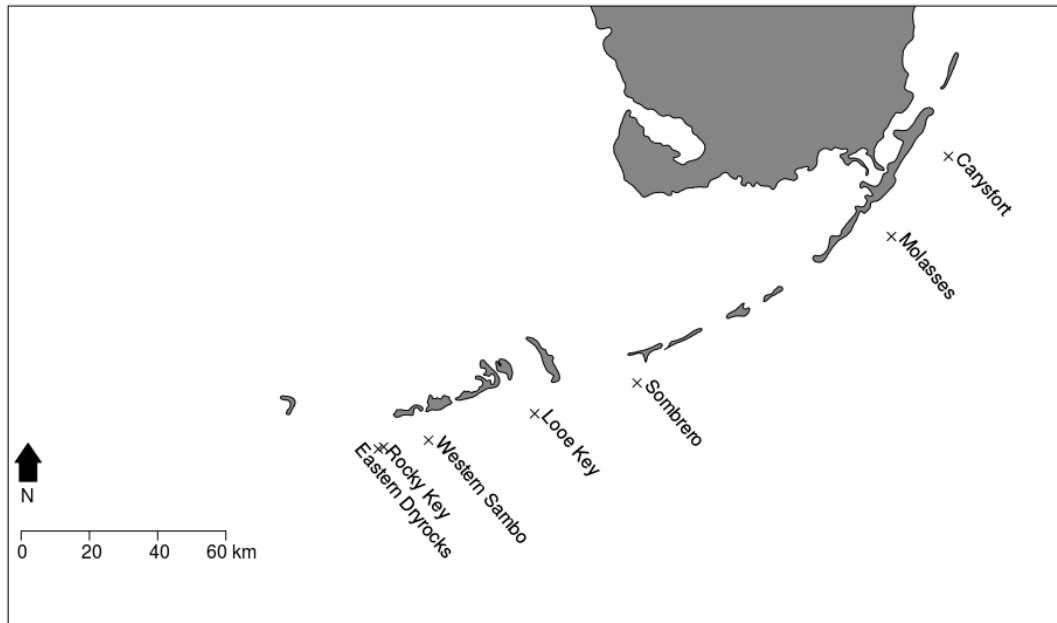
**Table 4.12:** Relative influence values returned from the full model. Variables reported are in their programming short-hand codes (matching environmental variables can be found in Table 4.2). For reefs: CR (Carys Fort), MR (Molasses Reef), SR (Sombrero Reef), LK (Looe Key Reef), RK (Rock Key), WS (Western Sambo), ED (Eastern Dry Rocks). Month number corresponds to the calendar month (e.g., month.12 represents December).

Variable	Relative influence
colony.size	34.55195
x.sat.s	14.74742
toc.b	6.846865
Wind.speed	6.124629
din.s	4.328761
sst	3.144855
nh4.s	2.688201
din.tp	2.275078
wint.cond1	2.231741
Kd	2.225944
srp.s	2.116824
tp.s	1.890811
sal.s	1.849282
Si.din	1.393082
turb.s	0.961321
srp.b	0.940762
chla.s	0.896766
turb.b	0.82291
no3.b	0.811636
hs	0.80558
siO2.s	0.741759
din.b	0.709837
tp.b	0.689264
siO2.b	0.524015
x.sat.b	0.454688
nh4.b	0.441403
hot.day1	0.432476
nox.b	0.412477
x.Io	0.401914
no3.s	0.356609
tn.tp	0.262722

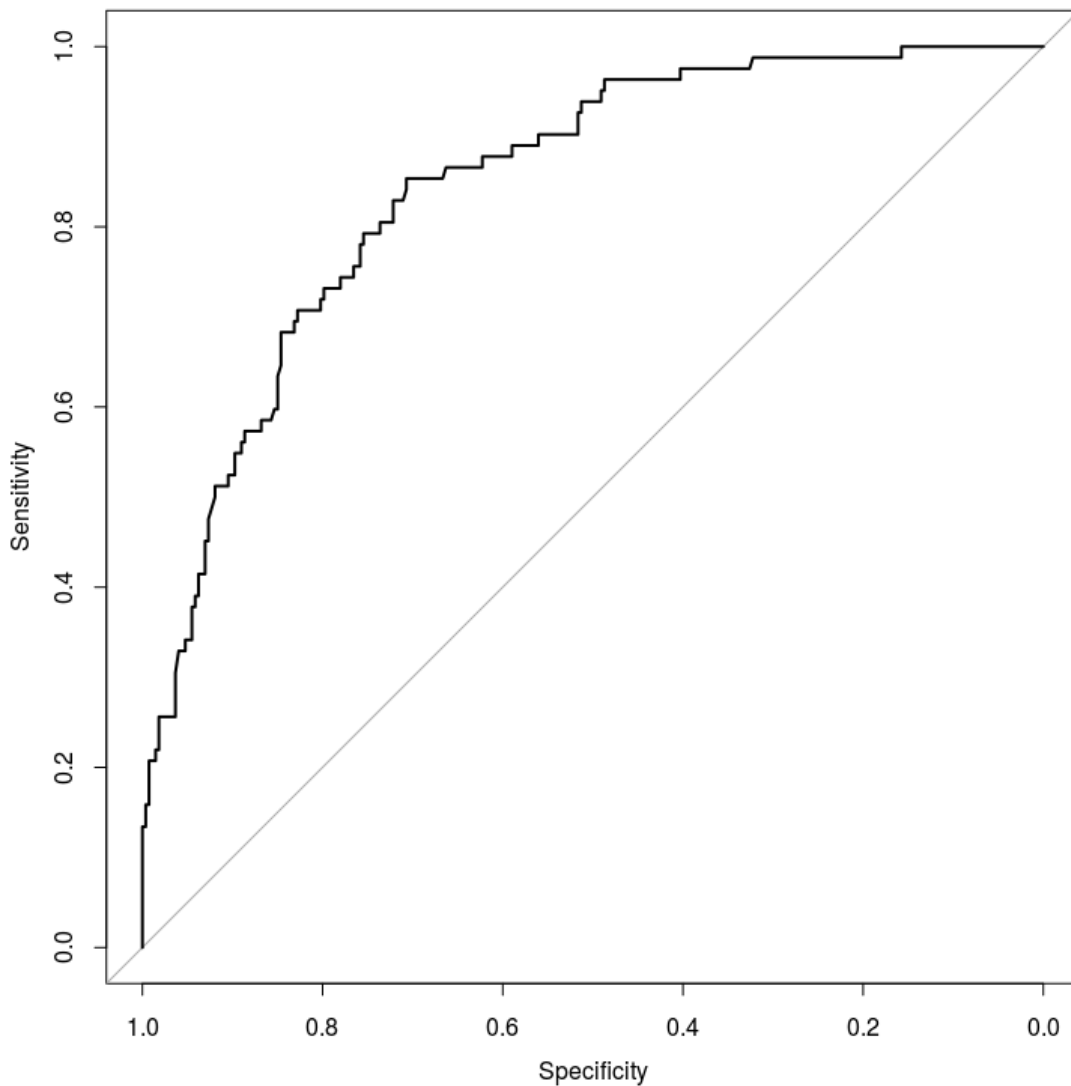


month9	0.231354
no2.s	0.225133
temp.s	0.168787
wint.cond2	0.167803
tn.b	0.167
no2.b	0.166878
sal.b	0.163268
temp.b	0.162759
nox.s	0.144662
ton.b	0.135355
tn.s	0.134845
month6	0.12602
toc.s	0.120794
reefWS	0.086539
ton.s	0.085167
year1997	0.075233
cold2	0.073282
year1998	0.064714
do.b	0.059081
do.s	0.05819
hot.day2	0.056777
month8	0.050262
month7	0.047111
year2010	0.025552
cold1	0.023555
year2009	0.023179
year2014	0.022789
reefLK	0.019538
year2011	0.013897
month5	0.009804
month2	0.004869
reefMR	0.00423
dhw	0
reefED	0
reefRK	0
reefSR	0
month10	0
month11	0
month12	0
month4	0
year1996	0

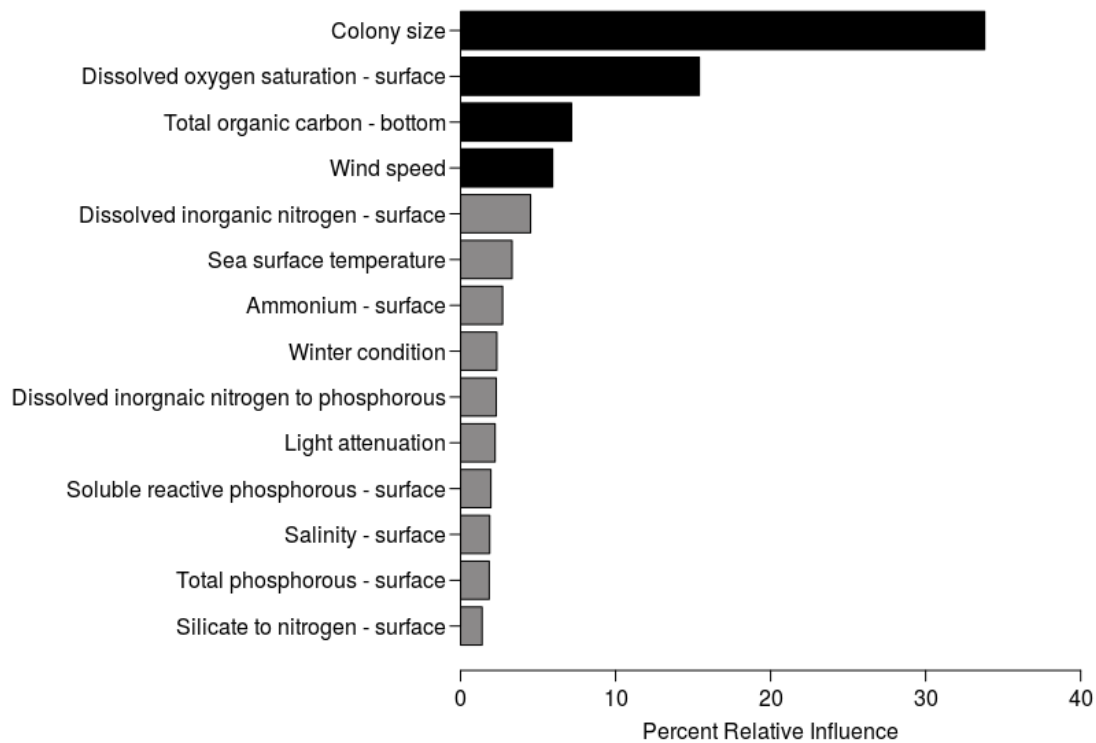
year1999	0
year2000	0
year2001	0
year2002	0
year2003	0
year2004	0
year2012	0
year2013	0



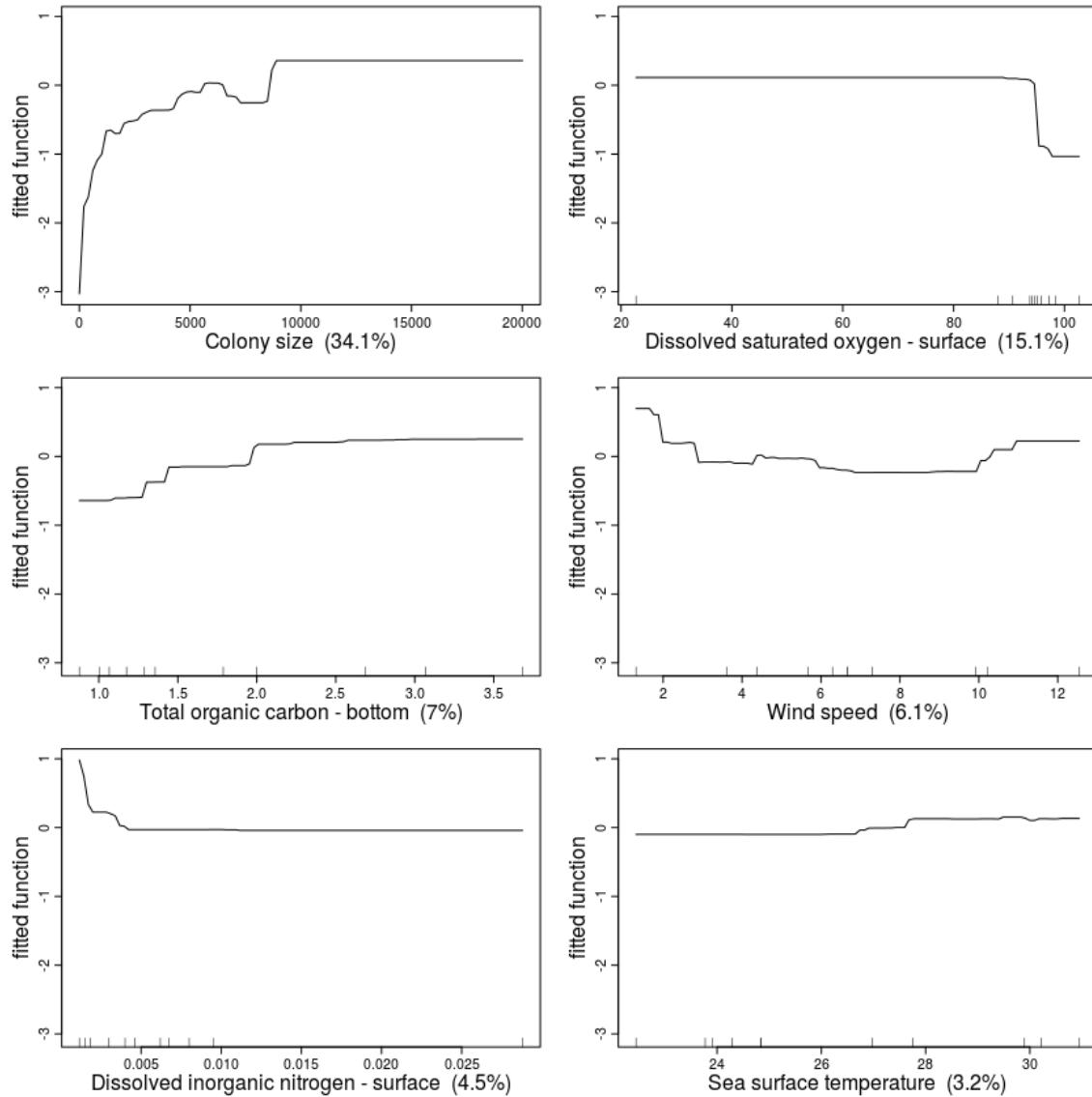
**Figure 4.1:** Geographic distribution of the reefs present in this study. From 1994 – 2004 we surveyed Eastern Dry Rocks reef located in the SW region of the Florida Keys. From 2008 – 2014, we expanded our surveillance to include Carys Fort, Molasses Reef, Sombrero Reef, Looe Key, Western Sambo, Rock Key, Eastern Dry Rocks).



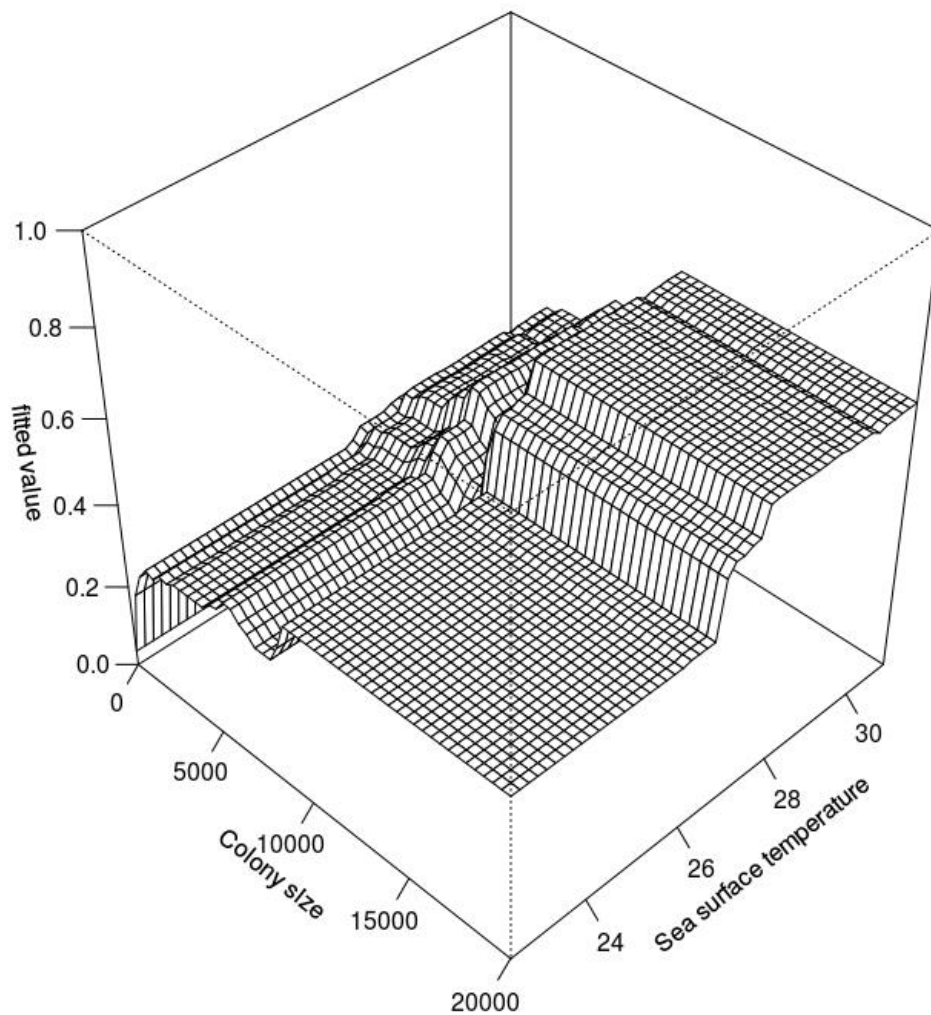
**Figure 4.2:** Receiver operating characteristic (ROC) curve for the full model using the testing data withheld from model training. The ROC curve visualizes how well the model correctly classifies test observations by plotting the sensitivity (true positive rate) against the specificity (true negative rate) with testing data. The AUC score for this ROC curve was 0.85.



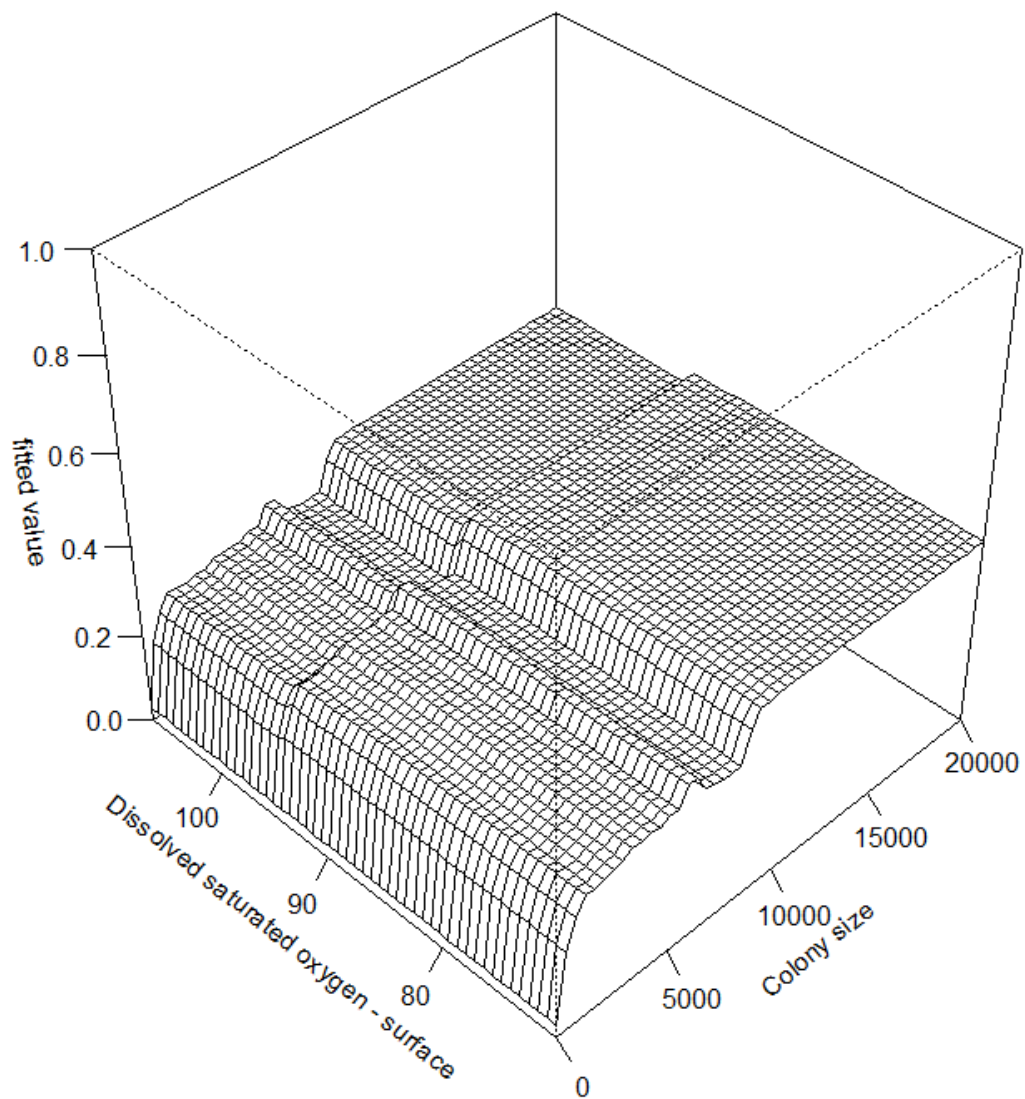
**Figure 4.3:** Relative influence of predictor variables used in the full model. Predictors shaded in black have an influence greater than 5%. Predictors that had less than 1% influence are excluded from this figure but are available in Table 4.12.



**Figure 4.4:** Partial dependency plots for key predictors. These plots are constructed by holding other predictors constant at their mean value and interpolating the relationship between predictor of interest and response. The fitted function represents the log odds ratio, so that if the probability of infection is  $p$ , the y-axis shows  $\ln(p/1-p)$ . Higher values on the y-axis therefore represent larger probabilities of infection.

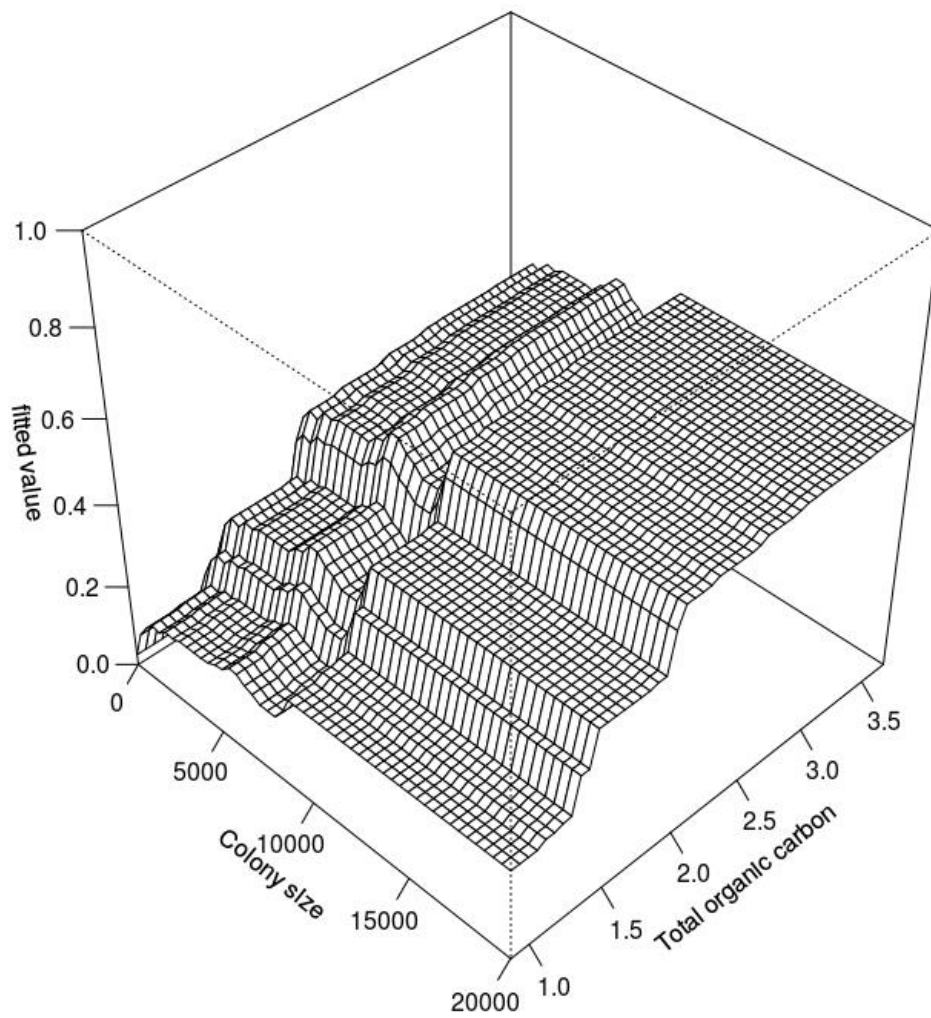


**Figure 4.5:** Visualization of the interaction between colony size and sea surface temperature in which fitted value=probability of infection. The probability of infection was highest in the region where colony sizes were large and sea surface temperature was high. The interaction between colony size and sea surface temperature was observed to have the strongest pairwise interaction among all possible interactions.

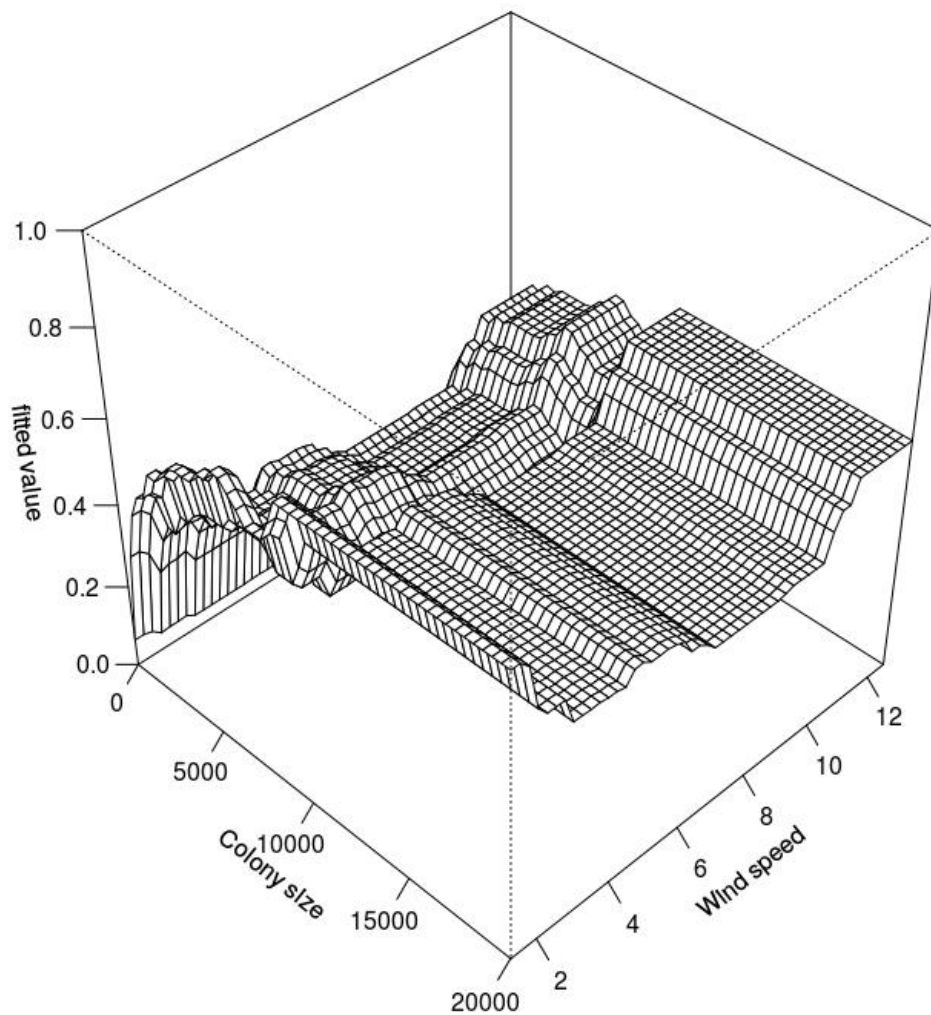


**Figure 4.6:** Visualization of the interaction between colony size and dissolved saturated oxygen in which fitted value=probability of infection. Larger colonies (> 5000 cm) have an elevated probability of infection when dissolved saturated oxygen levels drop below 100.

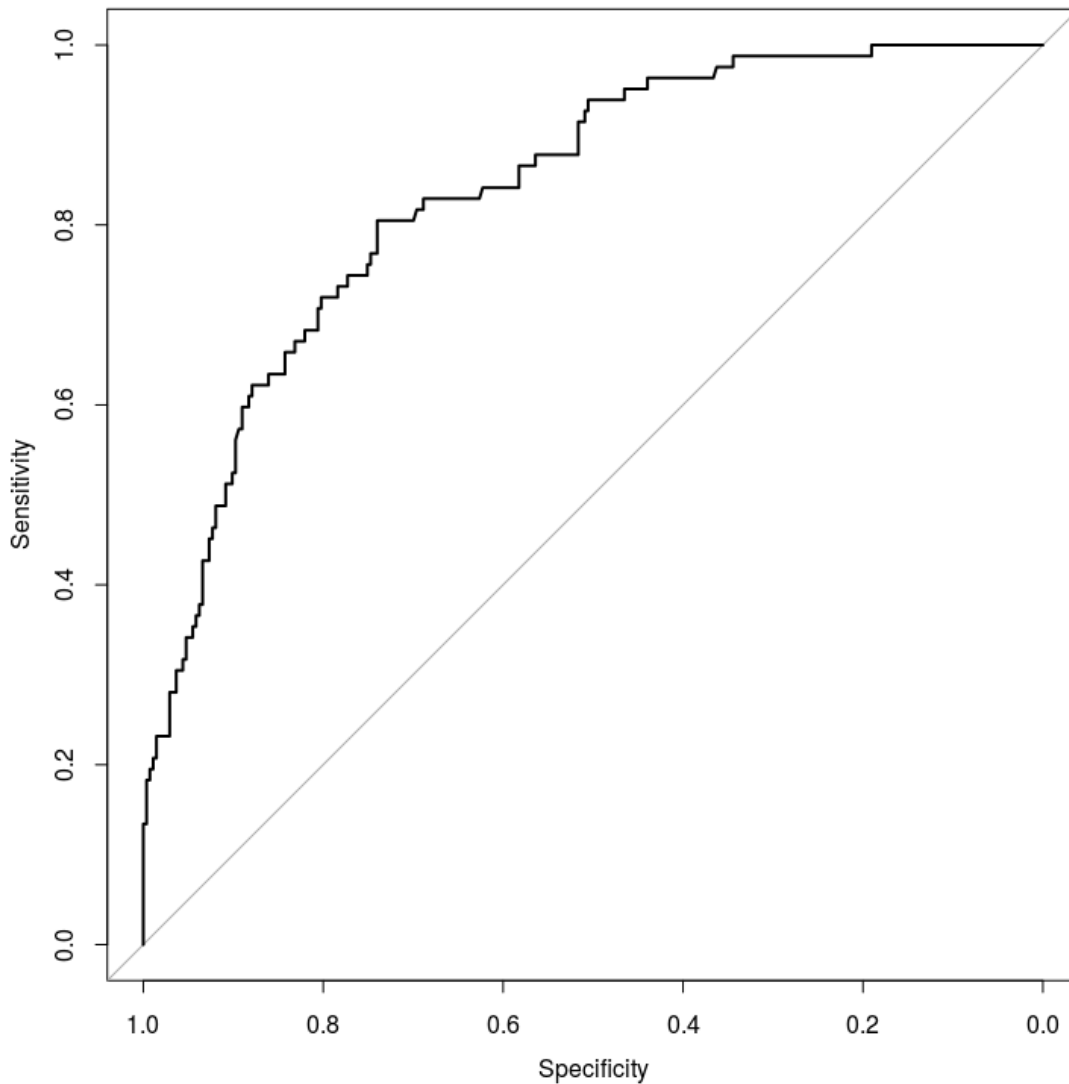




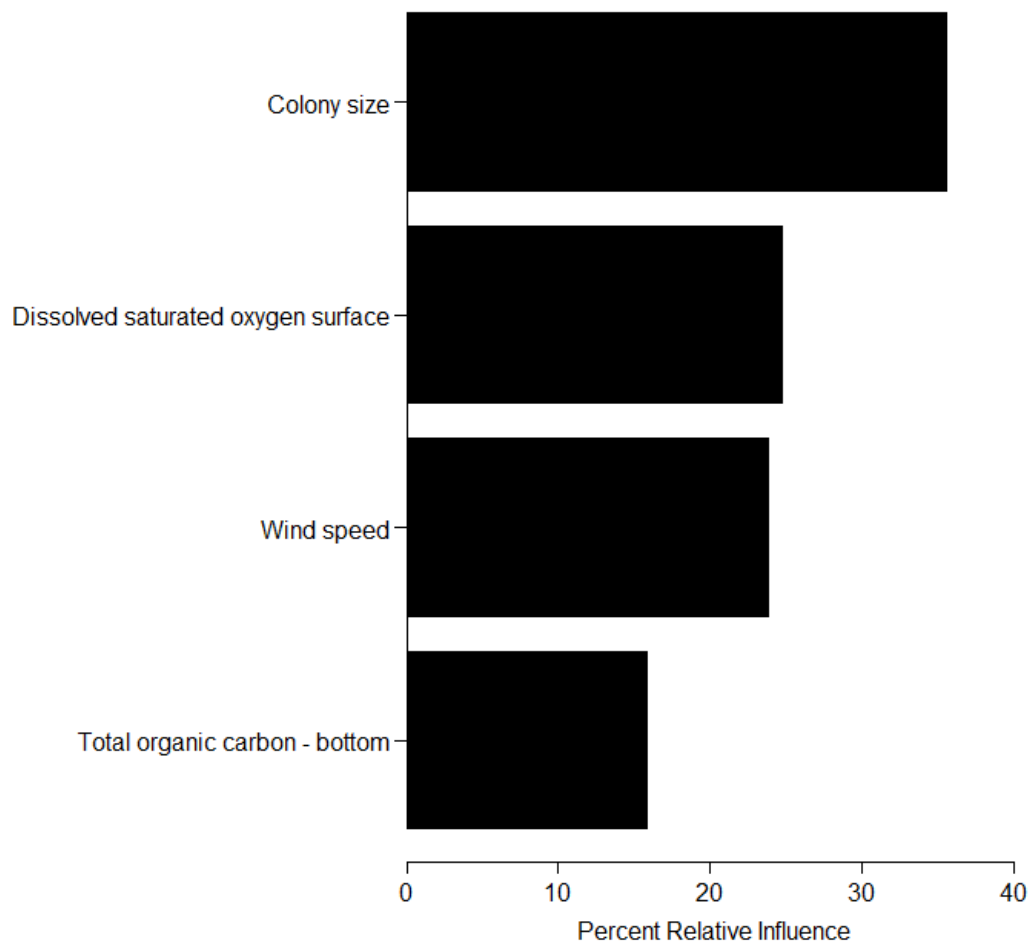
**Figure 4.7:** Visualization of the interaction between colony size and total organic carbon in which fitted value=probability of infection. The probability of infection increases with an increase in colony size and total organic carbon levels measured at the bottom of the reef.



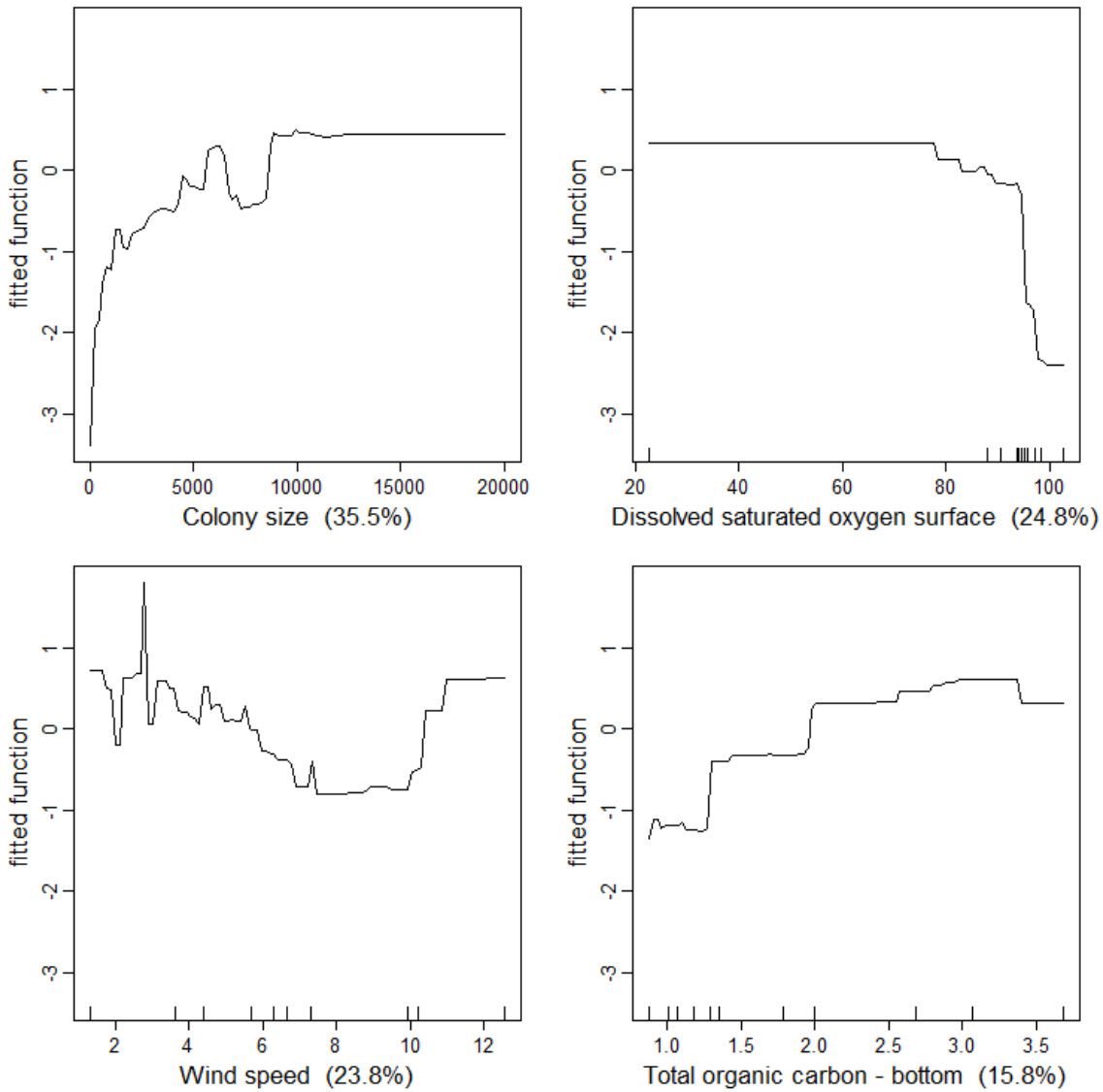
**Figure 4.8:** Visualizing the interactions between colony size and wind speed in which fitted value=probability of infection. The probability of infection is highest when colony sizes are large and wind speed is low. The bi-modal distribution along the wind speed axis is generally consistent along the colony size axis.



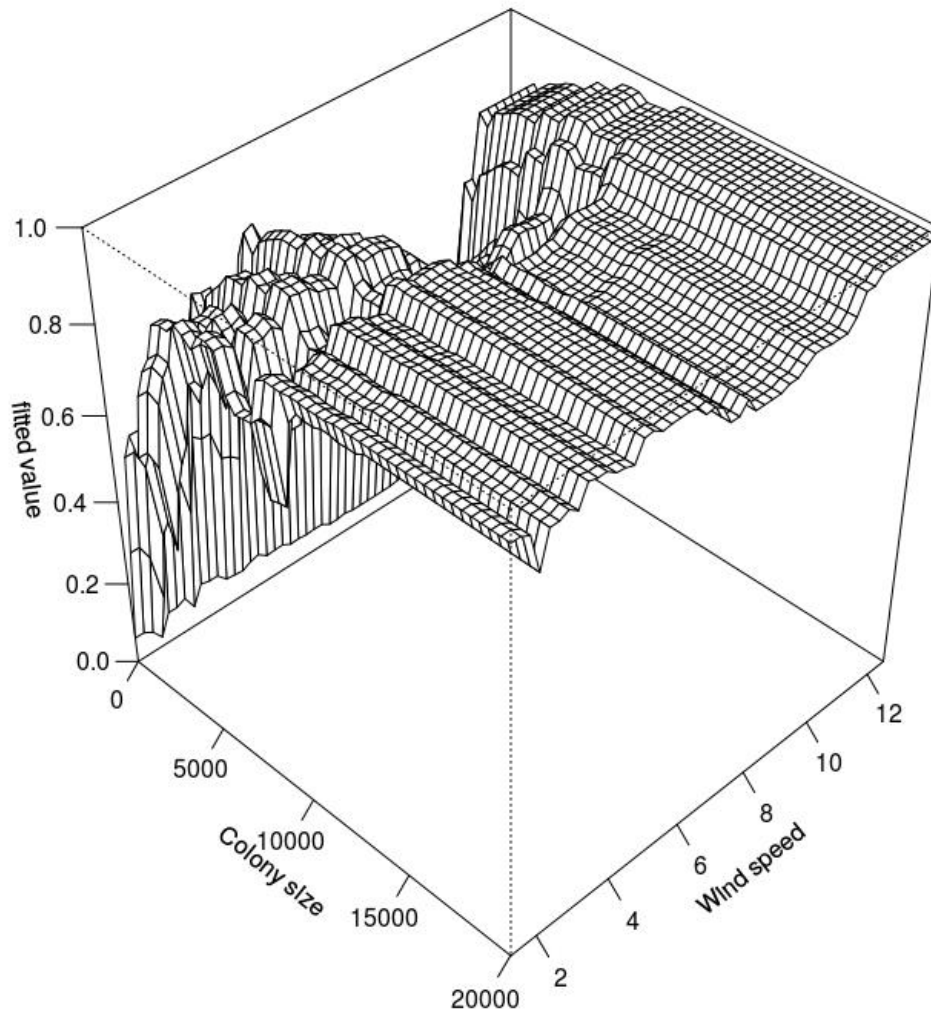
**Figure 4.9:** Receiver operating characteristic (ROC) curve for the full model using the testing data withheld from model construction. ROC curve visualizes how well the model correctly classifies test observations by plotting the sensitivity (true positive rate) against the specificity (true negative rate) with testing data, the AUC score for this ROC curve was 0.885.



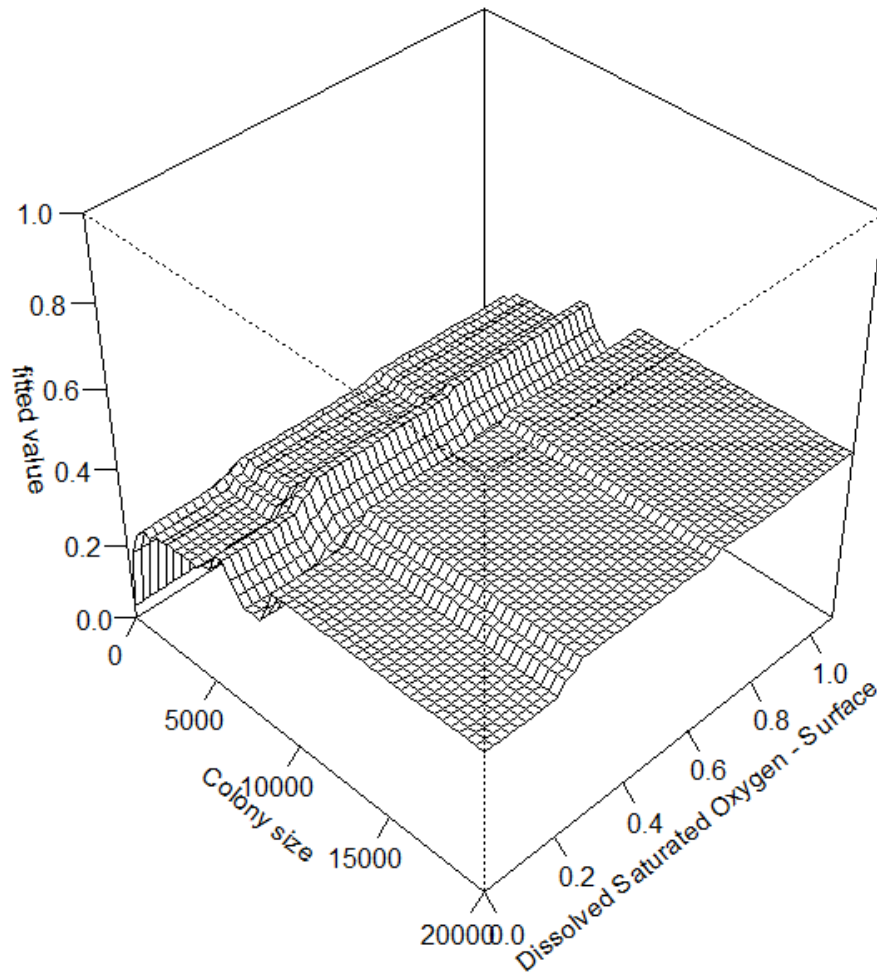
**Figure 4.10:** Relative influence of each predictor variable for the simplified model. For this model, no predictors fell below the 1% threshold, consequently all retained predictor variables are plotted.



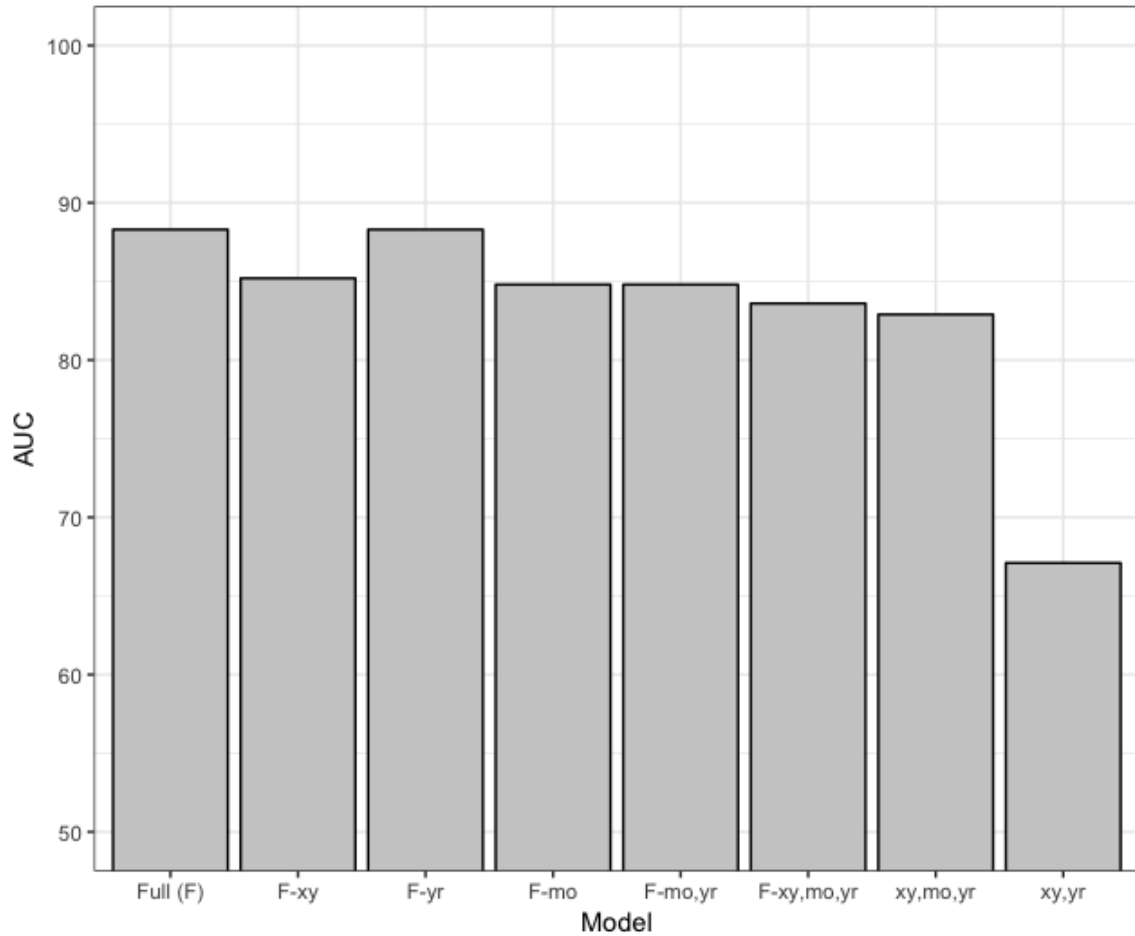
**Figure 4.11:** Partial dependency plots showing the relationship between key predictors and the response. These plots are constructed by holding other predictors constant at their mean value and interpolating the relationship between predictor of interest and response. The fitted function represents the log odds ratio, so that if the probability of infection is  $p$ , the y-axis shows  $\ln(p/1-p)$ . Higher values on the y-axis therefore represent larger probabilities of infection.



**Figure 4.12:** Visualizing the interaction between colony size and wind speed in which fitted value=probability of infection. Here, we see the probability is high in general for all large colonies (here greater than 10,000 cm<sup>2</sup>). The bi-modal distribution along the wind speed axis was present, but less pronounced than in the full model.

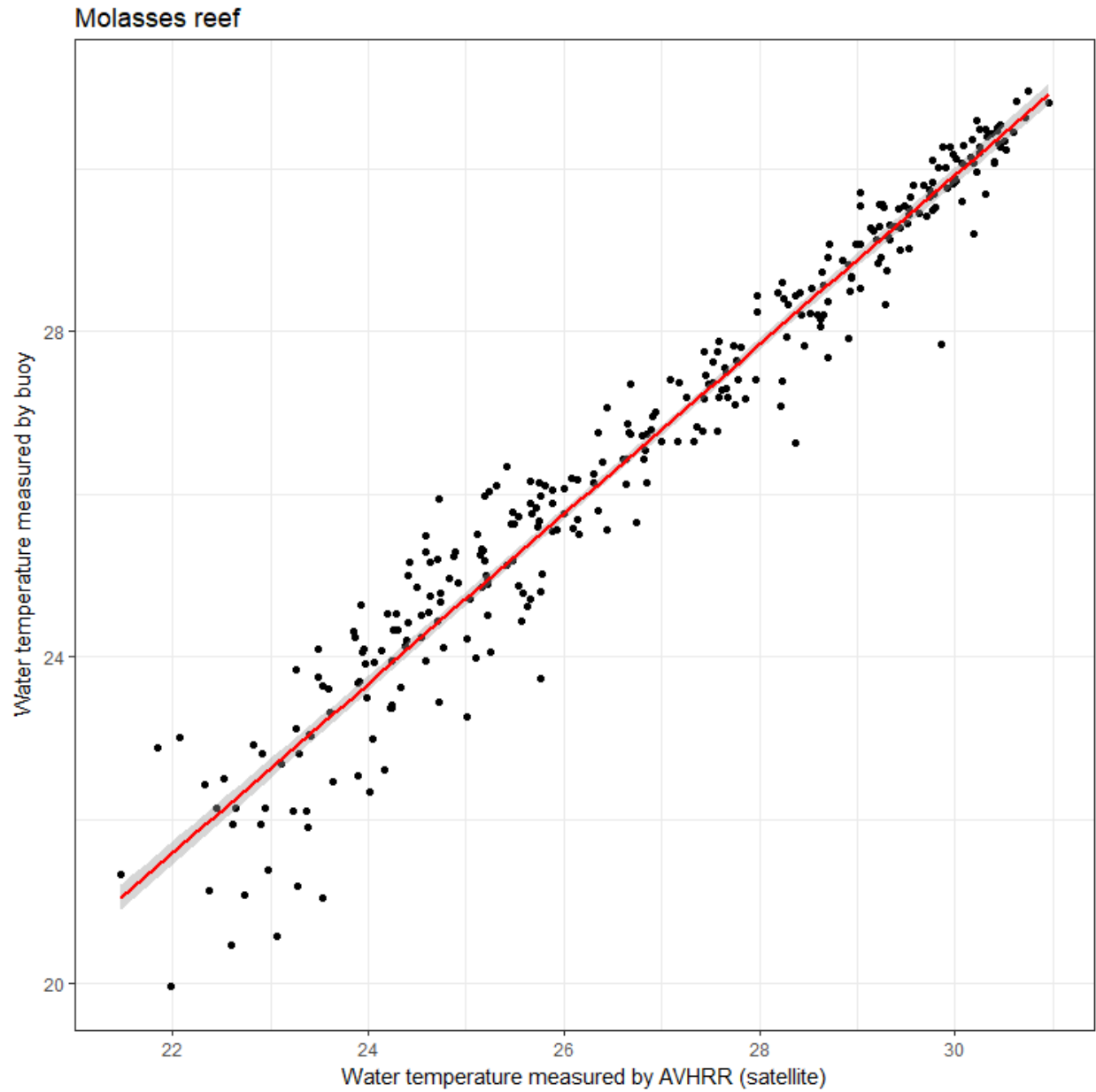


**Figure 4.13:** Visualization of the interaction between colony size and dissolved saturated oxygen in which fitted value=probability of infection. We observed that the probability of infection drops when dissolved saturated oxygen levels are high regardless of colony size. Larger colonies ( $> 5000 \text{ cm}^2$ ) have an elevated probability of infection when dissolved saturated oxygen levels drop below 100. The interaction between colony size and dissolved saturated oxygen was similar between full predictor set (Fig 6) and simplified predictor set.



**Figure 4.14:** AUC values from the geoGAM models to test the influence of hierarchical effects on model output. F represents the full model that contained colony size, the top 13 BRT-based environmental predictors, temporal information, and spatial location. F – xy, is the full model minus spatial predictors, F – yr, is the full model minus year as a predictor. F – mo is the full model minus month as a predictor. F – mo, yr is the full model minus month and year predictors. F-xy, mo, yr, contains the full model minus spatial and temporal predictors. The model xy, mo, yr is a model that only contains space and time as predictors. While xy, yr is a model that contains only space and year for predictors.





**Figure 4.15:** Relationship between water temperature measured from AVHRR and the NOAA buoy at Molasses reef (  $p < 0.001$  &  $R^2 = 0.95$ , slope = 1.04).

## CHAPTER 5

### CONCLUSIONS

The overarching goal of this dissertation was to better understand and predict the appearance of white pox disease in *Acropora palmata* integrating information at multiple scales. With relatively high growth rates, *A. palmata* is an important reef building species for the Caribbean reef community<sup>101,102</sup>. However, *A. palmata* is currently listed as critically endangered under the IUCN red list of threatened species<sup>6</sup>. It is therefore vital that we develop a better understanding of how stress events, including outbreaks of white pox disease, negatively impact colony health and survival<sup>32,39–41</sup>. To understand the complex spatio-temporal patterns of white pox disease across scales, I examined how associated microbial communities vary across season and between healthy and diseased surface mucus layer samples (Chapter 2), how local spatial structure is important for transmission (Chapter 3), and how biological and environmental factors contributed to the probability of disease in individual colonies and reefs (Chapter 4).

In Chapter 2, I examined the diversity in microbial communities associated within the surface mucus layer of *A. palmata* from samples of healthy, diseased, and bleached colonies collected across three-time points within a year. I found that microbial communities change across seasons can better explain clustered patterns than collected sample type. We observed that samples collected from white pox lesions had significantly higher levels of species richness compared against mucus samples taken from healthy tissue, a trend that has been found in other coral diseases<sup>126,127,203</sup>. Taxonomically, we observed an increase in nitrogen-fixing bacteria during summer sampling when elevated temperatures resulted in bleached colonies<sup>137</sup>. In our

diseased samples we observed an increase in bacteria relative abundance that have been generally associated with diseased mucus samples in other systems<sup>140</sup>. My findings from this chapter suggest that seasonal variation is a strong driver in microbial community dynamics, and that white pox disease is associated with an increase in microbial species richness. Future efforts will want to question how the spatial extent of surface mucus disruption caused by disease. Additionally, researchers will want to understand if observed seasonal shifts in microbial community can be detected over multiple years.

In Chapter 3, I tested multiple models representing hypotheses for transmission to determine which aspects of coral size and configuration provided the best fit to data from a reasonably well-sampled outbreak on one reef, specifically monthly samples at Looe Key that started when the outbreak was underway. The infection status of several colonies was censored due to routine challenges in conducting field surveillance in marine waters, and I presented a methodology to account for such data censorship. One of the main take-home points from this study is that local spatial structure is likely important for the spread of white pox disease within a reef; colonies are more likely to become infected if they are close to infected colonies. This result is tempered by the fact that the limited amount of data impedes a full distinction from a null model in which prevalence levels alone influence transmission, and goodness-of-fit tests did not exactly re-create observations. Although this is not uncommon, it suggests that unmeasured covariates during that event were also likely relevant in determining transmission. To better understand the limitations realistic data sources have on the ability to accurately infer transmission mechanisms, I developed a simulation approach that first created infection-through-time data based on a specified mechanism. I then degraded the data in various ways that represent likely problems associated with real-world data collection in order to identify which

sources of degradation were most problematic. From these simulations I found that missing the early time phase of the epidemic can especially limit our ability to use statistical modeling to correctly identify the mechanism at play. This finding presents a challenge shared amongst many marine disease systems, as outbreaks of disease in the marine environment often occur with little warning and can be hard to effectively monitor. While this chapter raises issues concerning the surveillance of marine diseases, the methods presented still provide a framework for evaluating potential hypotheses regarding transmission of pathogens in the marine environment.

In Chapter 4, I set out to assess how biotic and abiotic factors can inform predictions of disease occurrence in individual *A. palmata* colonies and their associated reefs. I accomplished this by constructing a machine learning model that leverages 20 years of surveillance data along with independently collected environmental data. Individual colony live tissue cover (measured as observed surface area from photographic data) was combined with environmental predictors, categorized into climate and water quality variables to determine which factors alone and in combination were most informative for establishing the probability of white pox infection. Climate variables were measured remotely via satellite and water quality variables were measured in situ. I found that individual colony size was the single most important variable for predicting disease events. For environmental variables dissolved saturated oxygen, wind speed, and organic carbon were the next most important variables. The strengths of the main modeling approach used in this chapter, boosted regression trees (BRTs), include determining variable importance, considering a large set of predictor variables, and handling missing values in predictor variables. However, BRTs do not explicitly account for hierarchical effects (particularly, that containing reef or sampling time may be the true driver, and not the biotic and abiotic covariates measures at certain places and in certain time points). I presented two

techniques that investigated if such effects had any influence on the performance of BRT model. The results of these studies lead to the conclusion that hierarchical effects minimally impacted the BRT, and the covariates of interest are informative in predicting white pox disease events. Our finding regarding the importance of colony size will help inform future research in site selection for future studies, while identified environmental variables may better inform when to conduct surveillance.

Taken together, the results presented in Chapters 3 and 4 raise an important issue regarding the relationship between colony size and white pox disease. On the one hand, size-based statistical models for local transmission were outcompeted by models that did not take size into consideration. However, when using a more extensive data set, individual colony size appears to be an important predictor for disease occurrence in individuals. Results from these two chapters are contradictory on the importance of colony size, with one failing to support evidence for size while the other does. Looking beyond the results presented in this dissertation, we find that other studies have also provided support for colony size being important for white pox disease<sup>55</sup>. Given this outside observation, evidence from Chapter 4, and acknowledgement of the surveillance issues presented in Chapter 3, it is more likely that individual colony size is important in the occurrence of white pox disease, though it can sometimes be hard to detect in data. However, it is worth noting that the modeling approach from Chapter 4 does not take into consideration the proximity of nearby infected colonies, but rather simply includes size as a risk factor for infection. A size-based statistical model for transmission may be supported provided that sufficient data, ideally multiple disease events across multiple sites, were available. If, for example, a gravity model was provided the best fit to data, then the importance of spatial structure would not be compromised, but rather colony size would be deemed to contribute to

transmission potential. Notably, other studies that found support for size-based gravity terms in wildlife transmission of parasites included additional environmental variables<sup>14,152</sup>. A promising line of inquiry for future studies would be to include environmental information, starting with environmental variables highlighted as important in Chapter 4.

In conclusion, this dissertation provides evidence that microbial communities associated with *A. palmata* are dynamic and change due to disease. Additionally, it develops a statistical framework for evaluating hypotheses for transmission in the marine environment and has identified important biotic and abiotic variables associated with disease events across several reefs and many years. Across scales, we have strengthened our understanding of one specific disease system and generated new lines of inquiry. By contributing to our understanding of white pox disease and *A. palmata*, we now have a more detailed appreciation of the role that disease plays in driving and maintaining a species at a critically-endangered status and have developed approaches for investigating a broad array of marine diseases in a multi-scale approach.

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